

## REVIEW

Maps of Manifolds

$\phi: M \rightarrow M'$  is a map between manifolds

(is a  $C^r$  map if the corresponding map of coordinates is  $C^r$ )

$$\begin{array}{ccc} M & \xrightarrow{\phi} & M' \\ x \downarrow & & y \downarrow \\ \mathbb{R}^n & & \mathbb{R}^m \end{array} \quad \text{so } y \circ \phi \circ x^{-1}: \mathbb{R}^n \rightarrow \mathbb{R}^m \text{ is } C^r$$

Notes:

- In genl not one-to-one
- Even if one-to-one may not have an inverse

So it goes one way.

Let  $f: M' \rightarrow \mathbb{R}$  a function on  $M'$   
(a "scalar" field)

then  $\phi$  defines a function on  $M$ ,  $\phi^* f$

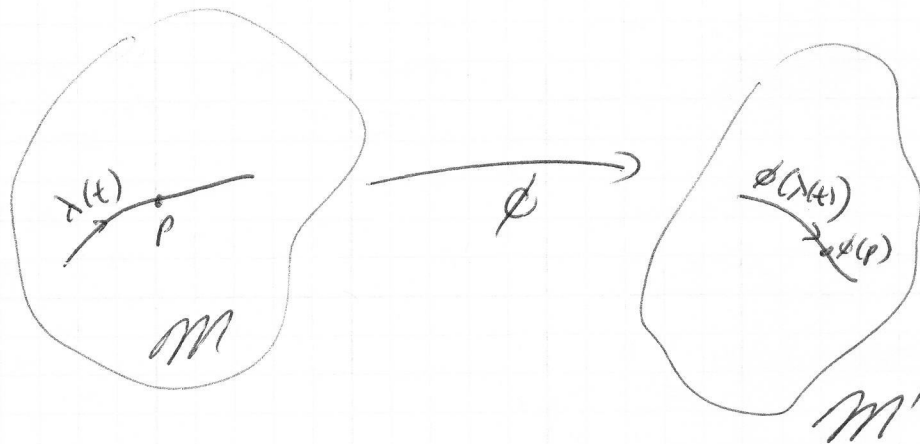
$$\phi^* f: M \rightarrow \mathbb{R}$$

defined by  $M \xrightarrow{\phi} M' \rightarrow \mathbb{R}$

ie if  $p \in M$  then  $\phi(p) \in M'$  and  $f(\phi(p))$  is defined.

(This is a "pull-back" of a zero form)

Go the other direction



By mapping curves  $\lambda(t)$  in  $M$  into  $M'$  we can get maps of tangent vectors.

If  $T_p(M)$  is the tangent space to  $M$  at  $p$  then  
 push-forward  
 $\phi_* : T_p(M) \rightarrow T_{\phi(p)}(M')$

defined by mapping  $\left(\frac{\partial}{\partial t}\right)_\lambda \rightarrow \left(\frac{\partial}{\partial t}\right)_{\phi(\lambda)}$  (denote this by  $\phi_* \left(\frac{\partial}{\partial t}\right)_\lambda$ )

This is a linear transformation between the vector spaces: if  $x^m$  and  $y^a$  are local coordinates on patches of  $M$  &  $M'$ , then the curve is  $x^m(t)$ , mapped into  $y^a(x^m(t))$  and

$$\frac{dy^a}{dt} \Big|_0 = \frac{\partial y^a}{\partial x^m} \Big|_p \frac{dx^m}{dt} \Big|_0$$

or  $N^a = \frac{\partial y^a}{\partial x^m} \Big|_p M^m$  where  $\vec{N} \in T_{\phi(p)}(M')$   
 $\vec{M} \in T_p(M)$

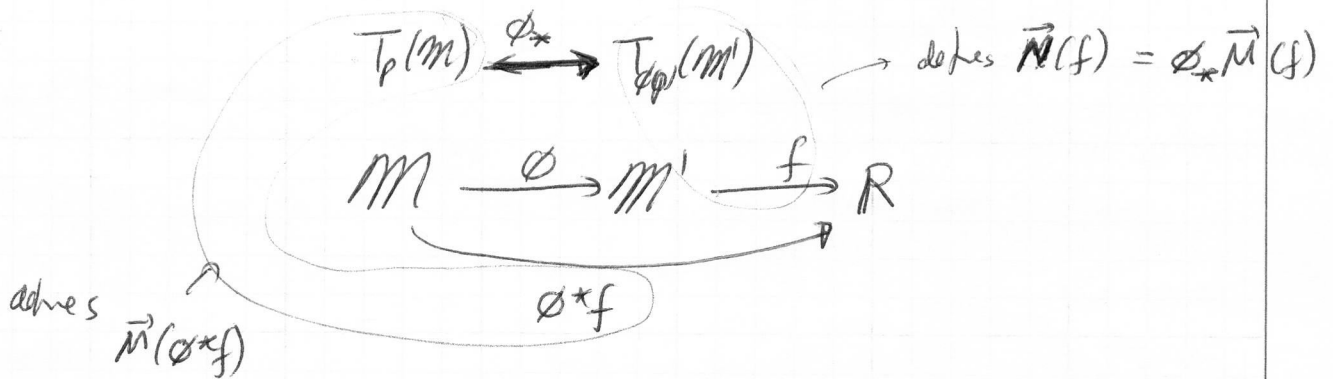
so  $\phi_*$  is just the matrix  $\frac{\partial y^a}{\partial x^m} \Big|_p$ . and we write  $\vec{N} = \phi_* \vec{M}$



Since a vector  $\vec{M}$  is a directional derivative, we use  $\vec{M}(f)$  defined.

A vector gives a map of any function  $f$  at  $p$  into a number. If  $\vec{M} = \frac{\partial}{\partial t}$  then  $\vec{M}(f) = \frac{df}{dt} \Big|_{p=\lambda(t_0)}$  is the derivative of  $f$  along  $\lambda(t)$ .

Explicitly  $\frac{df}{dt}(x(t)) = \frac{df}{dx^a} \dot{x}^a$  so the action of the vector  $\vec{A}$  with coordinates  $a^m$  on  $f$  is  $\vec{A}(f) = a^m \frac{\partial f}{\partial x^m}$ .



$$\vec{M}(\phi^*f) \Big|_p = \phi_* \vec{M}(f) \Big|_{\phi(p)}$$

check:

$$m^a \frac{\partial}{\partial x^a} (f(\phi(x))) \Big|_p = m^a \frac{\partial f}{\partial y^a} \Big|_{\phi(p)} \frac{\partial y^a}{\partial x^m} \Big|_p = (m^a \frac{\partial y^a}{\partial x^m}) \frac{\partial f}{\partial y^a} \Big|_{\phi(p)}$$

where  $\frac{\partial y^a}{\partial x^m}$  are the components of  $\phi_* \vec{M}$ .

Students: should always flesh out relations in terms of coordinate patches, to make sure they understand.

Go on to 1-forms: define pull-back

$$\phi^*: T_{\phi(p)}^*(M') \rightarrow T_p^*(M)$$

by requiring the contraction is mapped properly:  $\phi^*: \tilde{\omega} \rightarrow \phi^* \tilde{\omega}$

$$\left( \phi^*: \tilde{\omega} \in T_{\phi(p)}^*(M') \rightarrow \phi^* \tilde{\omega} \in T_p^*(M) \right)$$

with  $\boxed{\tilde{\omega}(\phi_* \vec{M}) = \phi^* \tilde{\omega}(\vec{M})}$

~~defines  $\phi^* \tilde{\omega}$~~

~~Recall~~

$$T_p \xrightarrow{\phi_*} T_{\phi(p)}$$

$$M \xrightarrow{\phi} M'$$

$$T_p^* \xleftarrow{\phi^*} T_{\phi(p)}^*$$

Recall  $\tilde{\omega}(\vec{N})$  is a number, ie  $\tilde{\omega}$  is a map from  $T_p \rightarrow \mathbb{R}$ .  
 (In components  $\tilde{\omega}(\vec{N})|_p = \omega_a N^a|_p$ , the index contraction.  
 Some texts write  $\langle \tilde{\omega}, \vec{N} \rangle$ ).

So the def above gives the action of  $\phi^* \tilde{\omega}$  on vectors  $\vec{M} \in T_p(M)$   
 in terms of the action of  $\tilde{\omega}$  on vectors  $\vec{N} \in T_{\phi(p)}(M')$ , which is  
 (In components  $(\phi^* \tilde{\omega})_\mu M^\mu = \omega_a N^a = \omega_a \frac{\partial y^a}{\partial x^\mu} M^\mu$

that is  $(\phi^* \tilde{\omega})_\mu = \omega_a \frac{\partial y^a}{\partial x^\mu}$ ).

In particular  $\phi^*(df) = d(\phi^* f)$

(In components  $df = f_{,a} dy^a$   $\phi^*(df) = f_{,a} \frac{\partial y^a}{\partial x^\mu} dx^\mu$

while  $d(\phi^* f) = df(y(x)) = \left( \frac{\partial f}{\partial y^a} \frac{\partial y^a}{\partial x^\mu} \right) dx^\mu$  ✓).



Surjective:  $\phi$  is surjective if  $\text{rank of } \phi =$   
dimension of  $M'$   
 $k = n'$

(So that  $n \geq n'$ ).

~~(Immersion:  $\phi$  is an immersion if it has an inverse  $\phi^{-1}$   
(with same differentiability as  $\phi$ ) such that  
for each  $p \in M$  there is  $U \subset M$  with  $p \in U$~~

$$\phi^{-1}: \phi(U) \rightarrow U$$

~~(Skip immersion: it ~~is~~ is subtle only when  $C^r$  properties matter)~~

If  $\phi$  is injective  $\forall p \in M$  we say  $\phi$  is an  
immersion (actually, def'n of immersion is ~~of~~ given in terms of  
existence of differentiable inverse of  $\phi$ , and then equivalence of stat's  
is proved)  $\Rightarrow \phi_x: T_p \rightarrow \phi_x(T_p) \subset T_{\phi(p)}$  is an  
isomorphism.

Then  $\phi(M) \subset M'$  is an  $n$ -dimensional immersed  
submanifold in  $M'$ .

This is one-one locally, but may not be so globally.

An embedding is, basically, an immersion that is one-one (actually  
a homeomorphism onto its image).

Diffeomorphism: one-to-one map  $\phi: M \rightarrow M'$  with  
inverse  $\phi^{-1}: M' \rightarrow M$ .

Then  $n=n'=k$ ,  $\phi$  is injective and surjective.

Thm: If  $\phi_x$  is injective and surjective at  $p$  then there is  
an open  $U \subset M$ ,  $p \in U$  +  $\phi: U \rightarrow \phi(U)$  is a diffeomorphism.

That is if  $\phi_x: T_p \rightarrow T_{\phi(p)}$  is an isomorphism

then  $\phi$  is a local diffeomorphism.

With a diffeomorphism we can go with  $\phi_x: T_p(M) \rightarrow T_{\phi(p)}(M')$

and with  $(\phi^{-1})^*: T_p^*(M) \rightarrow T_{\phi(p)}^*(M')$

So for any tensor  $T$

$$T(\tilde{\omega}^1, \dots, \tilde{\omega}^s, \vec{M}_1, \dots, \vec{M}_r) \Big|_p = \phi_* T(\phi^{-1})^* \tilde{\omega}^1, \dots, \phi^{-1})^* \tilde{\omega}^s, \phi_* \vec{M}_1, \dots, \phi_* \vec{M}_r \Big|_{\phi(p)}$$

# Differentiation without a connection

Two types arise naturally:

- Exterior derivative
- Lie derivative

Exterior derivative  $d: \Omega_s \rightarrow \Omega_{s+1}$

$\Omega_s$ : linear space of  $s$ -forms  $\tilde{a} = a_{\mu_1 \dots \mu_s} dx^{\mu_1} \wedge \dots \wedge dx^{\mu_s}$

( $\Omega_s \subset T_s^0$ , is the totally antisymmetric  $T_s^0$  tensors).

Recall if  $\tilde{a} \wedge \tilde{b}$  are  $p$  &  $q$  forms,  $\tilde{a} \wedge \tilde{b} = (-1)^{pq} \tilde{b} \wedge \tilde{a}$ .

$$\begin{aligned} d \text{ acts by } d\tilde{a} &= da_{\mu_1 \dots \mu_s} \wedge dx^{\mu_1} \wedge \dots \wedge dx^{\mu_s} \\ &= \frac{\partial a_{\mu_1 \dots \mu_s}}{\partial x^\sigma} dx^\sigma \wedge dx^{\mu_1} \wedge \dots \wedge dx^{\mu_s} \end{aligned}$$

Exercise: show

- this is indeed a  $T_{s+1}^0$  (tensor) (obvious from first line)
- $d(a \wedge b) = da \wedge b + (-1)^s a \wedge db$  if  $a$  is an  $s$ -form
- $d(d\tilde{a}) = 0$
- $d(\phi^* \tilde{a}) = \phi^*(d\tilde{a})$

Useful integration results (reminder)

$$\begin{aligned} \text{if } \phi \text{ is a diffeomorphism} & \int_M \tilde{a} = \int_{M'=\phi(M)} \phi_* \tilde{a} \\ \text{and } \tilde{a} \text{ is an } n\text{-form} & \\ (\quad n = \dim M) & \end{aligned}$$

If  $\tilde{b}$  is an  $n-1$  form

$$\int_{\partial M} \tilde{b} = \int_M d\tilde{b}$$

Stoke's theorem.

