

1. The fields  $\psi_\lambda, \psi_\mu$  satisfy

$$(\nabla^2 + \gamma_\lambda^2) \psi_\lambda = 0$$

$$(\nabla^2 + \gamma_\mu^2) \psi_\mu = 0$$

cross multiplying  $\psi$ 's and subtracting gives:

$$(\gamma_\mu^2 - \gamma_\lambda^2) \psi_\lambda \psi_\mu = \psi_\mu \nabla^2 \psi_\lambda - \psi_\lambda \nabla^2 \psi_\mu$$

Integrating:

$$(\gamma_\mu^2 - \gamma_\lambda^2) \int da \psi_\lambda \psi_\mu = \int da [\psi_\mu \nabla^2 \psi_\lambda - \psi_\lambda \nabla^2 \psi_\mu]$$

Green's Thm

$$= \oint_c d\ell \left[ \psi_\mu \frac{\partial \psi_\lambda}{\partial n} - \psi_\lambda \frac{\partial \psi_\mu}{\partial n} \right]$$

The RHS vanishes both for ~~TM~~  $(\psi|_S = 0)$   
and TE  $(\frac{\partial \psi}{\partial n}|_S = 0)$  Boundary Cond.

so, provided  $\gamma_\mu^2 \neq \gamma_\lambda^2$ ,  $\int \psi_\mu \psi_\lambda da = 0$

b) The transverse components of the fields are

~~TM~~ TM:  $E_t = \frac{ik}{\gamma^2} \hat{z} \nabla_t E_z$ ,  $H_t = \frac{1}{\gamma} \hat{z} \times \nabla_t E_z$ ,  $E_z = \frac{1}{\gamma^2} \nabla_t^2 H_z$

TE:  $E_t = -\frac{i\mu\omega}{\gamma^2} \hat{z} \times \nabla_t H_z$ ,  $H_t = \frac{1}{\gamma} \hat{z} \times \nabla_t E_z$ ,  $E_z = -\frac{1}{\gamma^2} \nabla_t^2 H_z$

$$\int E_{z\lambda} E_{z\mu} da = 0$$

~~For~~

For 2 TM modes

$$\int E_{z\lambda} E_{z\mu} da = -\frac{k^2}{\gamma_\mu^2 \gamma_\lambda^2} \int_V \nabla_t E_{z\lambda} \cdot \nabla_t E_{z\mu} da$$

$$= -\frac{k^2}{\gamma_\mu^2 \gamma_\lambda^2} \left[ \int_S E_{z\lambda} \frac{\partial E_{z\mu}}{\partial n} dl - \int_V E_{z\lambda} \nabla^2 E_{z\mu} da \right]$$

Using  $\nabla_t^2 E_{z\mu} = -\gamma_\mu^2 E_{z\mu}$

$$\int E_{z\lambda} E_{z\mu} da = -\frac{k^2}{\gamma_\lambda^2} \int E_{z\lambda} E_{z\mu} = 0$$

given normalization

$$= -\frac{k^2}{\gamma_\lambda^2} \left( \frac{-\gamma_\lambda^2}{k_\lambda^2} \delta_{\lambda\mu} \right) = \boxed{\delta_{\lambda\mu}}$$

For TE modes

$$\int E_{t\lambda} E_{t\mu} da = -\frac{\mu^2 \omega^2}{\gamma_\mu^2 \gamma_\lambda^2} \int (\hat{z} \times \nabla_t H_{z\mu}) \cdot (\hat{z} \times \nabla_t H_{z\lambda}) da$$

$$= -\frac{\mu^2 \omega^2}{\gamma_\mu^2 \gamma_\lambda^2} \int \left[ \nabla_t H_{z\lambda} \cdot \nabla_t H_{z\mu} - \underbrace{(\hat{z} \cdot \nabla_t H)}_{\hat{z} \perp \hat{z}} \cdot \underbrace{(\hat{z} \cdot \nabla_t H)}_{\hat{z} \perp \hat{z}} \right] da$$

