

# Lect 14 Dirac equation - plane wave solution

Weyl spinors: the generator in the 4x4 Dirac representation is block diagonal as given in last lecture. Thus this representation is reducible.

$$\gamma_5 = i\gamma^0\gamma^1\gamma^2\gamma^3 = i \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & \sigma_x \\ -\sigma_x & 0 \end{pmatrix} \begin{pmatrix} -i\sigma_x & 0 \\ 0 & -i\sigma_x \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$$

each of  $\gamma_5$  eigenstate with  $\pm 1$  do not mix under Lorentz transformation.   
  $\uparrow$   
chirality quantum number.

\* Chirality is different from parity here, where parity operator is  $\gamma_0$ .

we write  $\psi = \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix}$  where  $\gamma_5 \psi_L = -\psi_L, \gamma_5 \psi_R = \psi_R$ .

Under infinitesimal Lorentz transformation  $\theta$  (rotation),  $\beta$  (boost)

$$\psi_L \rightarrow (1 - i\vec{\theta} \cdot \frac{\vec{\sigma}}{2} - \beta \frac{\vec{\sigma}}{2}) \psi_L;$$

$$\psi_R \rightarrow (1 - i\vec{\theta} \cdot \frac{\vec{\sigma}}{2} + \beta \frac{\vec{\sigma}}{2}) \psi_R.$$

using the identity  $\sigma^2 \sigma^* = -\sigma \sigma^2$ , we can show

$\sigma_2 \psi_L^*$  transforms like  $\psi_R$ , i.e.

$$\sigma_2 \psi_L^* \rightarrow \sigma_2 (1 - i\vec{\theta} \cdot \frac{\vec{\sigma}}{2} - \beta \frac{\vec{\sigma}}{2})^* \psi_L^* = (1 - i\vec{\theta} \cdot \frac{\vec{\sigma}}{2} + \beta \frac{\vec{\sigma}}{2}) (\sigma_2 \psi_L^*)$$

The Dirac equation becomes

$$(i\gamma^\mu \partial_\mu - m)\psi = \begin{pmatrix} -m & i(\partial_0 + \vec{\sigma} \cdot \nabla) \\ i(\partial_0 - \vec{\sigma} \cdot \nabla) & m \end{pmatrix} \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix} = 0$$

The mass term mixes the  $\psi_L$  and  $\psi_R$  parts.

①  $m=0$ ,  $\psi_L$  and  $\psi_R$  decouples

← Weyl equations.

$$i(\partial_0 - \sigma \cdot \nabla) \psi_L = 0 \quad ; \quad i(\partial_0 + \sigma \cdot \nabla) \psi_R = 0.$$

$$\text{for } \psi_L = e^{-ipx} u(p) = e^{i(\vec{p} \cdot \vec{x} - \omega t)} u_L(p) \Rightarrow (E + c\vec{\sigma} \cdot \vec{p}) u_L = 0 \text{ and } E = \pm p \cdot c$$

$$\Rightarrow \frac{\vec{\sigma} \cdot \vec{p}}{|\vec{p}|} u_L = \pm u_L \quad \left( \begin{array}{l} + \text{ for } E = -pc; \\ - \text{ for } E = +pc. \end{array} \right)$$

helicity

$$\text{for } \psi_R = e^{-ipx} u_R(p) = e^{i(\vec{p} \cdot \vec{x} - \omega t)} u_R(p) \Rightarrow (E - c\vec{\sigma} \cdot \vec{p}) u_R(p) = 0$$

$$\Rightarrow \frac{\vec{\sigma} \cdot \vec{p}}{|\vec{p}|} u_R = \pm u_R \quad \left( \begin{array}{l} + \text{ for } E = pc \\ - \text{ for } E = -pc \end{array} \right).$$

For massless particle, "neutrino" in the old days, only  $u_L$  exists.

Now we know neutrino actually has mass, but only  $u_L$  participates weak interaction. Parity is broken. ← Lee and Yang, 1957.