# Lie derivative

Let  $\vec{M}$  vector field on  $M$   
 Thm.  $\Leftrightarrow$  unique ~~point~~ <sup>curve  $\lambda(t)$</sup>  through  $p$  with  $\lambda(0) = p$  and  $\vec{M} = \frac{d}{dt}$   
 (Fundamental of diff. eqs)

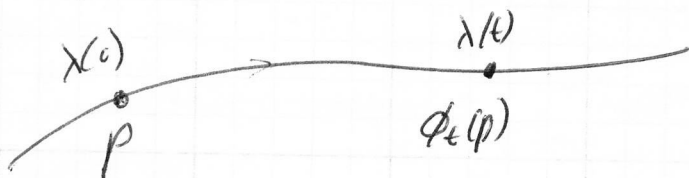
With locally, with coordinates  $x^M$ ,  $\lambda(t)$  is  $x^M(t)$  with tangent  $\frac{dx^M}{dt}$ ; so the theorem above is the statement of uniqueness of solution of

$$\frac{dx^M}{dt} = M^M(x(t))$$

$\lambda(t)$  is the "integral curve of  $\vec{M}$ "



Given  $\vec{M}$  we can construct a diffeomorphism  $\phi_t$  of  $M$  into itself (actually from small open neighborhoods  $U \ni p$  into  $M$ ), that maps  $p$  into the point along the curve a distance ~~distance~~ <sup>parameter</sup>  $t$  away



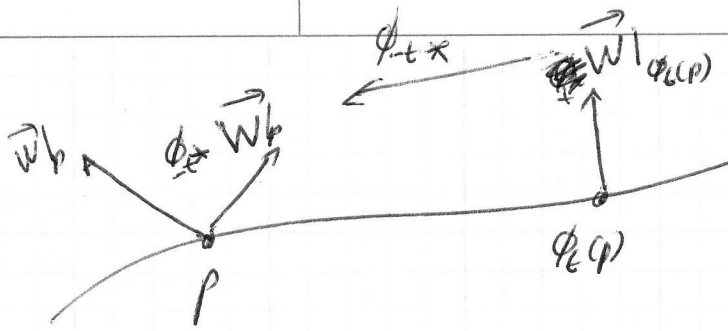
(Note  $\phi_t$  forms a one parameter local group of diffeomorphisms.

$$\phi_{t+s} = \phi_t \circ \phi_s = \phi_s \circ \phi_t \quad \phi_{-t} = (\phi_t)^{-1} \quad \phi_0 = \text{identity}$$

From  $\phi_t$  construct  $\phi_{t*} : T_p^s(M) \rightarrow T_{\phi_t(p)}^s(M)$

$$T|_p \rightarrow \phi_{t*} T|_{\phi_t(p)}$$





Since  $\phi_{t,x}$  is a diffeomorphism,  $\phi_{t,x}$  is an isomorphism, we can directly compare  $\phi_{t,x}^* T$  with  $T$ . Let the Lie derivative at  $p$  be

$$L_{\vec{M}} T = \lim_{t \rightarrow 0} \frac{1}{t} [\phi_{t,x}^* T - T]$$

Note: both etc.

Properties:

(i) If  $T \in T_s^r(p) \Rightarrow L_{\vec{M}} T \in T_s^r(p)$

(ii)  $L_{\vec{M}}$  is linear

(iii)  $L_{\vec{M}}$  preserves contraction

(iv)  $L_{\vec{M}} (T \otimes S) = L_{\vec{M}} T \otimes S + T \otimes L_{\vec{M}} S$

(v)  $L_{\vec{M}} f = \vec{M}(f)$  (if a form  $f: M \rightarrow \mathbb{R}$ )

# L<sub>V</sub>W

Start from  $M \xrightarrow{\phi_t} M$   
 $x \downarrow \quad y \downarrow$   
 $\mathbb{R}^n \quad \mathbb{R}^n$

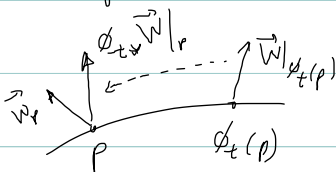
with  $\phi_t$  the integral curve of  $\vec{V}$ ,  $\frac{dx^m}{dt} = V^m(x(t))$

For small  $t$  this is  $x^m(t) = x^m(0) + tV^m(x(0))$ .

Starting from  $x^m$ , the coordinate for  $p$ , this is  $x^m(t) = x^m + tV^m(x^m)$ .

So  $y^m = x^m + tV^m(x^m)$  (to order  $t$ ).

Now for  $L_V W$  we need:



$$M \xrightarrow{\phi_{-t}} M \quad x^a = y^a - tV^a(x)$$

$\uparrow$   
 (or  $y$ , difference is higher order in  $t$ )

So we take the vector field  $\vec{W}$  at  $\phi_t(p)$ ,  $W^m(x^m + tV^m)$  and push

forward by  $\phi_{-t}$ :  $(\phi_{-t}^* W)^a|_p = \frac{\partial x^a}{\partial y^m} W^m|_{\phi_t(p)}$ , where  $x^a = y^a - tV^a(x)$

with  $y$  the coordinate of  $\phi_t(p)$ .

$$\text{That is, } \frac{\partial x^a}{\partial y^m} \Big|_{\phi_t(p)} = \delta^a_m - tV^a_{,m} \Big|_{\phi_t(p)}$$

So in terms of coordinates at  $p$ ,

$$(\phi_{-t}^* W)^a|_p = (\delta^a_m - tV^a_{,m}(x+tv)) W^m(x+tv)$$

$$\text{Now } L_V W = \lim_{t \rightarrow 0} \frac{1}{t} \left[ (\phi_{-t}^* W)^a|_p - W^a|_p \right] = V^m \partial_m W^a - \partial_m V^a W^m$$

Note, with  $\vec{V} = V^m \partial_m$  and  $\vec{W} = W^m \partial_m$  then

$$[V^\lambda \partial_\lambda, W^\nu \partial_\nu] = V^\lambda \partial_\lambda W^\nu \partial_\nu - W^\lambda \partial_\lambda V^\nu \partial_\nu = (V^\lambda \partial_\lambda W^\nu - W^\lambda \partial_\lambda V^\nu) \partial_\nu$$

$$\Rightarrow L_{\vec{V}} \vec{W} = [\vec{V}, \vec{W}]$$

Look closely at  $\mathcal{L}_v(f)$

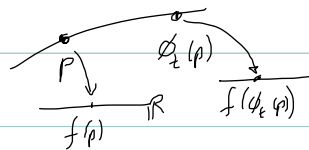
Recall  $M \xrightarrow{\phi} M' \xrightarrow{f} \mathbb{R} \Rightarrow \phi^* f : M \rightarrow \mathbb{R}$  is  $\phi^* f = f \circ \phi$   
or  $\phi^* f(p) = f(\phi(p))$ .

Also if  $\phi$  is a diffeomorphism then  $M \xrightleftharpoons[\phi^{-1}]{\phi} M'$

The push forward of the inverse is just the pull-back:

$$(\phi^{-1})_* f : M \rightarrow \mathbb{R} \text{ is } \phi^* f : M \rightarrow \mathbb{R}$$

For  $\mathcal{L}_t f$  we need  $(\phi_{-t})_* f|_p$ :



$(\phi_{-t})_* f|_p$  just says it's the function that maps p to the value under f of  $\phi_t(p)$   
or  $f(\phi_t(p)) \Rightarrow \mathcal{L}_v(f) = \frac{1}{t} (f(\phi_t(p)) - f(p)) = \frac{1}{t} (f(x+vt) - f(x)) = V^m \partial_m f$

Formally  $M \xrightarrow{\phi_t} M \xrightarrow{f} \mathbb{R}$  defines  $\phi_t^* f(p) = f(\phi_t(p))$

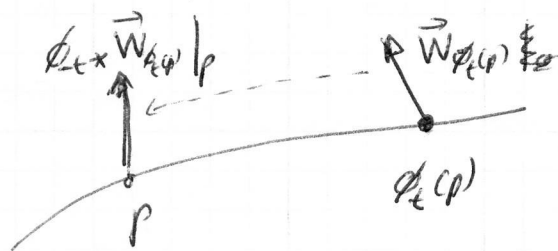
The def of  $\mathcal{L}_v$ :  $\mathcal{L}_v T = \lim_{t \rightarrow 0} \frac{1}{t} [ \phi_{-t}^* T|_p - T|_p ]$

Just recall that  $(\phi_{-t})_*$  is a push-forward from the neighborhood of  $\phi_t(p)$  to p.  
which is the same as a pull-back from p to  $\phi_t(p)$ . which is the pic  
above:  $\mathcal{L}_v(f) = V^m \partial_m f$

Finally  $\mathcal{L}_v(\omega_\mu W^\mu) = V^\nu \partial_\nu (\omega_\mu W^\mu) = \mathcal{L}_v(\omega_\mu) W^\mu + \omega_\mu \mathcal{L}_v(W^\mu)$

$$\mathcal{L}_v(\omega_\mu) W^\mu = V^\nu \partial_\nu (\omega_\mu W^\mu) - \omega_\mu (V^\nu \partial_\nu W^\mu - \partial_\nu V^\mu W^\nu) = (V^\nu \partial_\nu \omega_\mu + \partial_\nu V^\mu \omega_\mu) W^\mu$$

Get  $\mathcal{L}_{\vec{M}} \vec{W}$  explicitly:



This page (except last line) superseded by previous two

Recall  $M \xrightarrow{\phi} M'$

then  $\vec{W}_p \rightarrow \phi_* \vec{W}|_{\phi(p)}$

$$\text{means } W^m \rightarrow (\phi_* W)^a = \frac{\partial x^a}{\partial x^m} \Big|_p W^m \Big|_p$$

Moreover, for our case  $\phi_{t*}$  at  $p$  is what? Take

$$M \xrightarrow{\phi_t} M'$$

$$\vec{W}_{\phi_t(p)} \rightarrow \phi_{t*} \vec{W}|_p$$

$$(\phi_{t*} W)^a \Big|_p = \frac{\partial x^a}{\partial x^m} \Big|_{\phi_t(p)} W^m \Big|_{\phi_t(p)}$$

But  $y^a(x^m)$  is just the shift in coordinates along the curve:  
 $x^a$  are the coordinates of  $p$ , i.e.  $t=0$  of  $x^m$ , the coordinates of  $\phi_t(p)$ .

If the curve is the integral of  $\frac{dx^m}{dt} = M^m$  ( $\vec{M}$  a vector field).

Then,  $x^m(t) = x^m(0) + t M^m$  to order  $t$ , and  $y^a(x^m)$  is just

$$x^m(0) = x^m(t) - t M^m \text{ so } \frac{\partial x^a}{\partial x^m} \Big|_{\phi_t(p)} = \delta^a_m - M^m_{,m} t$$

$W^m \Big|_{\phi_t(p)}$  is just  $W^m(x^m(t)) = W^m(x^m(0) + t M^m) = W^m \Big|_p + t M^m_{,m} W^m \Big|_p$

$$\text{so } \phi_{t*} W \Big|_p - W \Big|_p = (\delta^a_m - M^m_{,m} t) (W^m + t M^m_{,m} W^m) - W^a$$

$$\text{and } \left( \mathcal{L}_{\vec{M}} \vec{W} \right)^a = M^m_{,m} W^a - W^m M^m_{,m} = [M, W]^a$$

"Lie bracket"  
"commutator"

13-782  
50 SHEETS FULLER 8 SQUARE  
42-381 50 SHEETS EYECASE 8 SQUARE  
42-380 100 SHEETS EYECASE 8 SQUARE  
42-386 200 SHEETS EYECASE 8 SQUARE  
42-392 100 RECYCLED WHITE 8 SQUARE  
42-389 200 RECYCLED WHITE 8 SQUARE  
Made in U.S.A.  
National Brand

In particular, this shows  $L_{\vec{M}} \vec{W} = -L_{\vec{W}} \vec{M}$

From this, ~~then~~ one can obtain ~~the~~ the action of  $L_{\vec{M}}$  on other tensors:

$$L_{\vec{M}} (\tilde{\omega} \otimes \vec{W}) = L_{\vec{M}} \tilde{\omega} \otimes \vec{W} + \tilde{\omega} \otimes L_{\vec{M}} \vec{W}$$

now, contracting  $\Rightarrow$  The rest of this page has been done above, albeit a little differently... ignore

$$L_{\vec{M}} (\tilde{\omega}(\vec{W})) = L_{\vec{M}} \tilde{\omega}(\vec{W}) + \tilde{\omega}(L_{\vec{M}} \vec{W})$$

Now if we use  $\vec{W} = \vec{E}_\mu$ , a basis vector we can get  $L_{\vec{M}} \tilde{\omega}$ .

In particular, if  $\vec{E}_\mu = \frac{\partial}{\partial x^\mu}$ , the coordinate basis, then

$$L_{\vec{M}}(\tilde{\omega})(\vec{E}_\mu) = (L_{\vec{M}}(\tilde{\omega}))^\nu_\mu \quad \text{the components we are looking for.}$$

$$\begin{aligned} L_{\vec{M}}(\tilde{\omega})(\vec{E}_\mu) &= L_{\vec{M}}(\omega_\mu) = \vec{M}(\omega_\mu) \quad (\text{property (v)}) \\ &= \frac{\partial \omega_\mu}{\partial x^\nu} M^\nu = \omega_{\mu,\nu} M^\nu \end{aligned}$$

$$\text{and } \tilde{\omega}(L_{\vec{M}}(\vec{E}_\mu))^\nu = \frac{\partial (\vec{E}_\mu)^\nu}{\partial x^\rho} M^\rho - \frac{\partial M^\nu}{\partial x^\rho} (\vec{E}_\mu)^\rho = -\frac{\partial M^\nu}{\partial x^\mu}$$

$$\text{so } \tilde{\omega}(L_{\vec{M}}(\vec{E}_\mu))^\nu = -\omega_{\nu,\mu} M^\nu$$

$$\Rightarrow (L_{\vec{M}}(\tilde{\omega}))^\nu_\mu = \omega_{\mu,\nu} M^\nu + M^\nu_{,\mu} \omega_\nu$$

Exercise: Show

$$\begin{aligned}
 \mathcal{L}_M T^{\mu_1 \dots \mu_k}_{\nu_1 \dots \nu_l} &= M^\sigma \partial_\sigma T^{\mu_1 \dots \mu_k}_{\nu_1 \dots \nu_l} \\
 &- (\partial_\lambda M^{\mu_1}) T^{\lambda \mu_2 \dots \mu_k}_{\nu_1 \dots \nu_l} \\
 &- (\partial_\lambda M^{\mu_2}) T^{\mu_1 \lambda \dots \mu_k}_{\nu_1 \dots \nu_l} \\
 &\vdots \\
 &+ (\partial_{\nu_1} M^\lambda) T^{\mu_1 \dots \mu_k}_{\lambda \nu_2 \dots \nu_l} \\
 &+ (\partial_{\nu_2} M^\lambda) T^{\mu_1 \dots \mu_k}_{\nu_1 \lambda \dots \nu_l}
 \end{aligned}$$

In particular

$$\mathcal{L}_M g_{\mu\nu} = M^\sigma \partial_\sigma g_{\mu\nu} + \partial_\mu M^\lambda g_{\lambda\nu} + \partial_\nu M^\lambda g_{\mu\lambda}$$

Since these are tensors equations, we can replace  $\partial$  by  $\nabla$ .

$$\Rightarrow \mathcal{L}_M g_{\mu\nu} = \cancel{M^\lambda} M^\lambda{}_{;\mu} g_{\lambda\nu} + M^\lambda{}_{;\nu} g_{\mu\lambda} = M_{\nu\mu}{}^{;\lambda} + M_{\mu\nu}{}^{;\lambda}$$

or

$$\boxed{\mathcal{L}_M g_{\mu\nu} = 2 M_{(\mu;\nu)} }$$

~~Easy~~ This is useful stuff. We will use it for symmetries later, but ~~the~~ here is a simple application. Assume the action for GR breaks down into

$$S = S_G(g_{\mu\nu}) + S_M(g_{\mu\nu}, \psi) \quad (\star)$$

$\psi$  = matter fields

$S_G$  = "Hilbert" action (Gives Einstein's eqs -- we'll use this later, it came).

