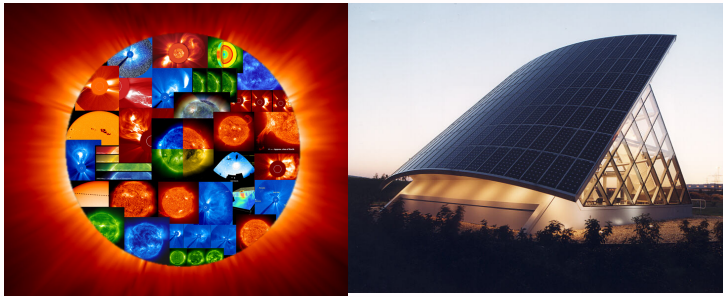*UCSD*

Solar Energy

Introduction to renewable energy

Energy from the sun

Many slides courtesy of Prof. Tom Murphy

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World Energy Budget (annual: 2001)

Energy Source	QBtu/year	Percent of total
Petroleum	150	40.0
Coal	87	23.2
Natural Gas	84	22.5
Hydroelectric	27	7.2
Nuclear	25	6.6
Biomass (burning)	1.5	0.4
Geothermal	0.5	0.13
Wind	0.12	0.03
Solar Direct	0.03	0.008
Sun Abs. By Earth	2,000,000	Then radiated away

2

Renewable Energy Consumption

Energy Source	QBtu (1994)	Percent (1994)	QBtu (2003)	Percent (2003)
Hydroelectric	3.037	3.43	2.779	2.83
Geothermal	0.357	0.40	0.314	0.32
Biomass	2.852	3.22	2.884	2.94
Solar Energy	0.069	0.077	0.063	0.06
Wind	0.036	0.040	0.108	0.11
Total	**6.351**	**7.18**	**6.15**	**6.3**

much room for improvement/growth, but **going backwards**!

3

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The Solar Spectrum

above the atmosphere

at ground level

O_2

H_2O

H_2O

Atmospheric absorption
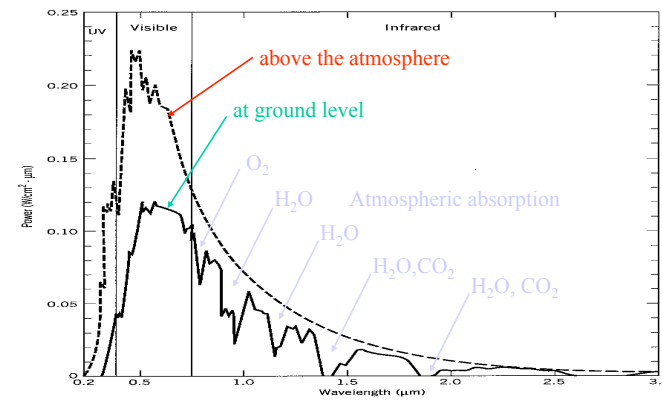
H_2O, CO_2

H_2O, CO_2

Figure 4.1 The wavelength distribution of solar radiation above the atmosphere (dashed line) and at the earth's surface (solid line). The Solar Constant is given by the area under the dashed curve. The sharp dips in the solid line are due to absorption of certain wavelengths by various atmospheric gases, including water vapor and carbon dioxide. (Adapted from *On the Nature and Distribution of Solar Radiation*, Watt Engineering, Washington, D.C.: U.S. Government Printing Office, Department of Energy HCP/T2552-01, 1978).

How much energy is available?

- Above the atmosphere, we get 1368 W/m² of radiated power from the sun, across all wavelengths
  - This number varies by ±3% as our distance to the sun increases or decreases (elliptical orbit)
  - Some books uses 2 calories per minute per cm² (weird units!!)
- At the ground, this number is smaller due to scattering and absorption in the atmosphere
  - about 63%, or ~850 W/m² with no clouds, perpendicular surface
  - probably higher in dry desert air
- Note: you should learn all material highlighted in RED

5

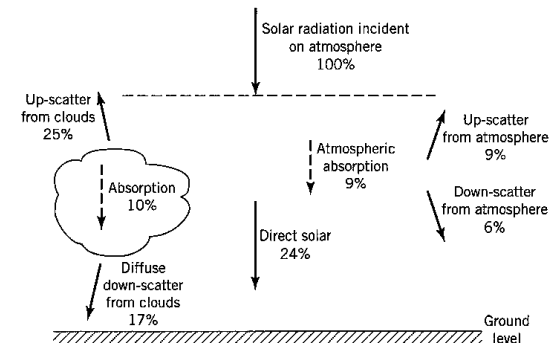## Input flux (average properties)

Figure 4.2   Absorption and scattering of solar radiation in the atmosphere. The values shown are for average weather, and are averaged over all seasons and latitudes.