②  $m \neq 0$ . Let us consider in the rest frame  $p = (m, 0)$ , the

general solution can be obtained by boost. We first consider the positive energy solution.

$$\text{In the rest frame, } \psi(x) = u(p_0) e^{-ipx} \Rightarrow (m\gamma^0 - m)u(p_0) = 0$$

$$\begin{pmatrix} -1 & 1 \\ 1 & -1 \end{pmatrix} u(p_0) = 0 \quad u(p_0) = m \begin{pmatrix} \xi \\ \xi \end{pmatrix}, \text{ where } \xi^\dagger \xi = 1, \text{ two-component vector.}$$

Let us choose  $\xi = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ , and first consider the boost along z-axis. ②

$$\begin{pmatrix} E \\ P_3 \end{pmatrix} = \left[ 1 + \eta \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \right] \begin{pmatrix} m \\ 0 \end{pmatrix} \quad \eta \text{ is call rapidity}$$

$$\rightarrow \exp \left[ \eta \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \right] \begin{pmatrix} m \\ 0 \end{pmatrix} = \begin{bmatrix} \cosh \eta & \sinh \eta \\ \sinh \eta & \cosh \eta \end{bmatrix} \begin{pmatrix} m \\ 0 \end{pmatrix} = \begin{pmatrix} \cosh \eta \cdot m \\ \sinh \eta \cdot m \end{pmatrix}$$

$$e^\eta = \sqrt{\frac{E + P_3}{m}}$$

$$e^{-\eta} = \sqrt{\frac{E - P_3}{m}}$$

$$U(p) = \exp \left[ -\frac{1}{2} \eta \begin{pmatrix} \sigma_3 & 0 \\ 0 & -\sigma_3 \end{pmatrix} \right] \sqrt{m} \begin{pmatrix} \xi \\ \xi \end{pmatrix}$$

$$= \cosh \frac{\eta}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} - \sinh \frac{\eta}{2} \begin{pmatrix} \sigma_3 & \\ & -\sigma_3 \end{pmatrix} \sqrt{m} \begin{pmatrix} \xi \\ \xi \end{pmatrix}$$

$$\begin{matrix} \uparrow & \uparrow \\ \frac{1}{2}(e^{\eta/2} + e^{-\eta/2}) & \frac{1}{2}(e^{\eta/2} - e^{-\eta/2}) \end{matrix}$$

$$= \begin{pmatrix} e^{\eta/2} \left( \frac{1 - \sigma_3}{2} \right) + e^{-\eta/2} \left( 1 + \frac{\sigma_3}{2} \right), & 0 \\ 0, & e^{\eta/2} \left( \frac{1 + \sigma_3}{2} \right) + e^{-\eta/2} \left( \frac{1 - \sigma_3}{2} \right) \end{pmatrix} \sqrt{m} \begin{pmatrix} \xi \\ \xi \end{pmatrix}$$

$$= \begin{pmatrix} \sqrt{E + P_3} \left( \frac{1 - \sigma_3}{2} \right) + \sqrt{E - P_3} \frac{1 + \sigma_3}{2} \\ \sqrt{E + P_3} \frac{1 + \sigma_3}{2} + \sqrt{E - P_3} \frac{1 - \sigma_3}{2} \end{pmatrix} \begin{pmatrix} \xi \\ \xi \end{pmatrix}$$

$\frac{1 \pm \sigma_3}{2}$  are projection operators

$$\left( \sqrt{E + P_3} \frac{1 - \sigma_3}{2} + \sqrt{E - P_3} \frac{1 + \sigma_3}{2} \right)^2 = E + P_3 \left( \frac{1 - \sigma_3}{2} \right) + (E - P_3) \frac{1 + \sigma_3}{2} = E - P_3 \sigma_3$$

$$\left( \sqrt{E + P_3} \frac{1 + \sigma_3}{2} + \sqrt{E - P_3} \frac{1 - \sigma_3}{2} \right)^2 = E + P_3 \sigma_3$$

define  $\sigma = (1, \vec{\sigma})$      $\bar{\sigma} = (1, -\vec{\sigma})$

$U(p)$  can be write as  $\begin{pmatrix} \sqrt{p \cdot \sigma} \xi \\ \sqrt{p \cdot \bar{\sigma}} \xi \end{pmatrix}$  where  $\sqrt{\quad}$  is the square root of matrix, which take the positive eigenvalue.

if  $\xi = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \Rightarrow u(p) = \begin{pmatrix} \sqrt{E-p_3} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ \sqrt{E+p_3} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \end{pmatrix} \xrightarrow[\text{boost}]{\text{large}} \sqrt{2E} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix},$

$\begin{pmatrix} 0 \\ 1 \end{pmatrix} \quad u(p) = \begin{pmatrix} \sqrt{E+p_3} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ \sqrt{E-p_3} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \end{pmatrix} \xrightarrow[\text{boost}]{\text{large}} \sqrt{2E} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}.$

