

# Lect 1: Description of many body states

§: First quantization v.s. the second quantization

Classic mechanics  $\{x, p\}_{\text{Poisson}} = 1 \xrightarrow{\text{Quantum first quantization}} [x, p] = i\hbar$ ; wavefunction  $\psi(x)$  is still an ordinary function.

Drawbacks of the first quantization:

① difficult to use for many-body systems

$$H = \sum_{i=1, \dots, N} h(r_i) + \frac{1}{2} \sum_{i < j < N} V(r_i - r_j)$$

$$i \frac{\partial}{\partial t} \Psi(r_1, \dots, r_N, t) = H(r_1, \dots, r_N, t) \Psi(r_1, \dots, r_N, t)$$

② the statistics of indistinguishable particles is not imposed on

$H$ , but on  $\Psi(r_1, \dots, r_N)$ . We need to constrain  $\Psi(r_1, \dots, r_N)$  to be symmetric function for exchanging  $r_i \leftrightarrow r_j$  for boson systems. (fermion) (anti-symmetric)

In other words, statistics is not explicit.

③ particle number is conserved, which can not be generalized to systems with particle number variation, say, quantum electrodynamics, ...

Solution: Second quantization!  $\leftarrow$  quantization of wavefunction  $\psi(x) \rightarrow \hat{\psi}(x)$ .

### § warm up: creation & annihilation operators

a simple example of harmonic oscillator

$$H = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2} m \omega^2 x^2 \rightarrow \text{characteristic length } l = \sqrt{\frac{\hbar}{m\omega}}$$

$$a = \frac{1}{\sqrt{2}} \left[ \frac{x}{l} + i l p \right], \quad a^\dagger = \left[ \frac{x}{l} - i l p \right] \leftarrow \begin{array}{l} \text{creation \&} \\ \text{annihilation of phonons} \end{array}$$

$$[a, a^\dagger] = 1, \quad \text{and } H = \hbar\omega \left[ a^\dagger a + \frac{1}{2} \right]$$

the eigenstates of the oscillator can be expressed as  $|n\rangle = \frac{(a^\dagger)^n}{\sqrt{n!}} |0\rangle$

where  $|0\rangle$  is the ground state satisfying  $a|0\rangle = 0$

ex: ①  $\langle x|0\rangle = \frac{1}{\sqrt{\pi^{1/2}l}} e^{-\frac{x^2}{2l^2}}$

②  $a^\dagger|n\rangle = \sqrt{n+1}|n+1\rangle, \quad a|n\rangle = \sqrt{n}|n-1\rangle, \quad a^\dagger a|n\rangle = n|n\rangle$

### § Bose statistics and Fermi statistics in the first quantization

Suppose we have a set of complete and normalized basis of single particle wavefunctions  $\psi_1, \psi_2, \dots$ , we can use them to construct the basis for many-body states.

For bosons, let us consider the state with total number of  $N$  and the partition ( $N_1$  particles in  $\psi_1$ ,  $N_2$  particles in  $\psi_2, \dots$ ).

write  $\underbrace{\psi_1(x_1) \dots \psi_1(x_{N_1})}_{N_1} \psi_2(x_{N_1+1}) \dots \psi_2(x_{N_1+N_2}), \dots \underbrace{\psi_k(x_1) \dots \psi_k(x_{N_k})}_{N_k}$

however, such a state is NOT allowed, we need to symmetrize it ③

$$\Psi_{N_1, \dots, N_k}(x_1, \dots, x_N) = \left( \frac{N!}{N_1! \dots N_k!} \right)^{1/2} \sum_{\text{permutation}} P \left\{ \underbrace{\psi_1(x_1) \dots \psi_1(x_{N_1})}_{N_1} \dots \underbrace{\psi_k \dots \psi_k}_{N_k} \dots \psi(x_N) \right\}$$

But for fermions

each state can only hold one particle. The set of basis can be represented

as

$$\Psi(x_1, \dots, x_N) = \frac{1}{\sqrt{N!}} \sum_P (-1)^P P \left[ \psi_{i_1}(x_1) \psi_{i_2}(x_2) \dots \psi_{i_N}(x_N) \right]$$

$\{i_1, \dots, i_N\}$   
 $\uparrow$   
 the states of  $i_1, \dots, i_N$   
 are occupied

$\uparrow$   
 $-1$  for odd permutation  
 $+1$  for even permutation

★ Examples: let us consider a two-particle system with the single particle basis of  $\psi_1, \psi_2, \dots, \psi_n \dots$