~~Consider~~ This theory is "diffeomorphism invariant": ~~the~~  $g_{\mu\nu}$   $\phi: M \rightarrow M$   
 $(M, g_{\mu\nu}, \psi)$  and  $(M, \phi^* g_{\mu\nu}, \phi^* \psi)$

represent the same physics. The change in  $S_M$  under a diffeomorphism

$$\delta S_M = \int d^4x \frac{\delta S_M}{\delta g_{\mu\nu}} \delta g_{\mu\nu} + \int d^4x \frac{\delta S_M}{\delta \psi} \delta \psi$$

Since we could have set  $\psi=0$ ,  $\delta S_G$  can be considered separately (it is invariant by itself; here is where the separation assumption in  $(\star)$  come in).

But  $\frac{\delta S_M}{\delta \psi} = 0$  for any variation. So while here we look only at variations from diffeomorphisms, that term vanishes separately for any variation. Left with first term, we consider diffeomorphisms generated by a vector field  $U^\mu$ :

$$\delta g_{\mu\nu} = \mathcal{L}_U g_{\mu\nu} = 2 U_{(\mu;\nu)}$$

$$\Rightarrow \delta S_M = 0 = \int d^4x \frac{\delta S_M}{\delta g_{\mu\nu}} 2 U_{(\mu;\nu)} = 4 \int d^4x \frac{\delta S_M}{\delta g_{\mu\nu}} U_{\mu;\nu}$$

or

~~or~~

$$= 4 \int d^4x \left( \left[ \frac{\delta S_M}{\delta g_{\mu\nu}} U_\mu \right]_{;\nu} - U_\mu \left( \frac{\delta S_M}{\delta g_{\mu\nu}} \right)_{;\nu} \right)$$



Dropping the surface term and multiplying by  $\frac{\sqrt{g}}{\sqrt{g}}$  we have

$$\int dV U_\mu \nabla_\nu \left[ \frac{1}{\sqrt{g}} \frac{\delta S_M}{\delta g_{\mu\nu}} \right] = 0$$

Since this holds for arbitrary  $U_\mu$  (diffeomorphisms generated by arbitrary vector fields) it must be that

$$\nabla_\nu \left( \frac{1}{\sqrt{g}} \frac{\delta S_M}{\delta g_{\mu\nu}} \right) = 0$$

But

$$T^{\mu\nu} \equiv \frac{1}{\sqrt{g}} \frac{\delta S_M}{\delta g_{\mu\nu}}$$

is the energy-momentum tensor.

13-782 500 SHEETS FILLER 5 SQUARE  
42-381 50 SHEETS EYEGLASS 5 SQUARE  
42-382 100 SHEETS EYEGLASS 5 SQUARE  
42-383 100 SHEETS EYEGLASS 5 SQUARE  
42-389 100 RECYCLED WHITE 5 SQUARE  
42-399 200 RECYCLED WHITE 5 SQUARE  
Made in U.S.A.  
National Brand

## Symmetries, Isometry, Killing Vectors

$\phi: M \rightarrow M$  a diffeomorphism,  $T$  a tensor.

$\phi$  is a symmetry of  $T$  if

$$\boxed{\phi^* T = T}$$

$T$  symmetric

Some symmetries are discrete. But for continuous symmetries there is a one parameter set of diffeomorphism  $\phi_t$ , and then  $T$  is symmetric iff

$$\boxed{\mathcal{L}_U T = 0}$$

$T$  symmetric,  
continuous symmetry.

(Clearly  $U$  generates the curve,  $U = \frac{\partial}{\partial t}$ ).

Note that one can choose coordinates locally so that  $t$  itself is one of the coordinates. In such coordinates

$$\mathcal{L}_U T^{m_1 \dots m_r}_{n_1 \dots n_s} = \partial_t T^{m_1 \dots m_r}_{n_1 \dots n_s}$$

so  $\mathcal{L}_U T = 0 \Rightarrow$  all components of  $T$  are independent of  $t$ .

(Converse is obviously true!)



This can be done in a covariant language as follows:  
assume  $p_\mu$  satisfies geodesic equation:

$$p^\mu p_{\mu;\nu} = 0 \quad (\nabla_\rho p^\rho = 0)$$

Then

$$p^\mu \nabla_\mu (p^\nu K_\nu) = p^\mu p^\nu \nabla_\mu K_\nu + K_\nu p^\mu \nabla_\mu p^\nu = 0$$

But LHS is just  $\frac{d}{d\tau} (p^\nu K_\nu)$  so  $\boxed{p^\nu K_\nu}$  is constant along  
particle path  $\rightarrow$  a conserved quantity, as before.

Exercise: If  $K_{\mu_1 \dots \mu_r}$  is a Killing tensor, i.e., it satisfies

$$\nabla_{(\mu} K_{\mu_1 \dots \mu_r)} = 0,$$

show that  $K_{\mu_1 \dots \mu_r} p^{\mu_1} \dots p^{\mu_r}$  is conserved.

We can see this more generally with our Killing field technology:

Let 
$$\underline{P}^\mu = T^{\mu\nu} K_\nu$$

Then

$$\begin{aligned} P^\mu{}_{; \nu} &= T^{\mu\nu}{}_{; \nu} K_\nu + T^{\mu\nu} K_{\nu; \mu} \\ &= T^{\mu\nu}{}_{; \nu} K_\nu + \frac{1}{2} T^{\mu\nu} K_{(\nu; \mu)} = 0 \end{aligned}$$

So the vector  $P^\mu$  is "conserved current". ~~By Gauss's theorem~~

Example: In flat space (which is highly symmetric):

Killing vectors

$$\vec{P}^{(\alpha)} = \frac{\partial}{\partial x^\alpha} \quad (\text{a vector for each } \alpha = 0, 1, 2, 3)$$

And

$$\vec{M}^{(ij)} = x^0 \frac{\partial}{\partial x^i} - x^i \frac{\partial}{\partial x^0} \quad i, j = 1, 2, 3$$

$$\vec{J}^{(ij)} = x^i \frac{\partial}{\partial x^j} - x^j \frac{\partial}{\partial x^i} \quad i, j = 1, 2, 3$$

10 - isometries generate 10 parameter Lie-group of isometries of flat spacetime, the "inhomogeneous Lorentz group".

To see how this works ~~choose obvious coordinates~~ write components out:  $\vec{P}^{(\alpha)\mu} = (1, 0, 0, 0)$   $\vec{P}^{(\alpha)} = (0, 1, 0, 0) \dots (\vec{P}^{(\alpha)} = \delta^\mu_\alpha)$

~~So~~  $P^\mu{}_{; \nu} = 0$  trivially for all  $(\alpha)$ .

Less trivial:  ~~$M^{(ij)}$~~   $M^{(ij)\mu} = (x^i, x^j, 0, 0) \rightarrow M^{(ij)}{}_{;\mu} = (-x^i, -x^j, 0, 0)$

$$M^{(ij)}{}_{;\mu, \nu} = \begin{pmatrix} 0 & +1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \quad \text{so } M^{(ij)}{}_{;\mu, \nu} = 0 \text{ etc.}$$

Then  $P^\mu = T^{\mu\nu} K_\nu$  gives conservation of  $E, \vec{P}, \vec{J}$  and ? (like  $P + xE$ ?)

500 SHEETS FILLER 5 SQUARE  
42-381 50 SHEETS EYE-EASE 5 SQUARE  
42-382 100 SHEETS EYE-EASE 5 SQUARE  
42-389 200 SHEETS EYE-EASE 5 SQUARE  
42-390 200 SHEETS EYE-EASE 5 SQUARE  
42-399 200 RECYCLED WHITE 5 SQUARE  
Made in U.S.A.



It is clear from the example that ~~manifolds~~ spaces may admit ~~more~~ several (or none) killing vectors.

Since ~~transform~~ symmetry transformations generally form groups (group multiplication = composition of transformations, i.e.  $\phi_2 \circ \phi_1$ ) and these are continuous transformations generated by  $\vec{K}$ 's, we expect there to be Lie groups & the  $\vec{K}$ 's to form Lie algebras. This is indeed the case, with the Lie bracket being just the commutator, i.e.

$$[K_1, K_2] = \mathcal{L}_{K_1} K_2$$

## Maximally Symmetric Spaces

Spaces with high degree of symmetry are easier to analyze.  
What is the highest degree of symmetry?

Consider  $\mathbb{R}^n$  - Euclidean space. Then we had  
 $n$  translations

$$\frac{1}{2}n(n-1) \text{ rotations}$$

$$= \frac{1}{2}n(n+1) \text{ symmetries in total}$$

~~Rot~~ Symmetry under rotations at a point  $p$  is called "isotropy" (at  $p$ )

Symmetry under translation is called "homogeneity" of the space.

This is as much as we can have, and we define a

"maximally symmetric space" = one with  $\frac{1}{2}n(n+1)$  killing vector fields

Let's find them.

At  $p \in M$  choose locally inertial coordinates, so that

$g_{\mu\nu}$  is given by  $\eta_{\mu\nu}$ . Obviously (by construction) this is invariant under local Lorentz transformations. But isotropy means, in this coordinate, at this point  $p$ ,  $R_{\mu\nu\alpha\beta}$  should also be invariant,

$$R_{\mu\nu\rho\sigma} \propto M_{\mu\rho}M_{\nu\sigma} - M_{\nu\rho}M_{\mu\sigma}$$

only tensor with proper symmetries and invariant.

NOTE: A local Lorentz transformation acts only on  $T_p(M)$ , i.e., it is a change of basis vectors  $\{E^a\}$ . It is these vectors that are used to define the components of  $R$ .



If we write this as

~~$$R_{\mu\nu\rho\sigma} = \frac{R}{n(n-1)} (g_{\mu\rho} g_{\nu\sigma} - g_{\mu\sigma} g_{\nu\rho})$$~~

$$R_{\mu\nu\rho\sigma} = \kappa (g_{\mu\rho} g_{\nu\sigma} - g_{\mu\sigma} g_{\nu\rho})$$

since this is a tensorial relation it holds <sup>at p</sup> in any coordinate system. But then use homogeneity  $\Rightarrow$  it holds everywhere on  $\mathcal{M}$  with same constant  $\kappa$ .

Curvature indices

$$R_{\mu\nu\rho\sigma} = \frac{R}{n(n-1)} (g_{\mu\rho} g_{\nu\sigma} - g_{\mu\sigma} g_{\nu\rho})$$

So, in particular, the Ricci scalar is a constant (should be obvious by homogeneity: same  $R$  everywhere).

A maximally symmetric space is determined by

- dimension
- signature
- $R$
- additional topological considerations (global issues).

Warning - up:

$n=2$ ,  $\eta=(++)$  ( $n=2$  almost trivial, since only one compact of  $\mathbb{R}^2$  up to  $\cong$ ).

$R > 0$  the sphere  $\cong S^2$   $ds^2 = a^2 (d\theta^2 + \sin^2\theta d\phi^2)$   $R = \frac{2}{a^2}$

$R = 0$  "  $\mathbb{R}^2$   $ds^2 = dx^2 + dy^2$

$R < 0$  less familiar, the hyperboloid  $H^2$   $ds^2 = \frac{a^2}{y^2} (dx^2 + dy^2)$   $y > 0$

Exercise: For  $H^2$  show

(i)  $R = -\frac{2}{a^2}$  (ii) The distance between  $x_1, x_2$  along  $x = \text{constant}$  is  $a \ln \frac{y_2}{y_1}$

(iii) Geodesics satisfy  $(x-x_0)^2 + y^2 = b^2$  for  $x_0, b$  constants.

Now do  $n=4$  with ~~+++~~

$R > 0$  de Sitter space

$R = 0$  (M.t) Minkowski space

$R < 0$  anti-de Sitter space

Study here. Study causal structure too.

Minkowski Space-time: (initial, but will help us understand key concepts for other spacetimes)

$$ds^2 = - (dx^0)^2 + (dx^1)^2 + (dx^2)^2 + (dx^3)^2$$

$$= - dt^2 + dr^2 + r^2 (d\theta^2 + \sin^2\theta d\phi^2)$$

Null coordinates:

$$v = t + r$$

$$w = t - r$$

$$\infty > v > w > -\infty$$

$$(r \geq 0)$$

$$(0 \leq \theta \leq \pi)$$

$$(0 \leq \phi < 2\pi)$$

$$ds^2 = -dv dw + \frac{1}{4}(v-w)^2 (d\theta^2 + \sin^2\theta d\phi^2)$$

$v = \text{const}$  and  $w = \text{const}$  are null hypersurfaces.