For general direction of  $\vec{p}$ , we only need to replace the projection

operator  $\frac{1 \pm \sigma^3}{2} \rightarrow \frac{1 \pm \hat{p} \cdot \vec{\sigma}}{2}$

and  $u(p) = \begin{pmatrix} \sqrt{p \cdot \sigma} \xi \\ \sqrt{p \cdot \bar{\sigma}} \xi \end{pmatrix} = \begin{pmatrix} \sqrt{E+pc} \frac{1 - \hat{p} \cdot \vec{\sigma}}{2} + \sqrt{E-pc} \frac{1 + \hat{p} \cdot \vec{\sigma}}{2} \\ \sqrt{E+pc} \frac{1 + \hat{p} \cdot \vec{\sigma}}{2} + \sqrt{E-pc} \frac{1 - \hat{p} \cdot \vec{\sigma}}{2} \end{pmatrix} \begin{pmatrix} \xi \\ \xi \end{pmatrix}$

define  $\frac{1 \pm \hat{p} \cdot \vec{\sigma}}{2} \xi_{\pm} = \xi_{\pm}$  i.e.  $\hat{p} \cdot \vec{\sigma} \xi_{\pm} = \pm \xi_{\pm}$

$\Rightarrow u_{\pm}(p) = \begin{pmatrix} \sqrt{E \mp pc} \xi_{\pm} \\ \sqrt{E \pm pc} \xi_{\pm} \end{pmatrix},$

↑  
helicity index

Similarly, we can define the negative energy states

$\psi = v(p) e^{ipx + Et}, \quad -p_0 < 0$

for  $p = (-m, 0) \Rightarrow (-m \gamma^0 - m) v(-p_0) = 0$

$\begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} v(-p_0) = 0 \Rightarrow v(-p_0) = \sqrt{m} \begin{pmatrix} \xi \\ -\xi \end{pmatrix}.$

(5)

under the Lorentz transform:

$$\begin{pmatrix} -E \\ p_3 \end{pmatrix} = \exp\left[\eta \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}\right] \begin{pmatrix} -m \\ 0 \end{pmatrix} = \begin{pmatrix} \cosh\eta & m \\ -\sinh\eta & m \end{pmatrix} \Rightarrow \begin{aligned} e^\eta &= \frac{E - p_3}{m} \quad (\eta < 0) \\ e^{-\eta} &= \frac{E + p_3}{m} \end{aligned}$$

$$U(-p_3) = \exp\left[-\frac{1}{2}\eta \begin{pmatrix} \sigma_3 & 0 \\ 0 & -\sigma_3 \end{pmatrix}\right] \sqrt{m} \begin{pmatrix} \xi \\ -\xi \end{pmatrix}$$

$$= \begin{pmatrix} \sqrt{E+p_3} \frac{1-\sigma^3}{2} + \sqrt{E+p_3} \frac{1+\sigma^3}{2} \\ \sqrt{E-p_3} \frac{1+\sigma^3}{2} + \sqrt{E+p_3} \frac{1-\sigma^3}{2} \end{pmatrix} \begin{pmatrix} \xi \\ -\xi \end{pmatrix} \quad E > 0$$

$$\Rightarrow U(\vec{p}) = \begin{pmatrix} \sqrt{p \cdot \vec{\sigma}} \xi \\ -\sqrt{p \cdot \vec{\sigma}} \xi \end{pmatrix}, \quad \text{i.e. } U(\vec{p}) = \begin{pmatrix} \sqrt{E-pc} \frac{1-\hat{p} \cdot \vec{\sigma}}{2} + \sqrt{E+pc} \frac{1+\hat{p} \cdot \vec{\sigma}}{2} \\ \sqrt{E+pc} \frac{1+\hat{p} \cdot \vec{\sigma}}{2} - \sqrt{E-pc} \frac{1-\hat{p} \cdot \vec{\sigma}}{2} \end{pmatrix} \begin{pmatrix} \xi \\ -\xi \end{pmatrix}$$

$$U_\pm(\vec{p}) = \begin{pmatrix} \sqrt{E \pm pc} \xi_\pm \\ -\sqrt{E \mp pc} \xi_\pm \end{pmatrix}$$

$$\Rightarrow \psi_\pm^p(\vec{p}) = \frac{1}{\sqrt{E^2 + (pc)^2}} \begin{pmatrix} \sqrt{E \mp pc} \xi_\pm \\ \sqrt{E \pm pc} \xi_\pm \end{pmatrix} e^{i(p \cdot x - Et)}$$

$$\psi_\pm^{\bar{p}}(\vec{p}) = \frac{1}{\sqrt{E^2 + (pc)^2}} \begin{pmatrix} \sqrt{E \pm pc} \xi_\pm \\ -\sqrt{E \mp pc} \xi_\pm \end{pmatrix} e^{i(p \cdot x + Et)}$$