For bosons: the two particle wavefunction basis:

$$\psi_1(x_1)\psi_1(x_2); \psi_2(x_1)\psi_2(x_2); \dots; \psi_k(x_1)\psi_k(x_2); \dots$$

$$\frac{1}{\sqrt{2}} \left\{ \psi_i(x_1)\psi_j(x_2) + \psi_j(x_1)\psi_i(x_2) \right\}, \text{ where } (1 \leq i < j \dots)$$

For fermions:  $\frac{1}{\sqrt{2}} \left\{ \psi_i(x_1)\psi_j(x_2) - \psi_j(x_1)\psi_i(x_2) \right\} = \frac{1}{\sqrt{2}} \begin{vmatrix} \psi_i(x_1) & \psi_j(x_1) \\ \psi_i(x_2) & \psi_j(x_2) \end{vmatrix}$

where  $(1 \leq i < j)$

$\uparrow$   
Slater determinate

EX: ~~enumerate~~ enumerate all the basis for 3-particle states of bosons and fermions.

## § Particle number occupation representation - Fock space

In the above section, we have set the basis for many-body bosonic/fermion systems. This set of many-body states is characterized by the eigenstates of particle number operators  $\hat{n}_1, \hat{n}_2, \dots$  for the single particle states  $\psi_1, \psi_2, \dots$ . Creation/annihilation operators connect different Hilbert space with different total particle numbers.

For bosonic systems, the basis of

$$\Psi_{N_1, N_2, \dots, N_k, \dots}(x_1, x_2, \dots, x_N) = \sqrt{\frac{N_1! \dots N_k! \dots}{N!}} \sum P \{ \underbrace{\psi_1(x_1) \dots \psi_1(x_{N_1})}_{N_1} \underbrace{\psi_2(x_{N_1+1}) \dots}_{N_2} \dots \underbrace{\psi_k(x_N)}_{N_k} \}$$

coordinate representation

in the particle number occupation representation, it is represented as

$$|N_1, N_2, \dots, N_k, \dots\rangle.$$

\* key point: because of the indistinguishability of particles, the occupation number distribution completely determines the many-body quantum state. We do NOT care which particle is in a specified state.

An arbitrary state of bosons (N-body) can be expanded as

$$\Psi(x_1, \dots, x_N) = \sum_{N_1, N_2, \dots} \Psi_{N_1, N_2, \dots}(x_1, x_2, \dots, x_N) C(N_1, N_2, \dots)$$

or  $| \Psi \rangle = \sum_{N_1, N_2, \dots} C(N_1, N_2, \dots) | N_1, N_2, \dots \rangle$  where  $C(N_1, N_2, \dots) = \begin{cases} 0, & \text{if } \sum_i N_i \neq N \\ \langle \Psi_{N_1, N_2, \dots} | \Psi \rangle & \text{if } \sum_i N_i = N \end{cases}$

We can also define the inner product of two general wave functions.

$$\langle \psi_A | \psi_B \rangle = \sum_{N_1, N_2, \dots} C_A^*(N_1, N_2, \dots) C_B(N_1, N_2, \dots).$$

The orthogonality and completeness of  $|N_1, N_2, \dots\rangle$

$$\langle N_1, N_2, \dots | N'_1, N'_2, \dots \rangle = \delta_{N_1, N'_1} \delta_{N_2, N'_2} \dots \delta_{N_k, N'_k} \dots,$$
$$\sum_{N_1, N_2, \dots} |N_1, N_2, \dots\rangle \langle N_1, N_2, \dots| = I$$

Define creation/annihilation operators

$$\hat{n}_i |N_1, N_2, \dots\rangle = N_i |N_1, N_2, \dots\rangle, \text{ where } \hat{n}_i^\dagger = n_i, [n_i, n_j] = 0$$

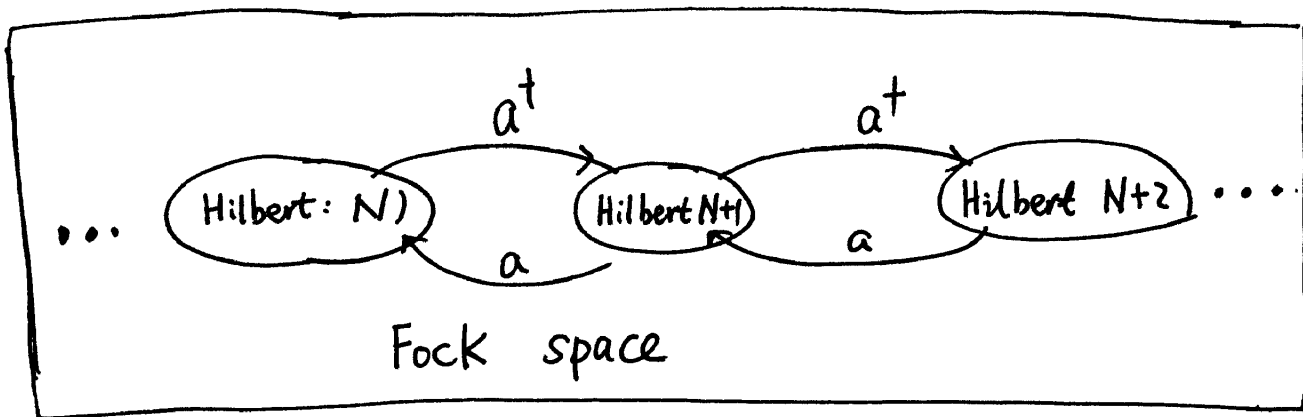
We define operators for each single particle state