6

Making sense of the data

- We can infer a number of things from the previous figure:
  - 52% of the incoming light hits clouds, 48% does not
  - in cloudless conditions, half (24/48) is direct, 63% (30/48) reaches the ground
  - in cloudy conditions, 17/52 = 33% reaches the ground: about half of the light of a cloudless day
  - averaging all conditions, about half of the sunlight incident on the earth reaches the ground
  - the above analysis is simplified: assumes atmospheric scattering/absorption is not relevant when cloudy

8

Energy Balance

- Note that *every bit of* the energy received by the sun is reflected or radiated back to space
- If this were not true, earth's temperature would *change* until the radiation out balanced the radiation in
- In this way, we can compute surface temperatures of other planets (and they compare well with measurements)

9

Average Insolation

- The amount of light received by a horizontal surface (in W/m^2) averaged over the year (day & night) is called the *insolation*
- We can make a guess based on the facts that on average:
  - half the incident light reaches the ground
  - half the time it is day
  - the sun isn't always overhead, so that the effective area of a horizontal surface is half it's actual area
    - half the sphere ($2\pi R^2$) projects into just πR^2 for the sun
    - twice as much area as the sun "sees"
- So 1/8 of the incident sunlight is typically available at the ground
  - 171 W/m^2 on average
  - Can also be written 1300 Btu/(day ft^2) (less in winter of course)

10

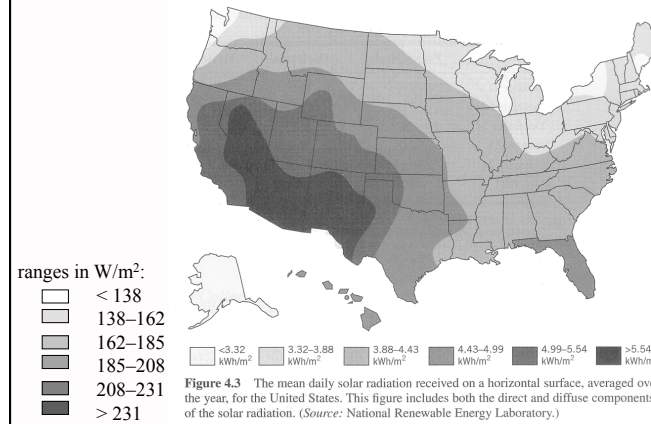## Insolation variation

- While the average insolation is 171 W/m^2, variations in cloud cover and latitude can produce a large variation in this number
  - A spot in the Sahara (always sunny, near the equator) may have 270 W/m^2 on average
  - Alaska, often covered in clouds and at high latitude may get only 75 W/m^2 on average
  - Is it any wonder that one is cold while one is hot?
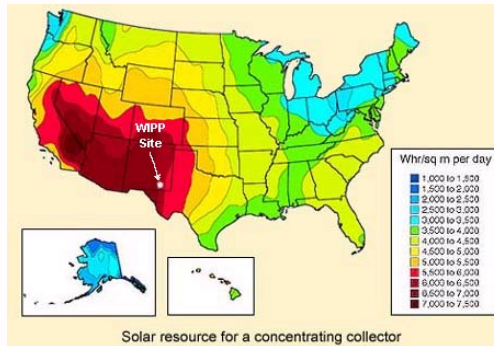
11

Average daily radiation received

ranges in W/m^2:

☐	< 138
☐	138–162
☐	162–185
☐	185–208
☐	208–231
☐	> 231

<3.32 kWh/m²	3.32–3.88 kWh/m²	3.88–4.43 kWh/m²	4.43–4.99 kWh/m²	4.99–5.54 kWh/m²	>5.54 kWh/m²

Figure 4.3   The mean daily solar radiation received on a horizontal surface, averaged over the year, for the United States. This figure includes both the direct and diffuse components of the solar radiation. (*Source:* National Renewable Energy Laboratory.)

divide by 24 hr to get average kW/m^2

12

Higher Resolution Insolation Map

Solar resource for a concentrating collector

13

## Total available solar energy

- Looking at average insolation map (which includes day/ night, weather, etc.), estimate average of 4.25 kWh/day/ m^2 = 177 W/m^2
- The area of the U.S. is 3.615×10^6 square miles
  - this is 9.36×10^{12} m^2
- Multiplying gives 1.66×10^{15} Watts average available power
- Multiply by 3.1557×10^7 seconds/year gives 5.23×10^{22} Joules every year
- This is 50×10^{18} Btu, or 50,000 QBtu
- Compare to annual budget of about 100 QBtu
 - 500 times more sun than current energy budget

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So why don't we go solar?