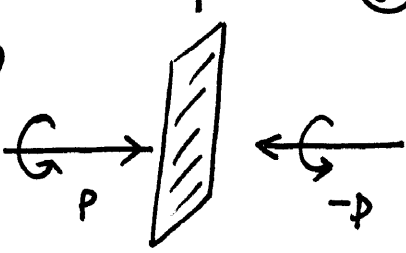
where  $\xi_\pm$  are helicity eigenstate of  $\vec{\sigma} \cdot \hat{p}$ .

$\xi$  test symmetry operation:

a. parity:  $P \psi_\pm^p(\vec{p}, t) = \begin{pmatrix} \sqrt{E \pm pc} \xi_\pm \\ \sqrt{E \mp pc} \xi_\pm \end{pmatrix} e^{i(-p \cdot x - Et)}$

⑥

← respect to -p

$$= \begin{pmatrix} \sqrt{E \pm pc} & \zeta'_{\mp} \\ \sqrt{E \mp pc} & \zeta'_{\mp} \end{pmatrix} e^{i(-px - Et)} = \psi_{\mp}^p(-\vec{p}, t)$$


$$P \psi_{\pm}^n(\vec{p}, t) = \begin{pmatrix} -\sqrt{E \mp pc} & \zeta_{\pm} \\ \sqrt{E \pm pc} & \zeta_{\pm} \end{pmatrix} e^{-ipx + iEt}$$

$$= - \begin{pmatrix} \sqrt{E \mp pc} & \zeta'_{\mp} \\ -\sqrt{E \pm pc} & \zeta'_{\mp} \end{pmatrix} e^{-ipx + iEt} = - \psi_{\mp}^n(-\vec{p}, t)$$

under parity transformation  $(\vec{p}, t) \rightarrow (-\vec{p}, t)$ , helicity flip the sign.

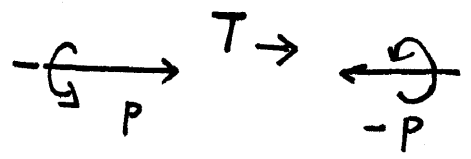
b. time reversal  $\gamma^1 \gamma^3 = \begin{pmatrix} i\sigma_2 & 0 \\ 0 & i\sigma_2 \end{pmatrix}$

$$T \psi_{\pm}^p(\vec{p}, t) = \begin{pmatrix} i\sigma_2 & 0 \\ 0 & i\sigma_2 \end{pmatrix} \begin{pmatrix} \sqrt{E \mp pc} & \zeta_{\pm}^* \\ \sqrt{E \pm pc} & \zeta_{\pm}^* \end{pmatrix} e^{i(-px - Et)}$$

$$\hat{p} \cdot \vec{\sigma} \zeta_{\pm} = \pm \zeta_{\pm} \Rightarrow \hat{p} \cdot \vec{\sigma} (i\sigma_2 \zeta_{\pm}^*) = -i\sigma_2 (\hat{p} \cdot \vec{\sigma}^*) \zeta_{\pm}^* = \mp (i\sigma_2 \zeta_{\pm}^*)$$

$$-\hat{p} \cdot \vec{\sigma} (i\sigma_2 \zeta_{\pm}^*) = \pm (i\sigma_2 \zeta_{\pm}^*) \Rightarrow i\sigma_2 \zeta_{\pm}^* = \zeta'_{\mp}$$

$$\Rightarrow T \psi_{\pm}^p(\vec{p}, t) = \psi_{\mp}^p(-\vec{p}, t)$$



$$T \psi_{\pm}^n(\vec{p}, t) = \psi_{\mp}^n(-\vec{p}, t)$$

$$\begin{aligned} i\sigma_2 \zeta_{\pm}^* &= \zeta'_{\mp} \\ i\sigma_2 \zeta'_{\pm}^* &= -\zeta_{\pm} \end{aligned}$$