$$a_i = \sum_{N_1, N_2, \dots} \sqrt{N_i} |N_1, N_2, \dots, (N_i-1), \dots\rangle \langle N_1, \dots, N_i, \dots|,$$
$$a_i^\dagger = \sum_{N_1, N_2, \dots} \sqrt{N_i+1} |N_1, N_2, \dots, N_i+1, \dots\rangle \langle N_1, \dots, N_i, \dots|,$$

Ex: 1. Check  $a_i |N_1, N_2, \dots, N_i, \dots\rangle = \sqrt{N_i} |N_1, N_2, \dots, N_i-1, \dots\rangle$   
 $a_i^\dagger |N_1, N_2, \dots, N_i, \dots\rangle = \sqrt{N_i+1} |N_1, N_2, \dots, N_i+1, \dots\rangle$

2.  $[a_i, a_j^\dagger] = \delta_{ij}, [a_i, a_j] = [a_i^\dagger, a_j^\dagger] = 0$

3.  $|N_1, N_2, \dots\rangle = \frac{(a_1^\dagger)^{N_1}}{\sqrt{N_1!}} \frac{(a_2^\dagger)^{N_2}}{\sqrt{N_2!}} \dots |0 \dots 0\rangle$  ← the vacuum state.



1 Even when we deal with non-relativistic systems in which particle number  $N$  is fixed, it is much more convenient to first extend to Fock space to allow particle number change. When we deal with relativistic field theory, Fock space is necessary. Similar stories occur before. For example, we prefer to use grand canonical ensemble rather than canonical ensemble even though particle number is actually conserved. When we do a complicated real integral, we use complex-plane for loop integral.

The key lesson here is: in many situations, a more general problem is easier. In order to solve a specified problem, we first generalize it, and then solve it.

In a Chinese idiom, "if you want to capture sth, first let it escape".  
欲擒故纵.

### § Fock space, creation/annihilation operators for fermions

Again we define the many-body basis for fermions with occupation numbers  $|N_1 N_2 \dots\rangle$ , but  $N_i$  can only be zero or one! The definition of the many-body basis has a sign ambiguity. If we exchange one pair, we have  $\psi \rightarrow -\psi$ . Say, in the first quantization representation,

$$\psi_{N_1 N_2 \dots N_{l-1} N_l \dots}(x_1, x_2 \dots x_N) = (-)^{N_{l-1} N_l} \Psi_{N_1 N_2 \dots N_l N_{l-1} \dots}(x_1, x_2, \dots, x_N \dots)$$

\* if both  $\psi_{l-1}$  and  $\psi_l$  are occupied.

\* here we exchange the sequence of the single-particle basis  $\psi_{l-1} \leftrightarrow \psi_l$ , the effect is also results in a "sign".

we need to specify the ordering of the single particle basis.

Furthermore, we have

$$\psi_{N_1 N_2 \dots N_{l-1} N_l \dots}(x_1, x_2 \dots x_N) = (-)^{N_l \sum_{j=1}^{l-1} N_j} \psi_{N_l N_1 N_2 \dots}(x_1, x_2 \dots)$$

the sign ambiguity

in the particle number occupation representation

$$\begin{aligned} |N_1 N_2 \dots N_{l-1} N_l \dots\rangle &= (-)^{N_l N_{l-1}} |N_1 N_2 \dots N_l N_{l-1} \dots\rangle \\ &= (-)^{N_l \sum_{j=1}^{l-1} N_j} |N_l N_1 N_2 \dots\rangle \end{aligned}$$

orthogonality  $\langle N_1 N_2 \dots | N'_1 N'_2 \dots \rangle = \delta_{N_1 N'_1} \delta_{N_2 N'_2} \dots$

completeness  $\sum_{N_1 N_2 \dots} |N_1 N_2 \dots\rangle \langle N_1 N_2 \dots| = \mathbf{I}$