as above,

$$= -\frac{\mu^2 \omega^2}{\gamma_\lambda^2} \int H_{z\lambda} H_{z\mu} da = -\frac{\mu^2 \omega^2}{\gamma_\lambda^2} \left( \frac{-\gamma_\lambda^2}{k_\lambda^2} \delta_{\lambda\mu} \right)$$

$$= \boxed{\delta_{\lambda\mu}}$$

For TE & TM mode

$$\int E_{z\lambda} E_{z\mu} da = \frac{\mu\omega k}{\gamma_\mu^2 \gamma_\lambda^2} \int \nabla_t E_{z\lambda} \cdot (\hat{z} \times \nabla_t H_{z\mu}) da$$

$$= -\frac{\mu\omega k}{\gamma_\mu^2 \gamma_\lambda^2} \int [\nabla_t E_{z\lambda} \times \nabla_t H_{z\mu}] da$$

$$= -\frac{\mu\omega k}{\gamma_\mu^2 \gamma_\lambda^2} \int \nabla \times (E_{z\lambda} \nabla H_{z\mu}) da$$

$$= -\frac{\mu\omega k}{\gamma_\mu^2 \gamma_\lambda^2} \oint E_{z\lambda} \nabla H_{z\mu} dl = 0$$

So all TM Modes  $\perp$  to TE modes.

for  $\int H_{z\lambda} H_{z\mu} da$ :

TE modes: and TM modes:

$$\int \left( \frac{\epsilon\omega}{k} \hat{z} \times \mathbf{E}_{z\lambda} \right) \left( \frac{\epsilon\omega}{k} \hat{z} \times \mathbf{E}_{z\mu} \right) da$$

$$= \frac{\epsilon\omega^2}{k^2} \int (\hat{z} \times \mathbf{E}_{t\lambda}) (\hat{z} \times \mathbf{E}_{t\mu}) da$$

$$= \frac{1}{\epsilon_\lambda \epsilon_\mu} \int E_{z\lambda t} \cdot E_{z\mu t} - (\hat{z} \cdot \mathbf{E}_{t\mu}) (\hat{z} \cdot \mathbf{E}_{t\lambda}) da$$

$$= \frac{1}{\epsilon_\lambda \epsilon_\mu} \int E_{z\lambda t} E_{z\mu t} da = \boxed{\frac{1}{\epsilon_\lambda \epsilon_\mu} (\delta_{\lambda\mu})}$$

previous result      typo in exam

This result works for all types of modes because it only depends on  $\int E_t E_t da$  which we showed were orthonormal previously

TE & TM modes

$$\text{for } \frac{1}{2} \int (\vec{E}_{t\lambda} \times \vec{H}_{t\mu}) \cdot \hat{z} \, da$$

$$= \frac{1}{2Z_{\lambda\mu}} \int E_{t\lambda} \times (\hat{z} \times E_{t\mu}) \cdot \hat{z} \, da$$

$$= \frac{1}{2Z_{\lambda\mu}} \int E_{t\lambda} \cdot E_{t\mu} - (\hat{z} \cdot E_{t\mu})(\hat{z} \cdot E_{t\lambda}) \, da$$

$$= \boxed{\frac{1}{2Z_{\lambda\mu}} \delta_{\lambda\mu}}$$

2.