Can we change coordinates to have only finite ranges? Let

$$W = \arctan w$$

$$V = \arctan v$$

$$W < V$$

$$\text{and both in } [-\frac{\pi}{2}, \frac{\pi}{2}]$$

Now

$$ds^2 = \frac{1}{\omega^2} [-4dVdW + \sin^2(V-W) (d\theta^2 + \sin^2\theta d\phi^2)]$$

where  $\omega \equiv 2 \cos W \cos V$

Finally write  $T = V+W$   $R = V-W$

$$0 \leq R < \pi$$

$$|T| + R < \pi$$

so

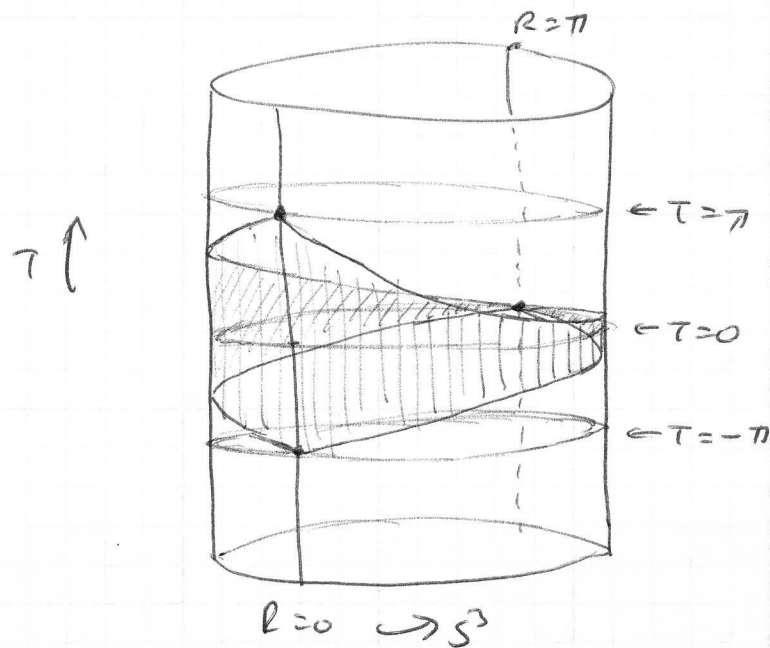
$$ds^2 = \frac{1}{\omega^2} (-dT^2 + dR^2 + \sin^2 R d\Omega^2)$$

with  $\omega = \cos T + \cos R$  (kind of irrelevant for us).

$$ds^2 = \frac{1}{\omega^2} ds_E^2$$

where  $ds_E^2 = -dT^2 + dR^2 + \sin^2 R d\Omega^2$  is the metric for Einstein's static universe!

So Minkowski space is conformal to (a part of) the Einstein static universe  
 (A conformal transformation is a local change of scales)  $\check{g}_{\mu\nu} = \omega^2(x)g_{\mu\nu}$



### Conformal Diagrams (or Penrose Diagrams)

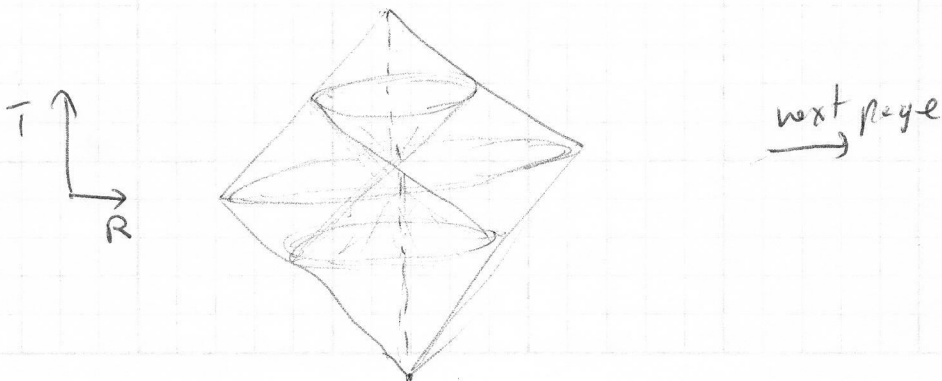
Space-time diagram for space-time  $(M, g)$   $\rightarrow$  It has a "time" coordinate and a "radial" coordinate, with light-cones always at  $45^\circ$ . Also, infinity is at finite coordinate distance (so we can fit it in page).

Conformal transformations leave light-cones invariant

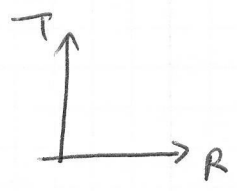
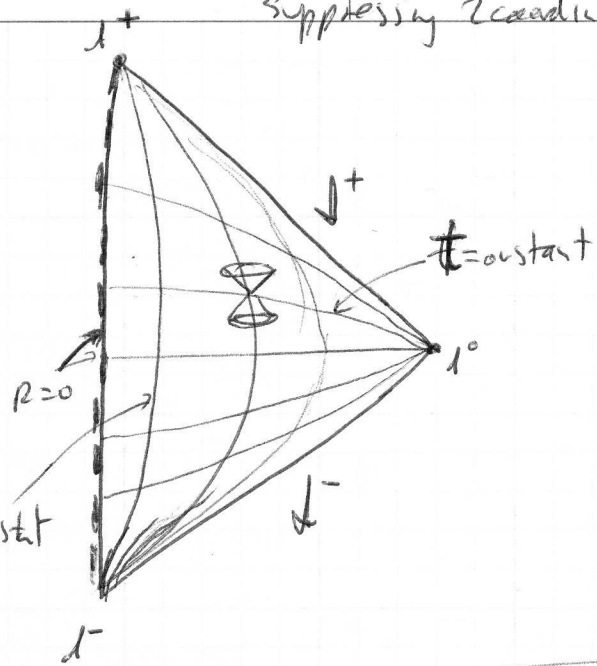
$$\text{(if } ds^{\check{}} = \check{g}_{\mu\nu} dx^\mu dx^\nu = 0 \text{ then } ds^2 = g_{\mu\nu} dx^\mu dx^\nu = 0)$$

They are useful in displaying the causal structure of spacetime.

Draw a circle for each sphere  $(\theta, \phi)$



suppressing 2 coordinates (always done when <sup>with</sup> spherical symmetry)



Note: light cones always everywhere (i.e. 45°)

SEIP  
IN  
CLASS

The constant  $t_r$  surfaces are obtained from

$$T = V + W = t_g'v + t_g'w = t_g'(t+r) + t_g'(t-r)$$

$$R = V - W = t_g'(t+r) - t_g'(t-r)$$

More easily: for  $t = \text{const}$ , eliminate  $r \Rightarrow W + V = 2t$  fixed

$$\Rightarrow \Rightarrow \tan V + \tan W = 2t$$

$$\Rightarrow \tan\left(\frac{1}{2}(T+R)\right) + \tan\left(\frac{1}{2}(T-R)\right) = 2t$$

etc.

$I^+$  = future timelike infinity

$I^-$  = past ✓ ✓

$I^0$  = spatial infinity

$\mathcal{I}^+$  = ("scri-plus") future null infinity

$\mathcal{I}^-$  = past ✓ ✓

Features:

- (i) light cones at 45°
- (ii)  $I^\pm$  are points,  $\mathcal{I}^\pm$  are surfaces (null) with topology  $R \times S^2$
- (iii) timelike geodesics: from  $I^-$  to  $I^+$ ; spacelike from  $I^-$  to  $I^0$   
null geodesics from  $\mathcal{I}^-$  to  $\mathcal{I}^+$

13-782 500 SHEETS FILLER 5 SQUARE  
 42-381 50 SHEETS EVEREAD'S 5 SQUARE  
 42-382 100 SHEETS EVEREAD'S 5 SQUARE  
 42-389 100 SHEETS EVEREAD'S 5 SQUARE  
 42-392 100 RECYCLED WHITE 5 SQUARE  
 42-399 200 RECYCLED WHITE 5 SQUARE  
 Made in U.S.A.



Some obvious things are more obvious in the Penrose diagram.

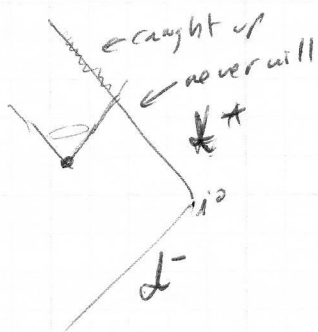
- $i^+$  is in the future lightcone of any event
- $i^-$  is in the past lightcone of any event

i.e. you can reach any point, no matter how far, with a signal if you are willing to wait enough

-  $i^0$  is within the future and past light cone of an event

i.e. you can not reach space-like infinity with a signal in finite time.

If you are willing to wait an infinite time, then a signal can reach  $i^0$  spatial infinity, but will not catch up with other signals emitted by you earlier:

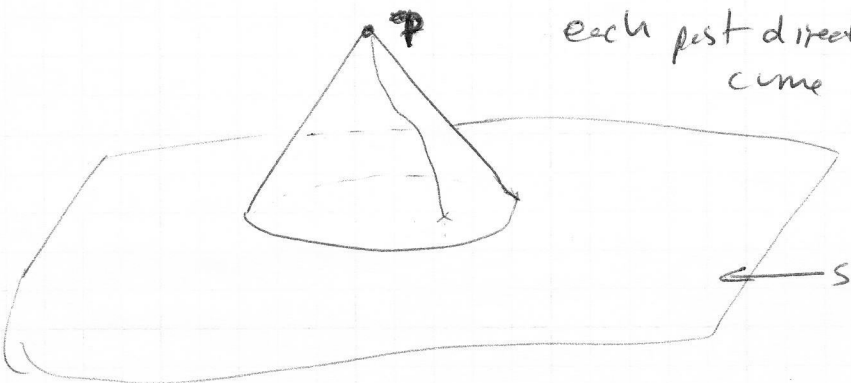


# Cauchy Surfaces

$D^+(S)$ : "future Cauchy development" of  $S$ :

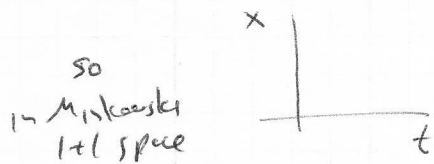
If  $S$  is a space-like 3-surface then

$$D^+(S) = \left\{ p \in M \mid \begin{array}{l} \text{each past directed inextendible} \\ \text{non-spacelike curve through } p \text{ intersects } S \end{array} \right\}$$



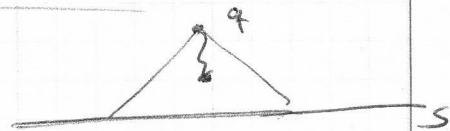
each past directed ~~timelike~~ non-spacelike curve through  $p$  intersects  $S$ .

$\Rightarrow p$  is in  $D^+(S)$



Notes:

- inextendible so that we avoid:



- non-spacelike, we want the part of space that can be causally affected by  $S$ .

~~Strictly define  $D^-(S)$ , "future directed curves" given~~

Since signals ~~cannot~~ <sup>only</sup> travel on non-spacelike curves, if  $p \in D^+(S)$  then knowing data (value of fields and first derivatives), or particle velocities, etc) on  $S$  is enough to predict  $f$  at  $p$ .

Similarly, if we want to evolve back into the past, but have information only on  $S$ , we can only infer the state in  $D^-(S)$  (defined by "future"  $\rightarrow$  "past" as def above).



If  $D^+(S) \cup D^-(S) = M$

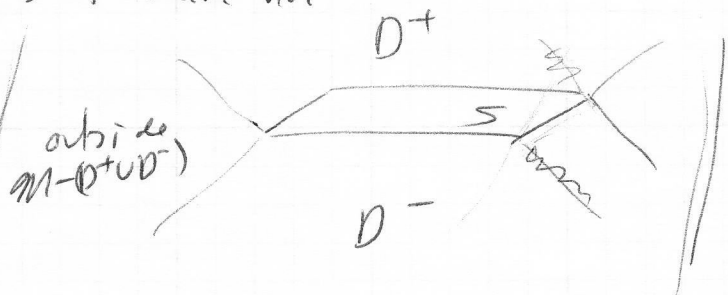
$S$  is called a Cauchy surface

→ In words, ~~if~~ every inextendible non-spacelike curve in  $M$  intersects  $S$ .  ~~$S$  is Cauchy~~

In Minkowski space  $t^2 = 0$  is a Cauchy surface.

In fact  $t^2 = c = \text{a constant}$  is a collection of Cauchy surfaces that cover the whole of  $M$ .

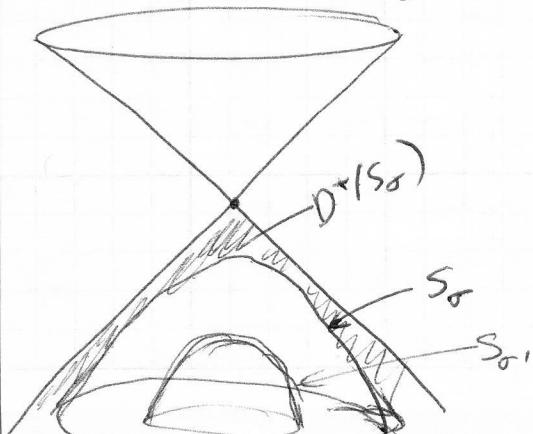
Note every spacelike surface in  ~~$M$~~  (Minkowski space) is Cauchy. Clearly extendible surfaces are not



More interestingly, some inextendible surfaces are not Cauchy. For ex. the surfaces

~~$t^2 = c$~~   $-(x^0)^2 + (x^1)^2 = \sigma \in \mathbb{R}$   
 $-t^2 + x^2 + y^2 + z^2 = \sigma \in \mathbb{R}$

are spacelike if  $\sigma < 0$ . Let  $S_\sigma$  be the surface with  $t < 0$



These  $S_\sigma$  are not Cauchy, ~~at their~~ but are inextendible spacelike. The collection fills the past lightcone of the origin.