- What would it take?
- To convert 1/500th of available energy to useful forms, would need 1/500th of land at 100% efficiency
  - about the size of New Jersey
- But 100% efficiency is unrealistic: try 15%
  - now need 1/75th of land
  - Pennsylvania-sized (100% covered)
- Can reduce area somewhat by placing in S.W.
- About the area currently covered by roads and buildings
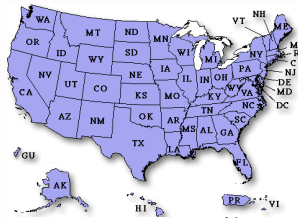
Solar Land Area Requirements
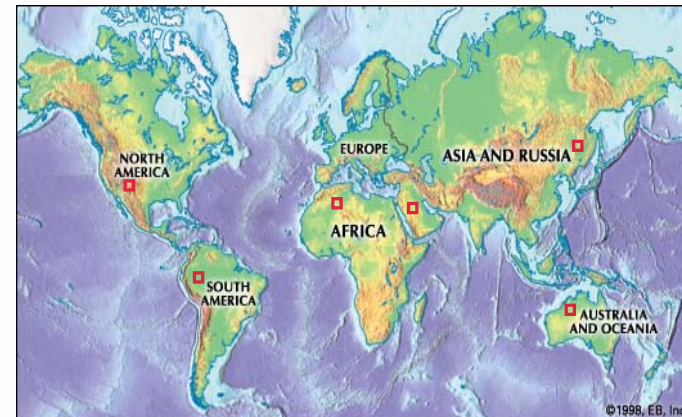
6 Boxes at 3.3 TW Each   16

Making sense of these big numbers

- How much area is this per person?
  - U.S. is 9.36×10^{12} m^2
  - 1/75th of this is 1.25×10^{11} m^2
  - 300 million people in the U.S.
  - 416 m^2 per person $\approx$ 4,500 square feet
  - this is a square 20.4 meters (67 ft) on a side
  - one football field serves only about 10 people!
  - much larger than a typical person's house area
    - rooftops can't be the whole answer for total U.S energy

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But how about for an individual's energy?

- Prof. Tom Murphy found his family used
  - 10.3 kWh/day average electricity
  - 26 kWh/day average nat gas for heating
  - 26 kWh/day gasoline for driving (think electric cars in future)
  - Total then is 62 kWh/day
- Say had 1000 square foot roof, all solar PV, 15% eff.
  - Using same average daily insolation of 4.25 kWh/day/m^2 and 1000 sq ft = 93 m^2, we find house could give total 60 kWh/day. (actually in San Diego, we get more like 5 kWh/day/m^2 => 70 kWh/day..
  - Compare to per capita energy use needing 4164 square feet
- So rooftop solar PV could easily supply individual electric energy, and even all energy including charging electric cars (but didn't consider cities or northern climates)

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Problems with solar energy

- Only available during the day when Sun is shining
- No easy way to store it, or to store electricity made from it
- But, peak demand of electricity is during hot summer days when solar is at its best
- Estimates are that more than 20% solar might not work; at very least would require changes to grid management
- Possible solutions include ways of storing electricity: pumped water, batteries, hydrogen, etc. But these currently are not ready for prime time.

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Solar Technologies

Ways to extract useful energy from the sun

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Question

◆ How was the midterm?

A. About right
B. Too hard
C. Too long
D. Too short or too easy
E. I won't know until I get my score!

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Question

◆ Green houses get hotter than the outside air because

A. Glass is transparent to IR allowing the heat energy in

B. Glass is transparent to visible light but opaque to IR

C. The glass prevents wind from carrying away the heated air

D. Glass amplifies the solar energy

E. Both B. and C.

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Question

◆ Does the Sun provide enough energy to meet all current human needs?

A. Yes
B. Eventually yes, but current technology is not available
C. Maybe yes, if humans can reduce their need for energy
D. No, current human use is more than the Sun provides
E. It is not clear at the present time

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Four Basic Schemes

1. Passive solar heating
2. Flat-Plate direct heating
3. Thermal electric power generation
4. Photovoltaics (direct conversion to electricity)

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Passive Solar Heating

- Let the sun do the work of providing space heat
  - already happens, but it is hard to quantify its impact
- Careful design can boost the importance of sunlight in maintaining temperature
- Three key design elements:
  - insulation
  - collection
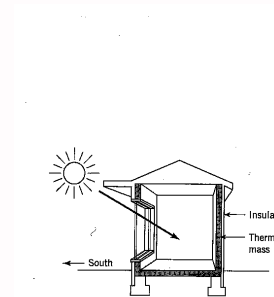 - storage

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South-Facing Window

Figure 4.8 A home heated by the direct gain passive solar method. South-facing windows act as solar collectors. Sunlight enters the living space, is converted to heat at absorbing surfaces, and the heat is dispersed throughout the space and to the various enclosing surfaces and room contents. The windows can be covered at night with movable insulation to reduce heat loss. A massive masonry floor and back wall serve for heat storage and prevent overheating. The exterior overhang helps to prevent overheating in the summer. (Adapted from: J. Douglas Balcomb, *Passive Solar Space Heating*, Los Alamos National Laboratory, LA-UR-80-2555.)