For TE modes we have the relations:

$$\begin{aligned} \nabla^2 H_z + \gamma_1^2 H_z &= 0 & |x| < a \\ \nabla^2 H_z + \gamma_2^2 H_z &= 0 & |x| \geq a \end{aligned}$$

where  $\gamma_1^2 = \omega^2 \mu \epsilon_1 - k^2 = \frac{n_1^2}{c^2} \omega^2 - k^2$

$$\gamma_2^2 = \omega^2 \mu \epsilon_2 - k^2 = \frac{n_2^2}{c^2} \omega^2 - k^2$$

For the fields to be confined, must decay outside waveguide, so  $\gamma_2^2 < 0$

We can match B.C. with either

$H_x \sim \sin(\gamma_1 x)$  (~~odd~~ odd)  
or  $H_x \sim \cos(\gamma_1 x)$  (even)

The even modes are: (where  $i\alpha = \gamma_2$ )

$$H_x = \begin{cases} ik/\gamma_1 \cdot H_1 \cos(\gamma_1 x) & |x| < a \\ ik/\alpha H^+ e^{-\alpha x} & x > a \\ -ik/\alpha H^- e^{+\alpha x} & x < -a \end{cases}$$

$$H_z = \begin{cases} H_1 \sin(\gamma_1 x) & |x| < a \\ H^+ e^{-\alpha x} & x > a \\ H^- e^{+\alpha x} & x < -a \end{cases}$$

$H_x, H_z$  continuous at  $x = \pm a$

~~$$H_1 \cos(\gamma_1 a) = \frac{\gamma_1}{\alpha} H_2 e^{-\alpha a}$$~~

$$H_1 \cos(\gamma_1 a) = \frac{\gamma_1}{\alpha} H_2 e^{-\alpha a} \quad (1)$$

$$H_1 \cos(\gamma_1 a) = -\frac{\gamma_1}{\alpha} H_3 e^{-\alpha a} \quad (2)$$

$$H_1 \sin(\gamma_1 a) = H_2 e^{-\alpha a} \quad (3)$$

$$-H_1 \sin(\gamma_1 a) = H_3 e^{-\alpha a} \quad (4)$$

Taking either (3)/(1) or (4)/(2) :

$$\underline{\tan(\gamma_1 a) = \frac{\alpha}{\gamma_1}}, \text{ even modes}$$

For the odd modes, sin & cosines are switched in the fields, Doing the same steps then will give

$$\underline{\cot(\gamma_1 a) = -\frac{\alpha}{\gamma_1}}, \text{ odd modes}$$

These can be combined as

$$\tan\left(\gamma_1 x - p \frac{\pi}{2}\right) = \frac{\alpha}{\gamma_1}, \text{ with } p \text{ even or odd int.}$$

$$\begin{aligned} \frac{\alpha}{\gamma_1} &= \left[ \frac{n_2^2 \omega^2 / c^2 - k^2}{n_1^2 \omega^2 / c^2 - k^2} \right]^{1/2} \\ &= \left[ \frac{(n_1^2 - n_2^2) \omega^2 / c^2 - 1}{n_1^2 \omega^2 / c^2 - k^2} \right]^{1/2} = \left[ \frac{(n_1^2 - n_2^2) \omega^2 / c^2 - 1}{\gamma_1^2} \right]^{1/2} \end{aligned}$$

but  $\gamma$  is wave vector component in  $\hat{x}$ , so

$$\gamma_1 = \frac{\omega^2 n_1^2}{c^2} \sin^2 \theta$$

Then we have

$$\tan\left(\frac{\omega n_1 a \sin\theta}{c} - \frac{p\pi}{2}\right) = \sqrt{\frac{n_1^2 - n_2^2}{n_1^2 \sin^2\theta} - 1}$$

The substitutions

$$V = ka\sqrt{\Delta}, \quad \xi = \frac{\sin\theta}{\sqrt{\Delta}}, \quad \Delta = \frac{n_1^2 - n_2^2}{n_1^2}$$

give  $\tan(V\xi - \frac{p\pi}{2}) = \sqrt{\frac{1}{\xi^2} - 1}$

b) squaring both sides and rearranging gives:

$$1 + \tan^2(V\xi - \frac{p\pi}{2}) = \frac{1}{\xi^2}$$

$$\Rightarrow \sec^2(V\xi - \frac{p\pi}{2}) = \frac{1}{\xi^2}$$

$$\Rightarrow \frac{1}{\cos(\dots)} = \frac{1}{\xi}$$

~~$$\Rightarrow 1 + \frac{1}{\xi^2} (V\xi - \frac{p\pi}{2})^2 = \frac{1}{\xi^2}$$~~