## de Sitter space-time

This is  $R > 0$ . Note that this means  $R = \alpha_0 t$

$$R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} = -\frac{1}{4} R g_{\mu\nu} \quad R = \alpha_0 t > 0$$

Comparing with Einstein's equation, (8.8) in Schutz

$$G^{\mu\nu} + \Lambda g^{\mu\nu} = k T^{\mu\nu}$$

we see that either  $k T^{\mu\nu} = -\frac{R}{4} g^{\mu\nu}$  (a very odd fluid??)

or  $\Lambda = \frac{1}{4} R > 0$ . That is, de Sitter spacetime is a solution to Einstein's equations with a ~~constant~~ positive cosmological constant, but no matter.

Since we have observed  $\Lambda \neq 0$ , with  $\Lambda \sim \rho_{\text{dark matter}}$ , and since  $\Lambda$  is constant while  $\rho$  is decreasing with the (slow) expansion of the universe, soon  $\rho$  will be negligible and the future of the universe will be described by ~~approximately~~ (approximately) de Sitter spacetime.

It is defined by embedding the hyperboloid (~~5 dimensions~~)

$$-U^2 + X^2 + Y^2 + Z^2 + W^2 = \alpha^2$$

defined in 5-dim Minkowski space,  $ds_5^2 = -dU^2 + dX^2 + dY^2 + dZ^2 + dW^2$

~~in 5 dimensions~~

Let 
$$U = \alpha \sinh(t/\alpha)$$

$$W = \alpha \cosh(t/\alpha) \cos\chi$$

$$X = \alpha \cosh(t/\alpha) \sin\chi \sin\theta \cos\phi$$

$$Y = \alpha \cosh(t/\alpha) \sin\chi \sin\theta \sin\phi$$

$$Z = \alpha \cosh(t/\alpha) \sin\chi \cos\theta$$

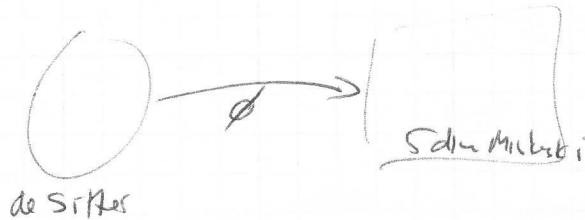
Then

$$ds^2 = -dt^2 + \alpha^2 \cosh^2\left(\frac{t}{\alpha}\right) [d\chi^2 + \sin^2\chi (d\theta^2 + \sin^2\theta d\phi^2)]$$

(Exercise: but not for class here!)

$$(1) \quad -u^2 + x^2 + y^2 + z^2 + w^2 = -\alpha^2 \sinh^2\left(\frac{t}{\alpha}\right) + \alpha^2 \cosh^2\left(\frac{t}{\alpha}\right) [\cos^2\chi + \sin^2\chi (\cos^2\theta + \sin^2\theta)] = \alpha^2 \quad \checkmark \checkmark$$

$$(2) \quad g_{uv} = \frac{\partial x^a}{\partial x^u} \frac{\partial x^b}{\partial x^v} g_{ab} \quad \text{is a pull back } \alpha^*: g \rightarrow \alpha^*g$$

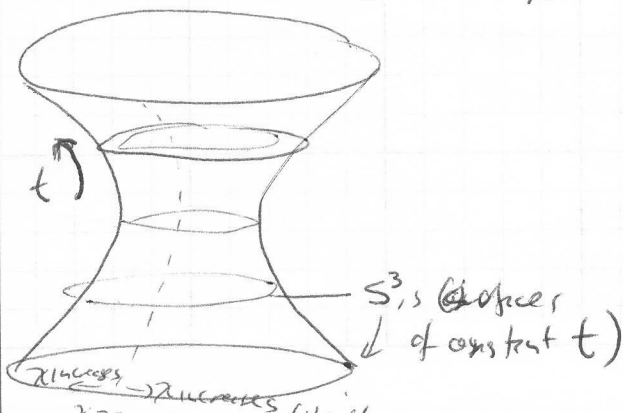


$\phi$  given by the above eqs, i.e.,  $U = \alpha \sinh(t/\alpha)$  etc.

$$\begin{aligned} \text{So } ds^2 &= g_{uv} dx^u dx^v = \frac{\partial x^a}{\partial x^u} \frac{\partial x^b}{\partial x^v} g_{ab} dx^u dx^v \\ &= - \frac{\partial U}{\partial x^u} \frac{\partial U}{\partial x^v} dx^u dx^v + \frac{\partial W}{\partial x^u} \frac{\partial W}{\partial x^v} dx^u dx^v + \dots + \frac{\partial Z}{\partial x^u} \frac{\partial Z}{\partial x^v} dx^u dx^v \\ &= - \cosh^2 \frac{t}{\alpha} dt^2 + \sinh^2 \frac{t}{\alpha} (\cos^2\chi + \sin^2\chi (\cos^2\theta + \dots)) dt^2 \\ &\quad + \alpha^2 \cosh^2 \frac{t}{\alpha} \left[ \sin^2\chi + \cos^2\chi (\cos^2\theta + \sin^2\theta (\cos^2\phi + \sin^2\phi)) \right] d\chi^2 \\ &\quad + \alpha^2 \cosh^2 \frac{t}{\alpha} \left[ \sin^2\chi (d\theta^2 + \sin^2\theta d\phi^2) \right] \end{aligned}$$

Note  $d\Omega_2^2 = d\theta^2 + \sin^2\theta d\phi^2$  metric on a 2-sphere

Similarly  $d\Omega_3^2 = d\chi^2 + \sin^2\chi d\Omega_2^2 \rightarrow$  metric on a 3-sphere



de Sitter space: spatial 3-sphere that shrinks to a minimum radius  $\alpha$ , then re-expands.

topology:  $\mathbb{R}^1 \times S^3$

Another coordinate system that is common is

$$\hat{t} = \alpha \log\left(\frac{w+u}{\alpha}\right) \quad \hat{x} = \frac{\alpha x}{w+u} \quad \hat{y} = \frac{\alpha y}{w+u} \quad \hat{z} = \frac{\alpha z}{w+u}$$

restricted to the hyperboloid (you can simply write a  $d\hat{t}, \dots, \hat{z}$  as a factor of  $t, x, y, z$ ). In terms of these

$$ds^2 = -d\hat{t}^2 + e^{2\hat{t}/\alpha} (d\hat{x}^2 + d\hat{y}^2 + d\hat{z}^2)$$

To see this, write

$$w+u = \alpha e^{\hat{t}/\alpha}$$

$$x = \hat{x} e^{\hat{t}/\alpha}$$

$$y = \hat{y} e^{\hat{t}/\alpha}$$

$$z = \hat{z} e^{\hat{t}/\alpha}$$

and insist on the hyperboloid,  $(w-u)(w+u) + r^2 = \alpha^2 \Rightarrow w-u = \frac{\alpha^2 - r^2 e^{2\hat{t}/\alpha}}{\alpha e^{\hat{t}/\alpha}}$  or

$$w-u = \alpha e^{-\hat{t}/\alpha} - \frac{1}{\alpha} (x^2 + y^2 + z^2) e^{\hat{t}/\alpha}$$

Now proceed with the pull-back of  $\eta^{ab}$ :

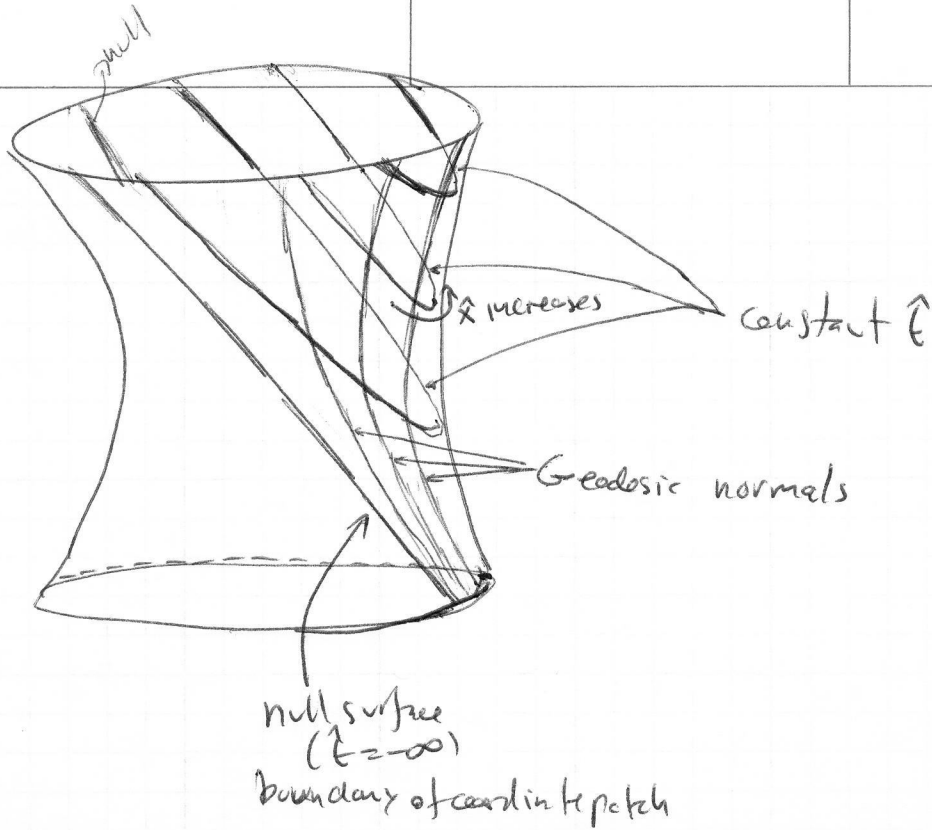
$$ds^2 = d(w+u)d(w-u) + dx^2 + dy^2 + dz^2 = (e^{\hat{t}/\alpha} d\hat{t}) \left[ \left( -e^{-\hat{t}/\alpha} - \frac{r^2}{\alpha^2} e^{\hat{t}/\alpha} \right) d\hat{t} - \frac{r^2}{\alpha} d\hat{r}^2 \right] + \left( d\hat{r} - \frac{\hat{r}}{\alpha} d\hat{t} \right)^2 e^{2\hat{t}/\alpha}$$

cross terms cancel!

This coordinates cover only the region

$$w+u \geq 0$$

of the hyperboloid



$$\begin{aligned} \text{[check } w+u=0 &\Leftrightarrow \alpha \sinh\left(\frac{t}{\alpha}\right) + \alpha \cosh\left(\frac{t}{\alpha}\right) \cos\chi = 0 \\ &\Rightarrow \cos\chi = -\tanh\left(\frac{t}{\alpha}\right) \end{aligned}$$

$$\text{As } t \rightarrow \pm\infty, \tanh\left(\frac{t}{\alpha}\right) \rightarrow \pm 1 \text{ so } \cos\chi \rightarrow \pm 1 \text{ or } \chi \rightarrow 0 \text{ or } \pi \quad ]$$

Penrose diagram for de Sitter:

Change coord from  $t$  to  $t'$  by

$$\tan\left(\frac{1}{2}t' + \frac{\pi}{4}\right) = e^{t/\alpha}$$

with  $t' \in (-\pi/2, \pi/2)$

Not  
ticks

$$dt^2 \frac{e^{2t/\alpha}}{\alpha^2} = \left(\frac{1/2}{\cos^2(\frac{1}{2}t' + \frac{\pi}{4})}\right)^2 dt'^2$$

or

$$dt^2 = \frac{\alpha^2}{4} \frac{1}{\cos^4(\frac{1}{2}t' + \frac{\pi}{4})} \frac{\cos^2}{\sin^2} (dt')^2 = \frac{\alpha^2}{4 \cos^2 \sin^2} dt'^2 = \frac{\alpha^2}{\sin^2(t' + \frac{\pi}{2})} dt'^2$$

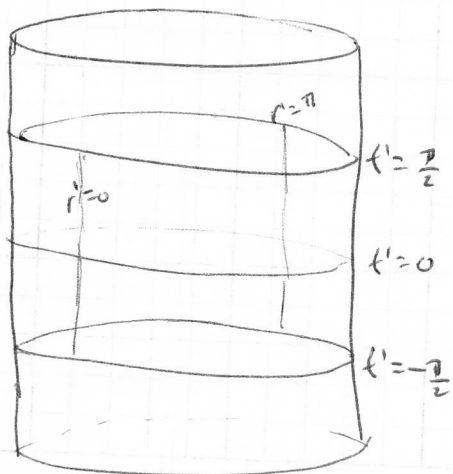
and

$$\cosh \frac{t}{\alpha} = \frac{1}{2} \left( \tan + \frac{1}{\tan} \right) = \frac{1}{2} \frac{\sin^2 + \cos^2}{\sin \cos} = \frac{1}{\sin(t' + \frac{\pi}{2})}$$

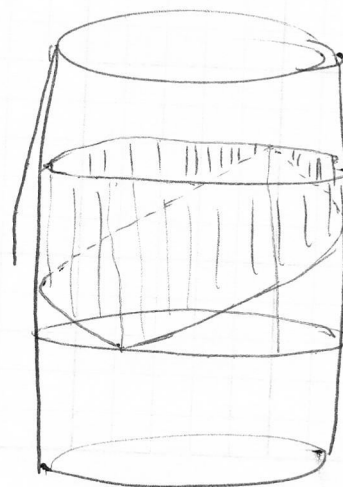
$$ds^2 = \frac{\alpha^2}{\sin^2(t' + \frac{\pi}{2})} d\bar{s}^2 \quad (d\bar{s}^2 = ds_{\text{E}}^2 \text{ in previous notation})$$

where  $d\bar{s}^2 = -dt'^2 + dx^2 + d\Omega_2^2 = -dt'^2 + d\Omega_3^2$

So de-Sitter is conformal to the metric  $d\bar{s}^2 = \text{Einklein Static}$  & familiar from Minkowski. Now



and



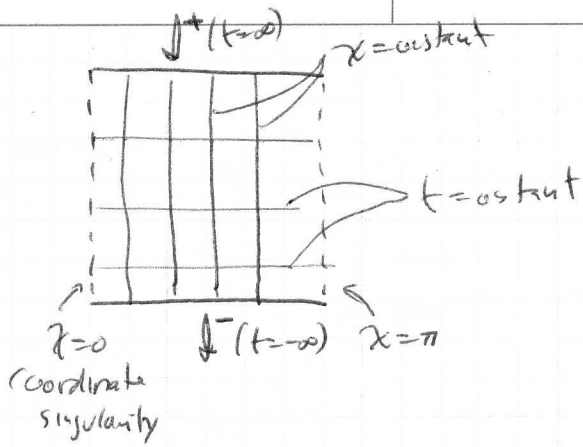
steady  
state  
cosmology

13-782  
42-381  
42-382  
42-392  
42-399  
500 SHEETS FILLER 5 SQUARE  
50 SHEETS EYE-EASE 5 SQUARE  
100 SHEETS EYE-EASE 5 SQUARE  
200 SHEETS EYE-EASE 5 SQUARE  
100 RECYCLED EYE-EASE 5 SQUARE  
200 RECYCLED EYE-EASE 5 SQUARE  
42-399 200 RECYCLED WHITE 5 SQUARE  
Made in U.S.A.