- Simple scheme: window collects energy, insulation doesn't let it go, thermal mass stabilizes against large fluctuations
  - overhang defeats mechanism for summer months
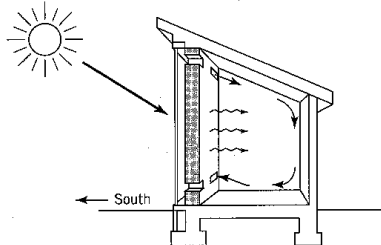
26

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The Trombe Wall

Figure 4.7 A typical Trombe wall installation. The massive concrete wall inside a glass window acts both as a collector and a heat storage medium. The room air circulates by natural convection as shown. Heat is also radiated by the wall into the living space. (Adapted from: J. Douglas Balcomb, *Passive Solar Space Heating*, Los Alamos National Laboratory, LA-UR-80-2555.)

- Absorbing wall collects and stores heat energy
- Natural convection circulates heat
- Radiation from wall augments heat transfer

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How much heat is available?

- Take a 1600 ft^2 house (40×40 footprint), with a 40×10 foot = 400 ft^2 south-facing wall
- A south-facing wall at 40º latitude receives about 1700 Btu per square foot per clear day
  - comes out to about 700,000 Btu for our sample house
- Account for losses:
  - 70% efficiency at trapping available heat (guess)
  - 50% of days have sun (highly location-dependent)
- Net result: 250,000 Btu per day available for heat
  - typical home (shoddy insulation) requires 1,000,000 Btu/day
  - can bring into range with proper insulation techniques

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Flat-Plate Collector Systems

- A common type of solar "panel" is one that is used strictly for heat production, usually for heating water
- Consists of a black (or dark) surface behind glass that gets super-hot in the sun
- Upper limit on temperature achieved is set by the power density from the sun
  - dry air may yield 850 W/m^2 in direct sun
  - using σT^4, this equates to a temperature of 350 °K for a perfect absorber in radiative equilibrium (boiling is 373 °K)
- Trick is to minimize paths for thermal losses

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Flat-Plate Collector

Black metal
absorber surface
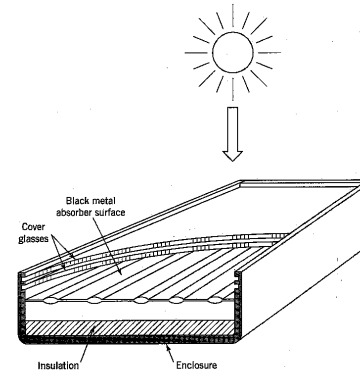
Cover
glasses

Insulation   Enclosure

Figure 4.5   A cutaway view of a flat-plate solar collector with two cover glasses. A heat-transfer fluid is circulated through the tubular passages integrally formed into the metal absorber surface. (Not drawn to scale.)

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Controlling the heat flow

- You want to channel as much of the solar energy into the water as you can
  - this means suppressing other channels of heat flow
- Double-pane glass
  - cuts conduction of heat (from hot air behind) in half
  - provides a buffer against radiative losses (the pane heats up by absorbing IR radiation from the collector)
  - If space between is thin, inhibits convection of air between the panes (making air a good insulator)
- Insulate behind absorber so heat doesn't escape
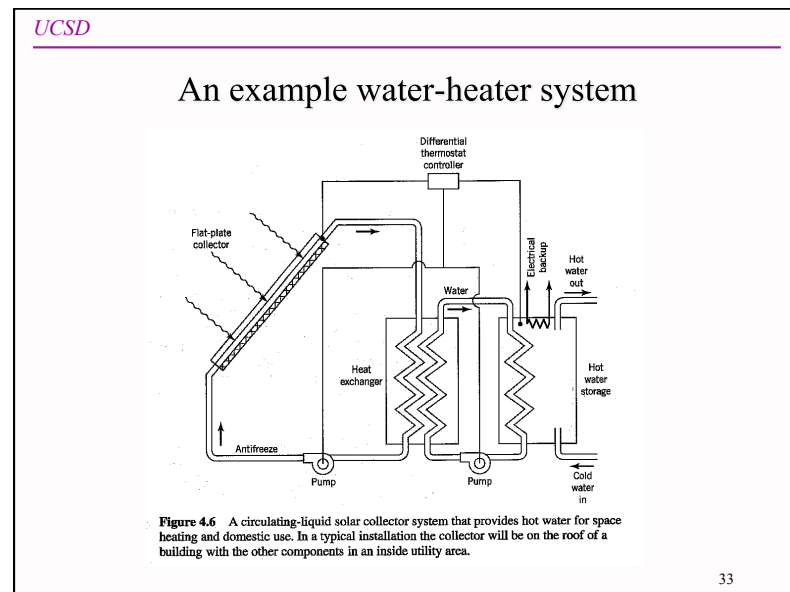- Heat has few options but to go into circulating fluid

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What does the glass do, exactly?