~~$$\frac{1}{\xi^2} + \frac{1}{2} V^2 \xi^2 - V \frac{p\pi}{2} \xi + \left(\frac{p^2 \pi^2}{4}\right) \frac{1}{\xi^2} = 0$$~~

~~$$\xi^0 = \frac{1 + p^2 \pi^2 / 4}{V p \pi / 2} \approx \frac{2}{V p \pi} + \frac{p \pi}{2V}$$~~

Now shift  $\cos(x) \Rightarrow \sin(\pi/2 - x)$

$$\rightarrow \sin\left(\frac{(p+1)\pi}{2} - V\xi\right) = \frac{1}{\xi}$$

$$(p+1)\pi/2 - V\xi^0 = \xi^0$$

$$\xi^0 = \frac{\pi(p+1)}{2(V+1)}$$

Next term is  $\sin x \sim x - \frac{x^3}{6}$

$$\text{so } \xi^{(1)} = -\frac{1}{6} (\xi^0)^2 = -\frac{\pi^2 (p+1)^2}{48 (v+1)^2}$$

$$\rightarrow \xi \sim \frac{\pi(p+1)}{2v+1} \left( 1 - \frac{\pi^2 (p+1)^2}{24 (v+1)^2} \right)$$

We see that indeed  $\xi \ll 1$ , when  $p \ll 1$  &  $v \gg 1$ ,  
so the approximation is consistent.

c) For even mode

$$W_e = \frac{1}{4} \epsilon |E_y|^2$$

$$W_m = \frac{\mu}{4} (|H_x|^2 + |H_z|^2)$$

$$E_y = \begin{cases} -\frac{ik}{\gamma_1} z H_1 \cos(\gamma_1 x) & |x| \leq a \\ (ik/\alpha) z H^+ e^{-\alpha x} & x > a \\ -(ik/\alpha) z H^- e^{\alpha x} & x < -a \end{cases}$$

$$W_{e, \text{core}} = \frac{1}{4} \epsilon H_1^2 \left( \frac{z^2 k^2}{\gamma_1^2} \right) \int_{-a}^a dx \cos^2(\gamma_1 x)$$

$$= \frac{1}{4} \epsilon H_1^2 \left( \frac{z^2 k^2}{\gamma_1^2} \right) (\gamma_1 a + \sin(\gamma_1 a) \cos(\gamma_1 a))$$

$$= \frac{1}{4} \epsilon H_1^2 \left( \frac{z^2 k^2}{\gamma_1^2} \right) (a + 2 \sin(2\gamma_1 a))$$

$$W_{e, \text{clad}} = \frac{\epsilon}{4} \left( \frac{z^2 k^2}{\alpha^2} \right) H_1^2 \left[ \int_a^\infty e^{-2\alpha x} dx + \int_{-\infty}^{-a} e^{2\alpha x} dx \right]$$

$$= \frac{\epsilon}{4} \left( \frac{z^2 k^2}{\alpha^2} \right) \cdot 2 H_1^2 \int_a^\infty e^{-2\alpha x} dx$$

$$= \frac{\epsilon}{4} \cdot H_1^2 \left( \frac{z^2 k^2}{\alpha^2} \right) \cdot \left( \frac{1}{\alpha} e^{-2\alpha a} \right)$$

Use B.C.  $\frac{\gamma_1}{\alpha} H^+ e^{-\alpha a} = H_1 \cos(\gamma_1 a) \rightarrow$

$$= \frac{\epsilon}{4} \left( \frac{z^2 k^2}{\alpha^2} \right) H_1^2 \cos^2(\gamma_1 a) \left( \frac{z^2 k^2}{\alpha^2} \right)$$