13-782 500 SHEETS, FILLER 5 SQUARE  
 42-382 50 SHEETS, VEASER 5 SQUARE  
 42-382 100 SHEETS, VEASER 5 SQUARE  
 42-389 200 SHEETS, VEASER 5 SQUARE  
 42-382 100 RECYCLED WHITE 5 SQUARE  
 42-389 200 RECYCLED WHITE 5 SQUARE  
 Made in U.S.A.

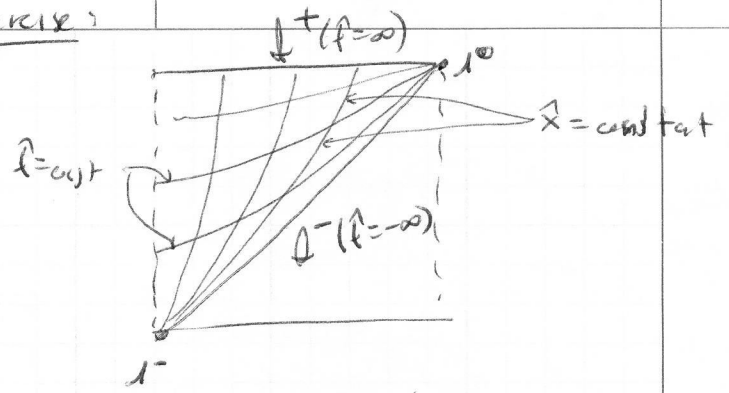
Exercise:



de-Sitter

$\downarrow$  spacelike future/past infinity.

Horizons: (NEXT PAGE)



Steady-state universe  
 of Bondi & Gold, and Hoyle (circa 1948)

# Horizons

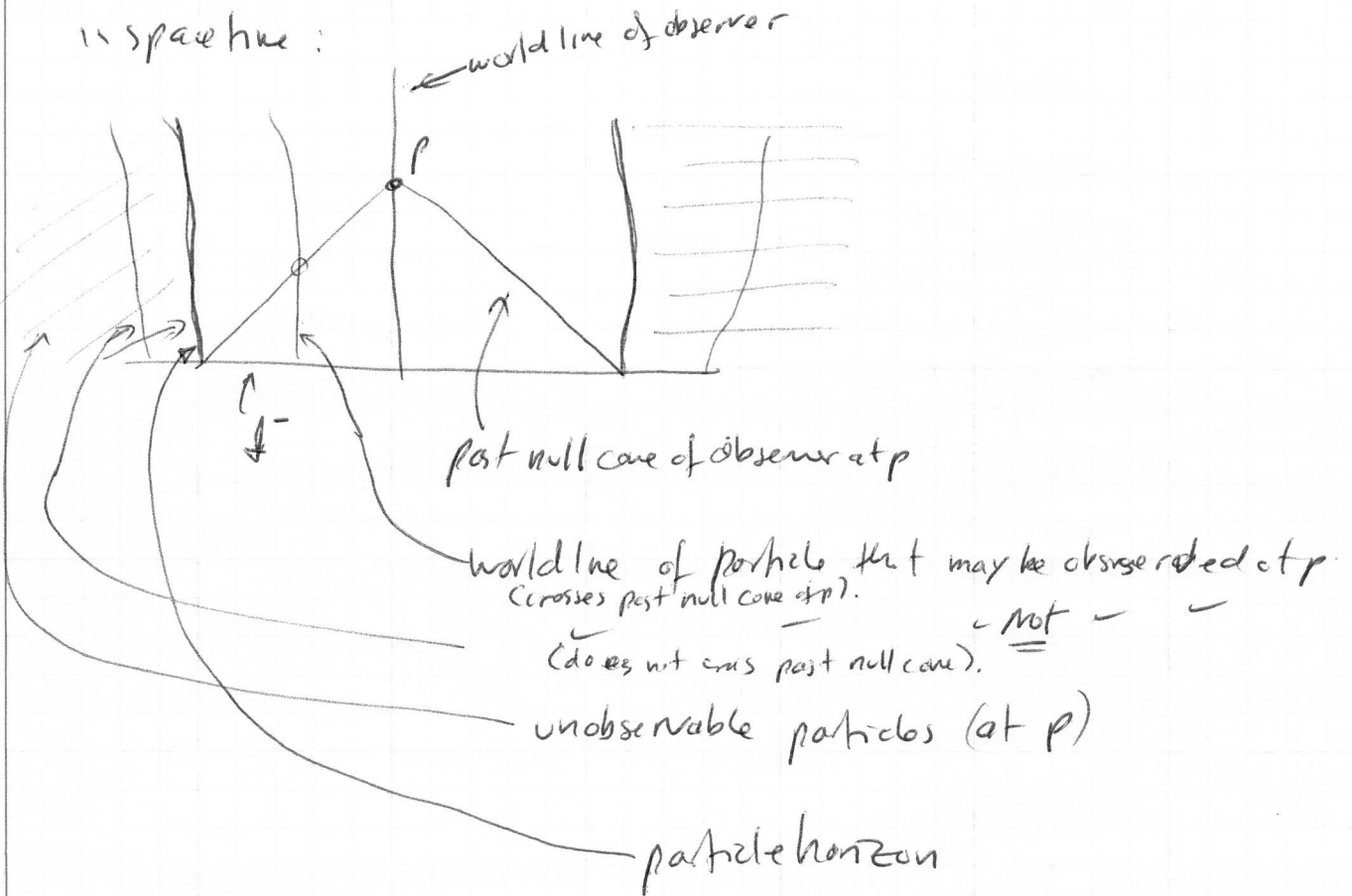
de Sitter future & past infinities are spacelike

(contrast with Minkowski's timelike).

This gives rise to both particle & event horizons

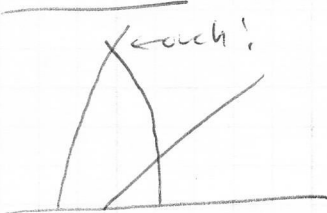
Particle horizon: defined for an observer at some event  $p$

in spacetime:



So the particle horizon separates the region of spacetime occupied by particles that may have been seen at  $p$  from those that can not be seen at  $p$ .

Particle horizons are defined with respect to a congruence of world-lines. Problem is

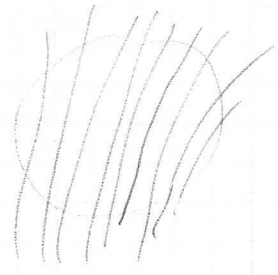


→ so we wouldn't be able to separate space into two pieces → no "horizon".



So we  
 Congruence is a set of <sup>curves</sup> lines such that  
 each point  $p$  (in some open set  $U \subset M$ ) is in exactly one  
~~the~~ curve.

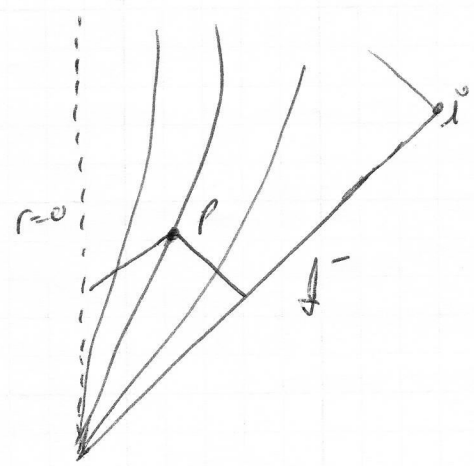
merger



By definition, curves in a congruence do not cross.

Examples:

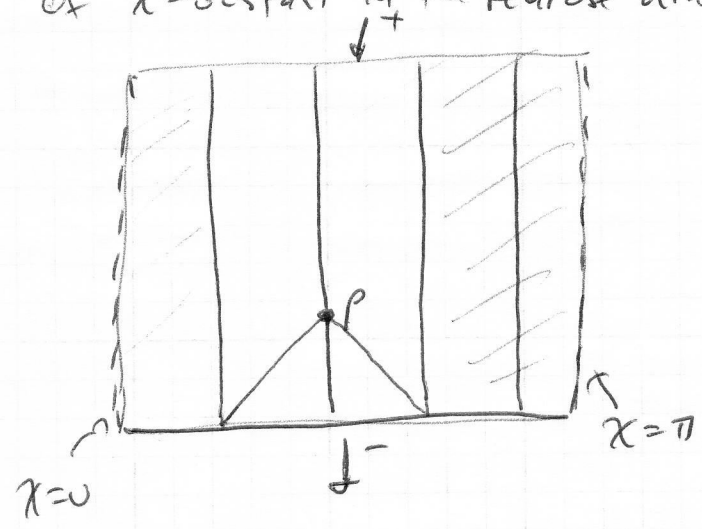
(i) There are no particle horizons in Minkowski space



every timelike geodesic  
 crosses the past light cone of  $p$ .

More generally, this is true if  $I^-$  is null.

(ii) de-Sitter does have particle horizons. Consider the congruence  
 at  $\chi = \text{constant}$  in the Penrose diagram



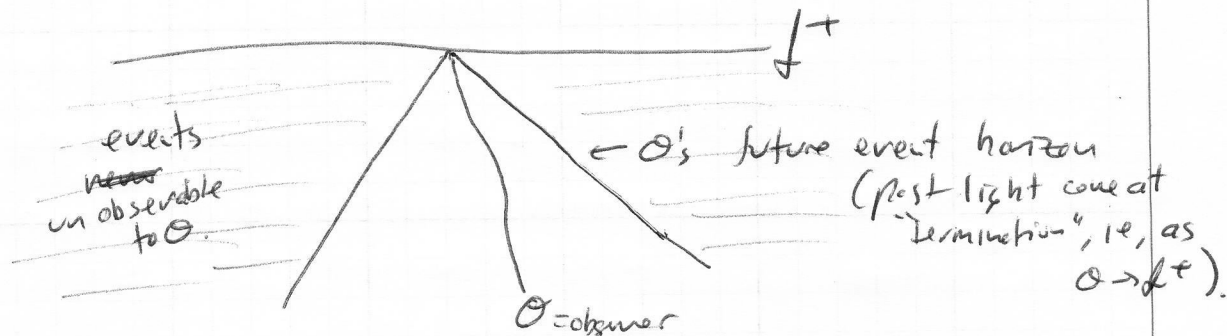
13-782 500 SHEETS, FILLER, 5 SQUARE  
 42-381 100 SHEETS, EYE-EASY, 5 SQUARE  
 42-382 200 SHEETS, EYE-EASY, 5 SQUARE  
 42-389 100 RECYCLED WHITE, 5 SQUARE  
 42-389 200 RECYCLED WHITE, 5 SQUARE  
 Made in U.S.A.



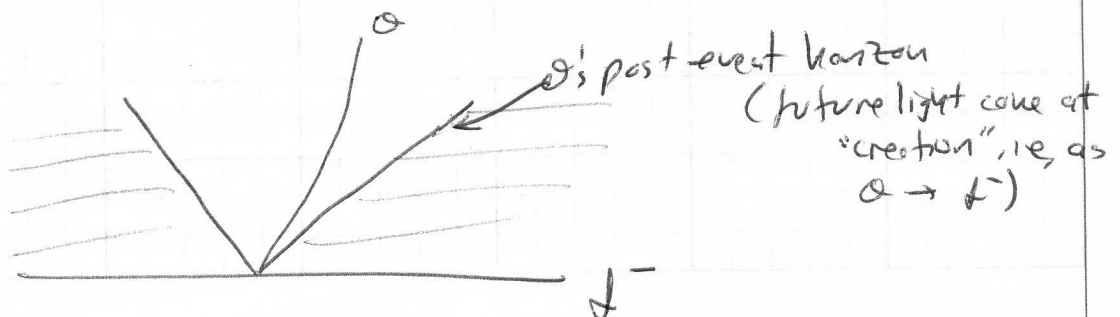


Event Horizons, while particle horizon tells us which ~~particles~~ 'particles' may have been seen at  $p$ , we may ask instead ~~if~~ which particles may influence  $p$  at all throughout its whole history. That is, if the spacetime is expanding faster than the speed of light then if some observers far away from us, light sent to us will never reach us. We want to characterize this situation with an "event horizon" separating those events that can never influence us from those that can. ~~Clear~~

Clearly, at any event  $p$ , the events <sup>inside</sup> its past light cone are observable, while those outside are not. The ~~future~~ future event horizon is the limiting light cone of an observer as it goes into future infinity,  $\downarrow^+$ .