- Glass is transparent to visible radiation (aside from 8% reflection loss), but opaque to infrared radiation from 8–24 microns in wavelength
  - collector at 350 °K has peak emission at about 8.3 microns
  - inner glass absorbs collector emission, and heats up
  - glass re-radiates thermal radiation: half inward and half outward: cuts thermal radiation in half
  - actually does more than this, because outer pane also sends back some radiation: so 2/3 ends up being returned to collector
  - This is also principle of CO_2 greenhouse effect (named after greenhouses!)

32

An example water-heater system

Figure 4.6 A circulating-liquid solar collector system that provides hot water for space heating and domestic use. In a typical installation the collector will be on the roof of a building with the other components in an inside utility area.

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Flat plate efficiencies

- Two-pane design only transmits about 85% of incident light, due to surface reflections
- Collector is not a *perfect* absorber, and maybe bags 95% of incident light (guess)
- Radiative losses total maybe 1/3 of incident power
- Convective/Conductive losses are another 5–10%
- Bottom line is approximately 50% efficiency at converting incident solar energy into stored heat
  - $0.85 \times 0.95 \times 0.67 \times 0.90 = 0.49$

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What area solar thermal collector needed for a household?

- Want to find area (in square ft)
- Given: Solar insolation: 1000 Btu/(sqft day)
  - 4 showers a day plus 50% more for laundry, etc.
  - Heat water by 60 F
  - 40% efficiency
  - Water weighs 8lb/gal
  - Energy = cp m Delta T
  - Cp of water is 1 Btu/(lb F)
- Typical showers are about 10 minutes at 2 gallons per minute, or 20 gallons. Four showers, and increase by 50% for other uses (laundry) and storage inefficiencies:
  - 20×4×1.5 = 120 gallons. So water weighs 120*8 = 960lb of water
- Formula gives energy needed = 1 Btu/(lb F) (960 lb) (60F) = 57600 Btu
- But efficiency is only 40% => must divide this number by .4 => 144,000Btu/day
- Area = Energy needed/(solar insolation) = (144000Btu/day)/(1000 Btu/sqft day) = 144 sqft or about 12 by 12 feet. (or 10ft by 14.4 ft)

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Interesting societal facts

- In the early 1980's, the fossil fuel scare led the U.S. government to offer tax credits for installation of solar panels, so that they were in essence *free*
- Many units were installed until the program was dropped in 1985
- Most units were applied to heating swimming pools!
- In other parts of the world, solar water heaters are far more important
  - 90% of homes in Cyprus use them
  - 65% of homes in Israel use them (required by law for all buildings shorter than 9 stories)

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Solar Thermal Generation

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- By concentrating sunlight, one can boil water and make steam
- From there, a standard turbine/generator arrangement can make electrical power
- Concentration of the light is the difficult part: the rest is standard power plant stuff

Concentration Schemes

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- Most common approach is parabolic reflector:

Spherical mirrors bring the light rays to different focal points, resulting in blurry images

Paraboloidal mirrors bring all the light rays to the same focal point

- A parabola brings parallel rays to a common focus
  - better than a simple spherical surface
  - the image of the sun would be about 120 times smaller than the focal length
  - Concentration $\approx 13{,}000 \times (D/f)^2$, where D is the diameter of the device, and f is its focal length

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The steering problem

- A parabolic imager has to be steered to point at the sun
  - requires two axes of actuation: complicated
- Especially complicated to route the water and steam to and from the focus (which is moving)
- Simpler to employ a trough: steer only in one axis
  - concentration reduced to $114 \times (D/f)$, where D is the distance across the reflector and f is the focal length

Receiver

Tracking
mechanism

Concentrator
reflective
surface

39
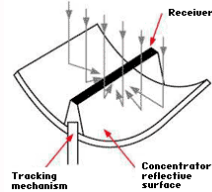
Power Towers

Power Tower in Barstow, CA

40

Who needs a parabola!