$$T^2 = -1$$

C. Charge conjugation:  $i\gamma^2 = \begin{pmatrix} 0 & i\sigma_2 \\ -i\sigma_2 & 0 \end{pmatrix} = \begin{pmatrix} & -1 \\ 1 & \end{pmatrix}$

$$C\psi_{\pm}^p(\vec{p}) = \begin{pmatrix} 0 & i\sigma_2 \\ -i\sigma_2 & 0 \end{pmatrix} \begin{pmatrix} \sqrt{E\mp pc} \zeta_{\pm}^* \\ \sqrt{E\pm pc} \zeta_{\pm}^* \end{pmatrix} e^{-ipx + iEt}$$

$$= \begin{pmatrix} \sqrt{E\pm pc} \zeta'_{\pm} \\ -\sqrt{E\mp pc} \zeta'_{\pm} \end{pmatrix} e^{-ipx + iEt} = \psi_{\pm}^n(-p)$$

$$C\psi_{\pm}^n(\vec{p}) = \begin{pmatrix} 0 & i\sigma_2 \\ -i\sigma_2 & 0 \end{pmatrix} \begin{pmatrix} \sqrt{E\pm pc} \zeta_{\pm}^* \\ -\sqrt{E\mp pc} \zeta_{\pm}^* \end{pmatrix} e^{-ipx - iEt}$$

$$= -\begin{pmatrix} \sqrt{E\mp pc} \zeta'_{\pm} \\ \sqrt{E\pm pc} \zeta'_{\pm} \end{pmatrix} e^{-ipx - iEt} = -\psi_{\pm}^p(-p)$$

$C^2 = 1$

§ In the above we used the chirality basis where

$$\gamma_0 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \gamma^{123} = \begin{pmatrix} 0 & \vec{\sigma} \\ -\vec{\sigma} & 0 \end{pmatrix} \quad \gamma^5 = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$$

This is convenient for high energy states which are almost eigenstate of  $\gamma^5$ .

But for low energy states, they are not convenient. We often also use another basis with  $\gamma^{123}$  unchanged

but  $\gamma'_0 = \begin{pmatrix} 1 & \\ & -1 \end{pmatrix}$  and  $\gamma'^5 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$

These two are upto a unitary transformation

$$U = \exp\left[+\frac{i}{2} \sigma_2 \frac{\pi}{2}\right] = \cos \frac{\pi}{4} - i \sin \frac{\pi}{4} \sigma_2 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & +i \\ -i & 1 \end{pmatrix}$$

$$\Rightarrow \sigma'_0 = U \sigma_0 U^\dagger = \frac{1}{2} \begin{pmatrix} 1 & +i \\ -i & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & -i \\ +i & 1 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 & +i \\ -i & 1 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$\sigma'_5 = U \sigma_5 U^\dagger = \frac{1}{2} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} -i & 0 \\ 0 & i \end{pmatrix} \begin{pmatrix} 1 & -i \\ i & 1 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} -1 & 1 \\ 1 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \checkmark$$

$$\Rightarrow \text{wave function } \psi' = U \psi = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} \psi$$

$$\Rightarrow \psi'_{\pm}^P(\vec{p}) = \frac{1}{\sqrt{2(E^2 + p^2)}} \begin{pmatrix} (\sqrt{E+p}c + \sqrt{E-p}c) \zeta_{\pm} \\ (\sqrt{E+p}c - \sqrt{E-p}c) \zeta_{\pm} \end{pmatrix} e^{i(pX - Et)}$$

$$\frac{\sqrt{E+p}c - \sqrt{E-p}c}{\sqrt{E+p}c + \sqrt{E-p}c} = \frac{2pc}{2E^2 + 2\sqrt{E^2 - p^2}c^2} = \frac{pc}{E + mc^2}$$

$$\Rightarrow \psi'_{\pm}^P(\vec{p}) = \sqrt{\frac{mc^2 + E}{2E}} \begin{pmatrix} \zeta_{\pm} \\ \frac{\pm pc}{E + mc^2} \zeta_{\pm} \end{pmatrix} e^{i(pX - Et)}$$

← big component  
 ← small component

Similarly

$$\psi'_{\pm}^n(\vec{p}) = \sqrt{\frac{mc^2 + E}{2E}} \begin{pmatrix} \frac{\mp pc}{E + mc^2} \zeta_{\pm} \\ \zeta_{\pm} \end{pmatrix} e^{i(pX + Et)}$$