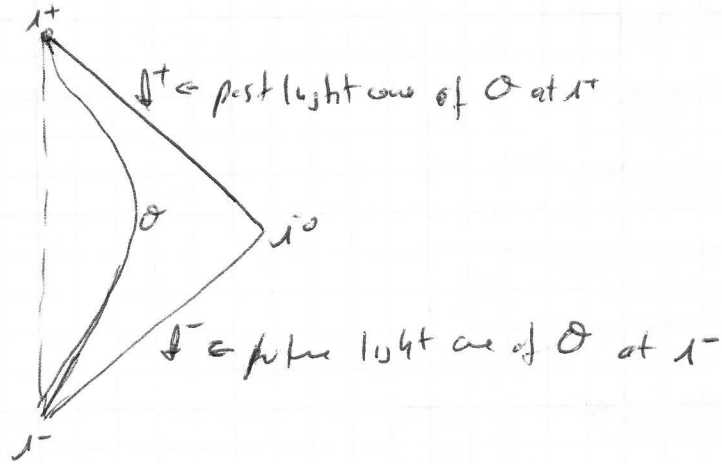


Similarly, past event horizon is defined to separate events that  $O$  will be able to influence in its history from those it won't:

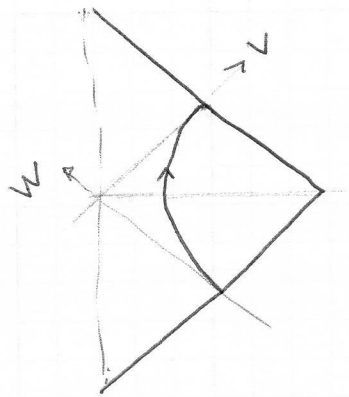


Examples: (i) Minkowski space time.

If  $\mathcal{O}$  is a geodesic (free falling) observer  $\rightarrow$  no event horizon



(ii) Uniformly accelerated observer in Minkowski space-time



picture is  $r^2 - t^2 = a^2$

has both future and past event horizon.

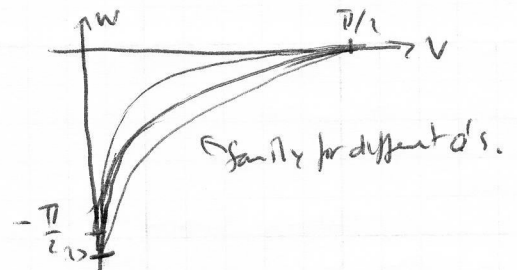
[Work it out: recall  $ds^2 = \frac{1}{a^2} dS_E^2$  see above,

and uniformly accelerated  $\rightarrow r^2 - t^2 = a^2$  or  $vw = a^2$

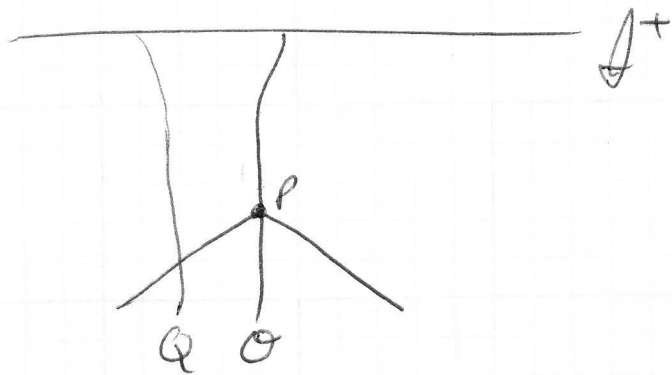
$\Rightarrow \text{tg} W \text{tg} V = a^2 \Rightarrow \text{tg}(\frac{1}{2}(\tau + \kappa)) \text{tg}(\frac{1}{2}(\tau - \kappa)) = a^2$

Here  $ds_E^2 = -dT^2 + dR^2 + \text{sin}^2 R d\Omega^2$   $0 \leq R \leq \pi$   $|\tau| + R < \pi$

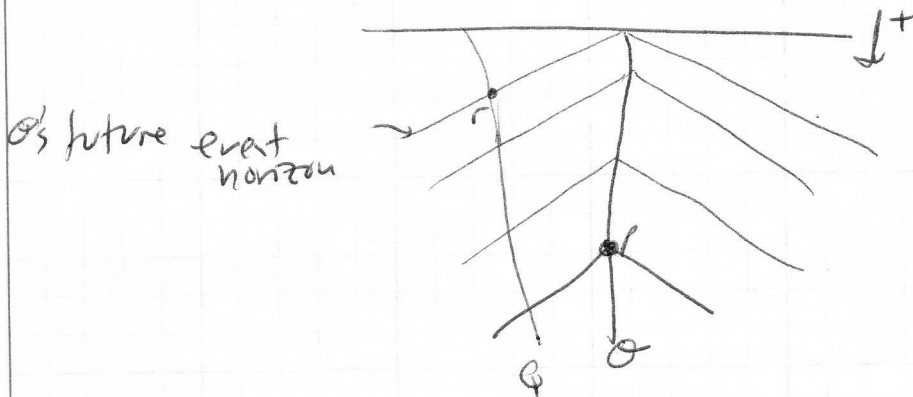
Now  $\text{tg} W \text{tg} V = -a^2$  is easy to draw



Consider (in de-Sitter space, or any space with  $\mathcal{I}^+$  spacelike) an observer  $\mathcal{O}$  and a particle worldline  $Q$ . Suppose  $Q$  intersects the past light cone of event  $p$  on  $\mathcal{O}$ :



$\rightarrow Q$  is observable to  $\mathcal{O}$  at any time after  $p$ :



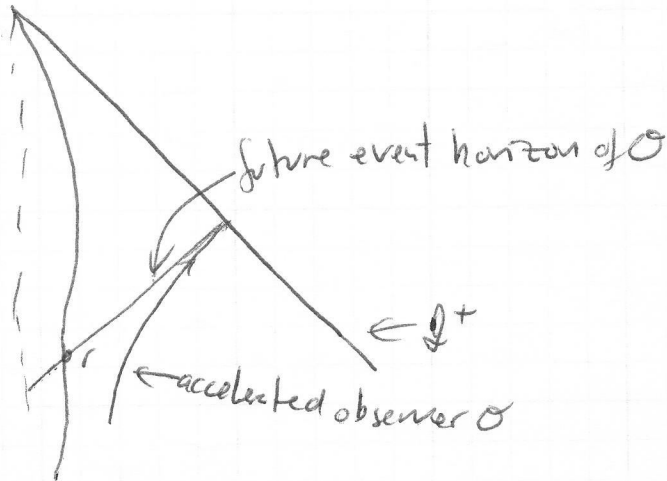
But note, there is a point  $r$  on  $Q$  that lies on  $\mathcal{O}$ 's future event horizon  $\Rightarrow$  Events on  $Q$  after  $r$  are NOT observable to  $\mathcal{O}$ .

Since  $r$  is seen at  $\mathcal{I}^+$ , it takes  $\infty$  proper time from any event on  $\mathcal{O}$  until observation of  $r$  on  $\mathcal{O}$ .

On  $Q$ , of course, it takes finite proper time from any past event to  $r$ .

It takes an infinite time in  $\mathcal{O}$  to see a finite part of  $Q$ 's history (eg,  $\mathcal{O}$  observes infinite redshift of light from  $Q$  as it approaches  $r$ ). Likewise,  $Q$  will see infinite history of  $\mathcal{O}$  in infinite time.

Even in Minkowski space if we have non-geodesic observers:



which seems perfectly logical (redshifted light from accelerated light source); light from  $r$  appears  $\infty$  redshifted as  $O \rightarrow O^+$ .

# anti-de Sitter space

( $R < 0$  case) we now will have  $\Lambda = \frac{1}{4}R < 0$ .

Consider hyperboloid

$$-U^2 - W^2 + x^2 + y^2 + z^2 = -\alpha^2$$

~~embed~~ in flat  $R^5$  with  $--+++$  signature

$$ds^2 = -du^2 - dw^2 + dx^2 + dy^2 + dz^2$$

(compare signs with de-Sitter? both  $w^2$  &  $a^2$  (add  $w^2$ ) flipped).

let

$$U = \alpha \sin t' \cosh p$$

$$W = \alpha \cos t' \cosh p$$

$$x = \alpha \sinh p \sin \theta \cos \phi$$

$$y = \alpha \sinh p \sin \theta \sin \phi$$

$$z = \alpha \sinh p \cos \theta$$

} spherical coordinates in  $R^3$   
with radius  $\alpha \sinh p$

This defines a map from the hyperboloid  $H^4$  to  $R^5$

$$\varphi: H^4 \rightarrow R^5$$

with induced metric  ~~$\varphi^*g$~~   $\varphi^*g$  (pullback of  $g$ ).

Then 
$$ds^2 = \alpha^2 [-\cosh^2 p dt'^2 + dp^2 + \sinh^2 p (d\theta^2 + \sin^2 \theta d\phi^2)]$$

Exercise: Check this

$$\left[ \frac{1}{\alpha^2} ds^2 = -dt'^2 [\cosh^2 p (\cos^2 t' + \sin^2 t')] + dp^2 [-\sinh^2 p (\sin^2 t' + \cos^2 t') + \cosh^2 p (\cos^2 \theta + \sin^2 \theta (\sin^2 \phi + \cos^2 \phi))] + \sinh^2 p d\theta^2 [\sin^2 \theta + \cos^2 \theta (\sin^2 \phi + \cos^2 \phi)] + \sin^2 \theta d\phi^2 \right]$$

Note that with  $p \geq 0$  a radius-like coordinate, the ~~space~~  $t' = \text{constant}$  sections are  $R^3$  (topologically).

But for  $p, \theta, \phi$  fixed,  $t'$  lines are periodic  $t' \rightarrow t' + 2\pi$

$\rightarrow$  Space has closed timelike curves (a no-no) (maybe... see later, causality).

Another coordinate system:

$$U = \alpha \sin t$$

$$V = \alpha \cos t \cosh r$$

$$X = \alpha \cos t \sinh r \sin \theta \cos \varphi$$

$$Y = \alpha \cos t \sinh r \sin \theta \sin \varphi$$

$$Z = \alpha \cos t \sinh r \cos \theta$$

Now  $\rho^*g$  is

$$\left[ \frac{1}{\alpha^2} ds^2 = (-\cos^2 t - \sin^2 t (\cosh^2 r - \sinh^2 r (\cos^2 \theta + \sin^2 \theta))) dt^2 \right. \\ \left. + \frac{2}{\alpha^2} \cos^2 t (\sinh^2 r + \cosh^2 r (-)) dr^2 + \cos^2 t \sinh^2 r (\cos^2 \theta + \sin^2 \theta) d\theta^2 + \dots \right]$$

$$\frac{1}{\alpha^2} ds^2 = -dt^2 + \cos^2 t [dr^2 + \sinh^2 r d\Omega_2^1]$$

As we'll see this system has simple geodesics:  
 $(r, \theta, \varphi) = \text{constant}$ . So these lines are orthogonal to  
 $t = \text{constant}$  surface.

But note that at  $t = \pm \frac{1}{2}\pi$  there are singularities.  
Clearly these are only coordinate singularities, but this  
frame can only be used for one piece of the space.

So the space described so far is one with topology  $S^2 \times \mathbb{R}^3$ .

We take de-Sitter space to be the universal covering space of this, meaning, take  $t' \in (-\infty, \infty)$  and keep the metric as above (the embedding no longer makes sense).

Structure at infinity and

Penrose diagram: let's define (similar to the de-Sitter case)

$$\cosh p = \frac{1}{\cos \chi}$$

$$[so \quad dp^2 = \sinh p \, dp = \frac{\sin \chi}{\cos^2 \chi} d\chi$$

$$\Rightarrow (1 + \cosh^2 p) dp^2 = \frac{\sin^2 \chi}{\cos^4 \chi} d\chi^2 \quad -1 + \frac{1}{\cos^2 \chi} = \frac{1 - \cos^2 \chi}{\cos^2 \chi} = \tan^2 \chi$$

$$\Rightarrow dp^2 = \frac{\cos^2 \chi}{\sin^2 \chi} \frac{\sin^2 \chi}{\cos^4 \chi} d\chi^2 = \frac{1}{\cos^2 \chi} d\chi^2$$

$$ad \quad ds^2 = \alpha^2 \left[ -\frac{1}{\cos^2 \chi} dt'^2 + \frac{1}{\cos^2 \chi} d\chi^2 + \tan^2 \chi d\Omega_2^2 \right]$$

which has  $\chi \in [0, \frac{\pi}{2})$  and

$$ds^2 = \frac{\alpha^2}{\cos^2 \chi} \left[ -dt'^2 + d\chi^2 + \sin^2 \chi d\Omega_2^2 \right] = \frac{\alpha^2}{\cos^2 \chi} d\tilde{s}^2$$

recognizing again the metric of Einstein-static universe.

Note that with  $t' \in (-\infty, \infty)$  but  $\chi \in [0, \frac{\pi}{2}]$  anti-de Sitter is conformally related to half of the Einstein-static universe (the  $\chi \in [\frac{\pi}{2}, \pi]$  is missing).