- You can cheat on the parabola somewhat by adopting a steerable-segment approach
  - each flat segment reflects (but does not itself focus) sunlight onto some target
  - makes mirrors cheap (flat, low-quality)
- Many coordinated reflectors putting light on the same target can yield very high concentrations
  - concentration ratios in the thousands
  - Barstow installation has 1900 20×20-ft^2 reflectors, and generates 10 MW of electrical power
    - calculate an efficiency of 17%, though this assumes each panel is perpendicular to sun
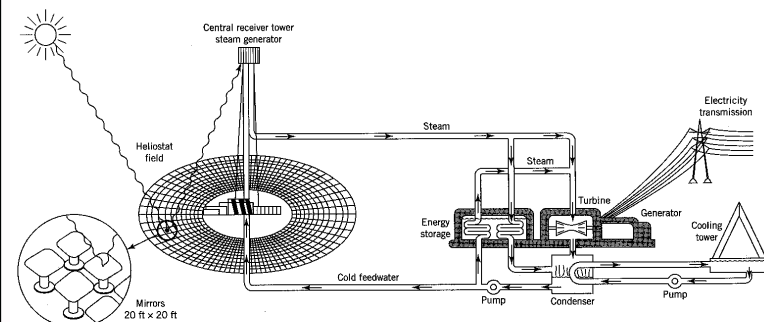
41

Barstow Scheme

Figure 4.11 A schematic view of a 10 MW$_e$ solar-thermal power plant near Barstow, California. The receiver and boiler that absorb the sunlight reflected from 1900 heliostats are at the top of a 90 meter tower. The heliostats are each steered by computer control to reflect the sunlight onto the receiver. The steam from the boiler can be either delivered directly to the turbine and generator or to storage. The storage system can provide steam for 4 hours of generation at a level of 7 MW$_e$ without sunlight. (Figure supplied by the Solar Energy Research Institute.)

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Solar thermal economics

- Not cost-competitive at this time: 3–4 times as expensive as fossil fuel alternatives
- Example: Luz International's solar troughs
  - 1983 13.8 MW plant cost $6 per peak Watt
    - 25% efficient
    - about 25 cents per kWh
  - 1991 plant cost $3 per peak Watt
    - 8 cents per kWh
  - total of 354 MW put on grid until bankruptcy hit

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Blythe Solar Project: Mojave Desert 1GW

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- Millennium, LLC and Chevron Energy Solutions, the joint developers of this project, propose to construct, own, and operate the Blythe Solar Power Project. The project is a concentrated solar thermal electric generating facility with four adjacent, independent, and identical solar plants of 250 megawatt (MW) nominal capacity each for a total capacity of 1,000 MW nominal.The project will utilize solar parabolic trough technology to generate electricity. With this technology, arrays of parabolic mirrors collect heat energy from the sun and refocus the radiation on a receiver tube located at the focal point of the parabola. A heat transfer fluid (HTF) is heated to high temperature (750°F) as it circulates through the receiver tubes. The heated HTF is then piped through a series of heat exchangers where it releases its stored heat to generate high pressure steam. The steam is then fed to a traditional steam turbine generator where electricity is produced.The project site is located approximately two miles north of U.S. Interstate-10 (I-10) and eight miles west of the City of Blythe in an unincorporated area of Riverside County, California. The Blythe Airport is about one mile south of the site. The applicants have applied for a fight-of-way (ROW) grant from the U.S. Bureau of Land Management for about 9,400 acres of flat desert terrain. The total area that will be disturbed by project construction and operation will be about 7,030 acres. The area inside the project's security fence, within which all project facilities will be located, will occupy approximately 5,950 acres.

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### Photovoltaics: direct solar to electrical energy

- What could be better: eliminate the middle-man
- The process relies on properties of semiconductors (between metals and insulators) such as silicon
- Silicon is cheap and abundant
  - sand (and earth's crust in general) is full of it
  - until you want it in high-quality crystalline form…

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The basic idea

- Create a *p-n* junction in silicon
  - called a diode, a central component of transistors
- Contact potential sets up an electric field
  - not too dissimilar to funny buzz you get when you put some kinds of metals in your mouth
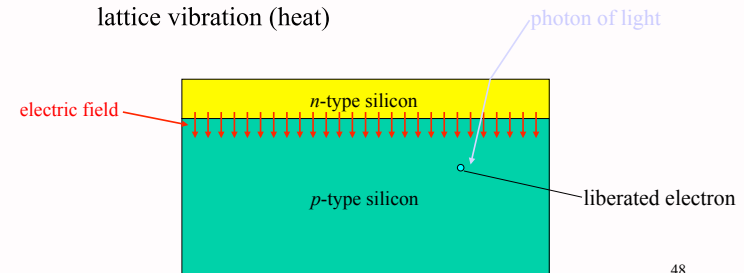
electric field

n-type silicon

p-type silicon

47
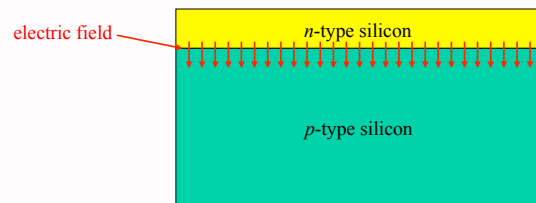
Light energy liberates electrons

- A photon of light striking the silicon penetrates a little way, but eventually knocks an electron out of a silicon atom, ceasing to exist in the process
  - the energy in the photon pulls the electron out of its potential well (doing work to liberate the electron)
  - any left-over energy goes into electron motion and lattice vibration (heat)

photon of light

electric field

n-type silicon

p-type silicon

liberated electron

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Then what happens??

- The electron wanders aimlessly (like a drunkard), constantly changing directions
- If at some point the electron gets close to the junction (and electric field), it is swept rapidly to the other side
  - electrons feel a force opposite to the electric field direction
- This flow of an electron represents a *current*—a flow of charge
- Enough electrons doing this can constitute a macroscopic current flow (and can do external work)

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Provide a circuit for the electron flow

- Without a path for the electrons to flow out, charge would build up and end up canceling electric field
  - must provide a way out
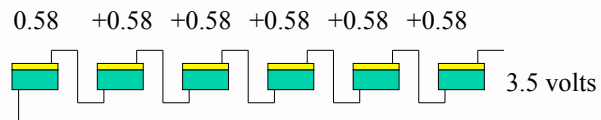 - direct through external load

current flow

external load
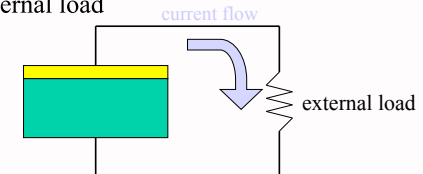
  - PV cell becomes a battery

50

Getting higher voltages

- If you want 120 V AC power, you need to start with something better than 0.58 volts
- Daisy-chain cells in series to stack up voltages

0.58   +0.58 +0.58 +0.58 +0.58 +0.58

3.5 volts

51

How good can it get?

- Silicon is transparent at wavelengths longer than 1.1 microns (1100 nm)
  - 23% of sunlight passes right through with no effect
- Excess photon energy is wasted as heat
  - near-infrared light (1100 nm) only delivers 51% of its photon energy into electrical current energy
  - red light (700 nm) only delivers 33%
  - blue light (400 nm) only delivers 19%
- All together, the maximum theoretical efficiency for a silicon PV in sunlight is about 23%

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Silicon Photovoltaic Budget
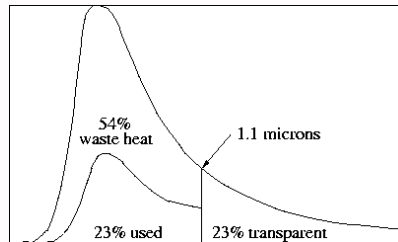
- Only 77% of solar spectrum is absorbed by silicon
- Of what remains, 30% is used as electrical energy
- Net effect is 23% maximum theoretical efficiency

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PV Characteristics

- A photovoltaic cell in sunlight is like a battery
  - characteristic voltage for silicon is 0.58 volts
    independent of area, thickness, etc.
- Typical efficiencies are around 10–12%, though expensive space architectures achieve 20% or even up to 40% with more layers and elements
- Typical residential units cost about $6 per peak Watt ($25,000 for a 4kW system)

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When will PV take over?

- Confusing numbers out there. Some say new coal fired plant produces wholesale electricity at $0.08 - $0.20/kWh; we pay about $0.10/kWh but that includes cheap hydro, nat gas and old power plants. Some says new PV is $0.5-$1.00/kWh => need PV to get 5 times cheaper to compete on purely economic grounds
- Currently there are numbers for PV of $4-$8/Peak Watt, => factor of 5 would be about $1/Peak Watt.
- Should include environmental effects, e.g. if there was a proper Carbon tax, then Coal would greatly increase in price making PV more attractive
- In any case, if PV comes down by a factor of 2 or 3 it should start taking over. Note PV has come down in price by that much in the past 15 years, and there are no reasons why price should not continue to drop as demand increases

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Should I install PV on my roof?

- Suppose 1kW system, hooked to grid with net metering. When do I save money?
- Numbers:
    - Panels $5/W, plus inverters, meters, wires, etc. (say $8/W total)
    - Murphy says get on average 5kWh/day, or 1825kWh/year => save $220/year at $0.12/kWh
    - Panels would cost $5000, but get rebate of $2.5/W or $2500. If inverter costs $1/W add $1000, and $1500 for installation, wiring, grid connection for total of about $5000.
    - Then it would take $5000/$220/year = 23 years to pay off your investment. Since systems are suppose to last 20-30 years, it just breaks even.
    - But one should consider other things: could have invested money instead; price of energy will go up (If energy inflation rate is same as investment return, then calculation above is ok; if energy goes up more then payoff time is quicker than 23 years). What if move out after 5 years (lose money or does PV make house more valuable?).
    - Berkeley is allowing cost to go onto property taxes!
- Conclude: if price of PV drops by factor of 2-3, everyone will do it!

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Example Solar Panel from Prof. Murphy

- Standard rating scheme applies to 1000 W/m² of incident light at 1.5 *airmasses*, and at 25ºC
  - a condition that never really happens
- Example cell rated at 30 W
  - open circuit voltage = 21 V (36 cells in series)
  - short-circuit current = 1.94 amps
  - max power V, I = 16.8 V, 1.78 amps (power = $I \cdot V$)
- More realistic 800 W/m², 1.5 AM, 47 ºC:
  - power = 21.3 W, 14.7 V, 1.45 A
  - total area = 0.228 m² → 182 W incident
  - 21.3/182 = 11.7% efficient, as compared to 16% rating! (remind you of rated mpg in cars vs. what you actually get?)

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Question

◆ The energy from the Sun is 100% used in which of the following?

A. Silicon photovoltaic solar cells

B. Photosynthesis

C. Passive solar space heating

D. Flat plate solar thermal water heating

E. Non of the above

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Question

◆ In planning a rooftop solar system, how many watts per square meter should you use?

A. About 1386 W/m^2

B. About 1/2 of 1386 W/m^2

C. About 500 W/m^2

D. About 171 W/m^2

E. None of the above

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Tilted Surfaces

• Can effectively remove the latitude effect by tilting panels
  – raises incident power on the panel, but doesn't let you get more power per unit area of (flat) real estate

flat arrangement   tilted arrangement
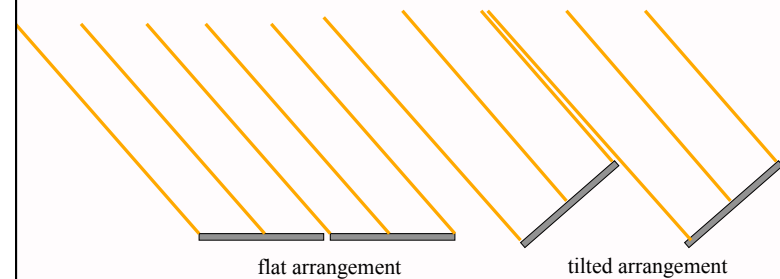
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Which is best?

- To tilt, or not to tilt?
- If the materials for solar panels were cheap, then it would make little difference (on flat land)
- If you have a limited number of panels (rather than limited flat space) then tilting is better
- If you have a slope (hillside or roof), then you have a built-in gain
- Best solution of all (though complex) is to steer and track the sun
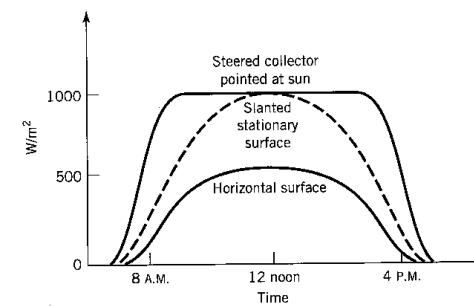
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Orientation Comparison

Figure 4.4 Solar power incident on three types of collectors for a typical winter day at 40° N latitude. The *energy* collected each day is given by the area under each curve.

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Numerical Comparison: winter at 40º latitude

based on clear, sunny days

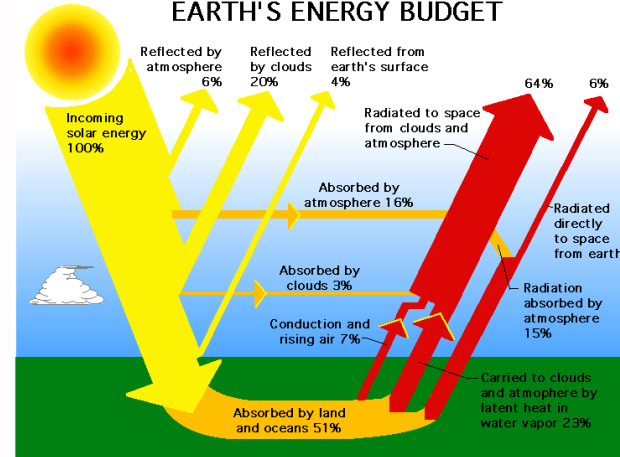| Date	Perpendicular (steered, W/m²)	Horizontal (W/m²)	Vertical S (W/m²)	60º South (W/m²)
Oct 21	322	177	217	272
Nov 21	280	124	222	251
Dec 21	260	103	216	236
Jan 21	287	125	227	256
Feb 21	347	186	227	286
Mar 21	383	243	195	286
	overall winner	better in summer	good in winter	2nd place

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A naturally balanced budget

EARTH'S ENERGY BUDGET

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Comparable numbers

- Both versions indicate about half the light reaching (being absorbed by) the ground
  - 47% vs. 51%
- Both versions have about 1/3 reflected back to space
  - 34% vs. 30%
- Both versions have about 1/5 absorbed in the atmosphere/clouds
  - 19% vs. 19%

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