# Geodesics in anti de Sitter (not for class)

$$ds^2 = -\cosh^2 p dt^2 + dp^2 + \sinh^2 p (d\theta^2 + \sin^2 \theta d\phi^2)$$

Find geodesics? Start  $\Gamma_{\mu\nu} = \frac{1}{2} (g_{\mu\nu,\lambda} + g_{\lambda\mu,\nu} - g_{\lambda\nu,\mu})$

$$\Gamma_{\phi\phi\phi} = 0$$

$$\Gamma_{t\phi p} = \Gamma_{\phi p t} = -\frac{1}{2} (\cosh^2 p)_{,p} = -\cosh p \sinh p \quad \Rightarrow \quad \Gamma_{t\phi}^t = \Gamma_{\phi t}^t = \frac{\sinh p}{\cosh p}$$

$$\Gamma_{p t t} = \cosh p \sinh p \quad \Rightarrow \quad \Gamma_{t t}^p = \cosh p \sinh p$$

$$\Gamma_{\phi\phi p} = \Gamma_{p\phi\phi} = \frac{1}{2} (\sinh^2 p)_{,p} = \cosh p \sinh p \quad \Rightarrow \quad \Gamma_{\phi\phi}^p = \Gamma_{p\phi\phi}^p = \frac{\cosh p}{\sinh p}$$

$$\Gamma_{p\phi\phi} = -\cosh p \sinh p \quad \Rightarrow \quad \Gamma_{\phi\phi}^p = -\cosh p \sinh p$$

Ignore  $\phi$ : always look at  $\phi = \text{const}$  plane (could have done that with  $\chi_1 \theta$ ?)  
then

$$\frac{d^2 x^\mu}{d\tau^2} + \Gamma_{\nu\lambda}^\mu \frac{dx^\nu}{d\tau} \frac{dx^\lambda}{d\tau} = 0$$

To be sure, let's keep  $\phi$ :

$$\Gamma_{\phi\phi p} = \Gamma_{p\phi\phi} = \frac{1}{2} \sin^2 \theta \cdot 2 \sinh p \cosh p = \sin^2 \theta \sinh p \cosh p \quad \Gamma_{\phi\phi}^p = \Gamma_{p\phi\phi}^p = \frac{\cosh p}{\sinh p}$$

$$\Gamma_{p\phi\phi} = -\sin^2 \theta \sinh p \cosh p$$

$$\Gamma_{\phi\phi}^p = -\sin^2 \theta \sinh p \cosh p$$

$$\Gamma_{\phi\theta\theta} = \sin \theta \cos \theta \sinh^2 p$$

$$\Gamma_{\theta\theta}^\phi = \Gamma_{\theta\phi}^\phi = \frac{\cos \theta}{\sin \theta}$$

$$\Gamma_{\theta\phi\phi} = -\sin \theta \cos \theta \sinh^2 p$$

$$\Gamma_{\phi\phi}^\theta = -\sin \theta \cos \theta$$

Conserved quantities

$$g_{t\mu} \frac{dx^\mu}{d\tau} = -\cosh^2 p \frac{dt}{d\tau} = T$$

but it works if  $\Phi=0$   
see below  $S_0$   
with  $\theta=0$ , since geodesics don't move

$$g_{\phi\mu} \frac{dx^\mu}{d\tau} = \sinh^2 p \frac{d\phi}{d\tau} = \Theta \quad g_{\theta\mu} \frac{dx^\mu}{d\tau} = \sin^2 \theta \sinh^2 p \frac{d\theta}{d\tau} = \Phi$$

$$\frac{dp}{d\tau} + \cosh p \sinh p \left[ \left( \frac{dt}{d\tau} \right)^2 - \left( \frac{d\theta}{d\tau} \right)^2 - \sin^2 \theta \left( \frac{d\phi}{d\tau} \right)^2 \right] = 0$$

$$\frac{d^2 p}{d\tau^2} + \frac{\sinh p}{\cosh^3 p} T^2 - \frac{\cosh p}{\sinh^3 p} \Theta^2 - \frac{\cosh p}{\sin^2 \theta \sinh^3 p} \Phi^2 = 0$$



This equation has a 1<sup>st</sup> integral that is easy to find. But, even easier, use  $\tau = \text{proper time}$  so

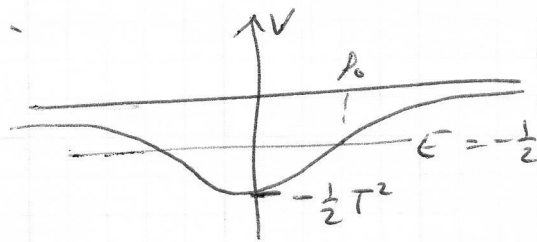
or 
$$g_{\mu\nu} U^\mu U^\nu = -1$$

$$\left(\frac{dp}{d\tau}\right)^2 - \frac{T^2}{\cosh^2 p} + \frac{\Theta^2}{\sinh^2 p} + \frac{\Phi^2}{\sinh^2 p \cosh^2 \Theta} = -1$$

Look for solutions with  $\Theta = \Phi = 0$ . Then

$$\frac{dp}{d\tau} = \sqrt{\frac{T^2}{\cosh^2 p} - 1} \quad (\star)$$

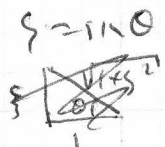
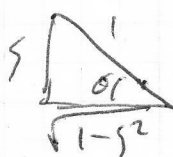
This is like motion in a potential  $-\frac{1}{2} \frac{T^2}{\cosh^2 p}$  with total energy  $-\frac{1}{2}$ .



And clearly there are "bound state" solutions, with turning points at  $\cosh^2 p_0 = T^2$  or  $p_0 = \text{arccosh } T$ . Now, it is easy to integrate  $(\star)$

$$\int \frac{dp}{\sqrt{\frac{T^2}{\cosh^2 p} - 1}} = \int \frac{\cosh p dp}{\sqrt{T^2 - \cosh^2 p}} = \int \frac{d \sinh p}{\sqrt{T^2 - (1 + \sinh^2 p)}}$$

Let  $\sinh p = \sqrt{T^2 - 1} \xi \Rightarrow \int \frac{d\xi}{\sqrt{1 - \xi^2}}$



$$\Rightarrow \int \frac{\cos \theta d\theta}{\cos \theta} = \theta = \arcsin \xi = \text{arctg} \frac{\xi}{\sqrt{1 - \xi^2}}$$

$$= \arcsin \left( \frac{\sinh p}{\sqrt{T^2 - 1}} \right)$$

or 
$$\text{arctg} \left( \frac{\sinh p}{\sqrt{T^2 - 1 - \sinh^2 p}} \right) = \text{arctg} \left( \frac{\tanh p}{\sqrt{\frac{T^2}{\cosh^2 p} - 1}} \right)$$

Then  $t(\tau)$  is obtained from

$$\frac{dt}{d\tau} = -\frac{T}{\cosh^2 p}$$

For this we need

$$\sin \tau = \frac{\sinh p}{\sqrt{\tau^2 - 1}}$$

or  $(\tau^2 - 1) \sin^2 \tau = \sinh^2 p = \cosh^2 p - 1$

so

$$\frac{dt}{d\tau} = -\frac{T}{1 + (\tau^2 - 1) \sin^2 \tau}$$

We need

$$\int \frac{d\tau}{1 + k^2 \sin^2 \tau} = \frac{\operatorname{tg}^{-1} [\sqrt{1+k^2} \operatorname{tg} \tau]}{\sqrt{1+k^2}} \quad (\text{make notes})$$

so

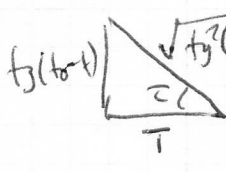
$$-\frac{(t-t_0)}{T} = \frac{1}{\sqrt{1+(\tau^2-1)}} \operatorname{arctg} [T \operatorname{tg} \tau]$$

or

$$-\operatorname{tg}(t-t_0) = T \operatorname{tg} \tau$$

(The sign is because  $\tau$  is proper distance, but  $t$  is proper time.)

We can also obtain the trajectory. Since  $\operatorname{tg} \tau = \frac{1}{T} \operatorname{tg}(t_0 - t)$

$\operatorname{tg}(t_0 - t) / T$    $\Rightarrow \sin \tau = \frac{\operatorname{tg}(t_0 - t)}{\sqrt{\operatorname{tg}^2(t_0 - t) + T^2}} = \frac{1}{\sqrt{1 + T^2 \operatorname{tg}^2(t_0 - t)}}$

so

$$\frac{1}{\sqrt{1 + T^2 \operatorname{tg}^2(t_0 - t)}} = \frac{\sinh p}{\sqrt{\tau^2 - 1}}$$

In all these it's worth remembering  $T = -\cosh p_0$

Check the  $\theta$  piece (recall  $g = g(\theta, \phi)$  so we were right that  
is using  $g_{\theta\theta} \frac{d\theta}{dt} = \text{constant}$ ?)

Now

$$\frac{d^2\theta}{dt^2} + 2 \frac{\cos\phi}{\sin\phi} \frac{d\phi}{dt} \frac{d\theta}{dt} - \sin\theta \cos\theta \left(\frac{d\phi}{dt}\right)^2 = 0$$

But if  $\phi = \text{constant}$  ( $\dot{\phi} = 0$ ) we have

$$\frac{d}{dt} \left( \frac{d\theta}{dt} \right) + 2 \frac{\cos\phi}{\sin\phi} \frac{d\phi}{dt} \frac{d\theta}{dt} = 0$$

Now, check:  $\frac{d\theta}{dt} = \frac{\omega}{\sin\phi}$  gives  $\frac{d}{dt} \left( \frac{d\theta}{dt} \right) = -2 \frac{\cos\phi}{\sin^3\phi} \omega \frac{d\phi}{dt}$

while the 2nd term is  $2 \frac{\cos\phi}{\sin\phi} \frac{d\phi}{dt} \frac{\omega}{\sin\phi}$

so they cancel ✓

Connecting both coordinate systems: in  $(r, \theta, \phi)$  system  
 geodesics are  $r, \theta, \phi = \text{const}$   
 with  $r = \rho_0$

Comparing both systems:

u:  $\sin t' \cosh p = \sin t$

v:  $\cos t' \cosh p = \cos t \cosh r$

z:  $\sinh p = \cos t \sinh r$

$\psi$ :  $\tanh t' = \frac{1}{\cosh r} \tanh t$

( $\theta, \phi$  remain the same)  
 Geodesics

$$\begin{cases} \sinh p = \sinh p_0 \sin \tau \\ \tanh(t' - t_0) = \cosh p_0 \tanh \tau \end{cases}$$

Go to other system:

$$\sinh p_0 \sin \tau = \cos t \sinh r$$

$$\tau \rightarrow \tau + \frac{\pi}{2} \quad \Leftrightarrow \quad \rho_0 = r \quad \Leftrightarrow$$

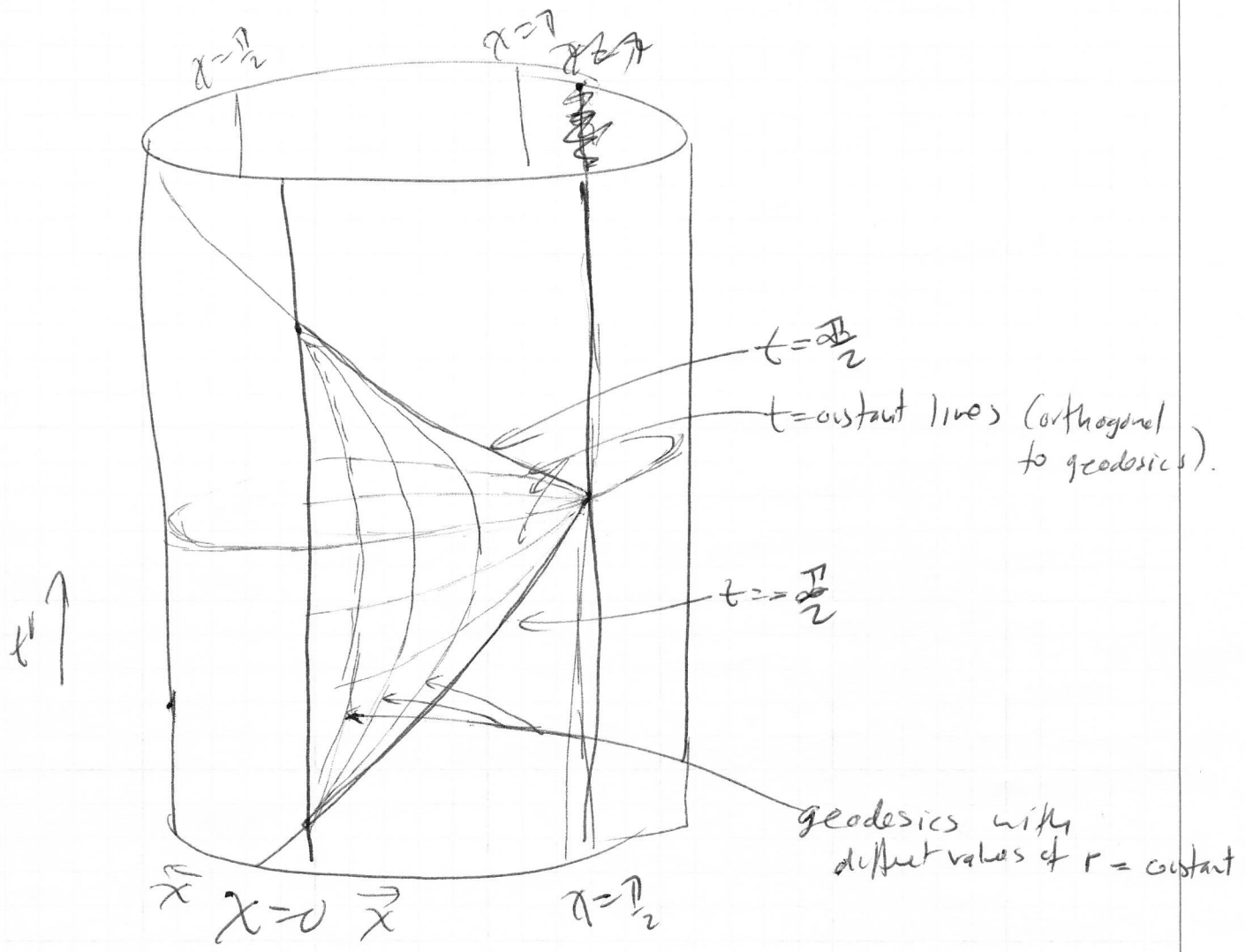
and then

$$\tanh(t' - t_0) = \cosh p_0 \tanh\left(\tau + \frac{\pi}{2}\right) = \cosh p_0 \frac{\csc \tau}{-\sin \tau}$$

$$\csc(t' - t_0) = -\frac{1}{\cosh p_0} \tanh \tau$$

$$\Rightarrow t_0 = \frac{\pi}{2} \quad \Rightarrow \text{it works}$$

13-782 500 SHEETS, FULLER, 5 SQUARE  
 42-380 500 SHEETS, FULLER, 5 SQUARE  
 42-380 100 SHEETS, FULLER, 5 SQUARE  
 42-389 200 SHEETS, FULLER, 5 SQUARE  
 42-392 100 SHEETS, FULLER, 5 SQUARE  
 42-395 200 SHEETS, FULLER, 5 SQUARE  
 42-399 100 SHEETS, FULLER, 5 SQUARE  
 42-399 200 SHEETS, FULLER, 5 SQUARE  
 Made in U.S.A.



(The lines  $t = \pm \frac{\pi}{2}$  are easy to understand. Since

$$\sinh t = \sinh t' \cosh \chi$$

we have

$$\pm 1 = \sinh t' \cosh \chi = \sinh t' \pm \cosh \chi$$

When we introduced the variable  $\chi$  for the conformal mapping

$\Rightarrow$

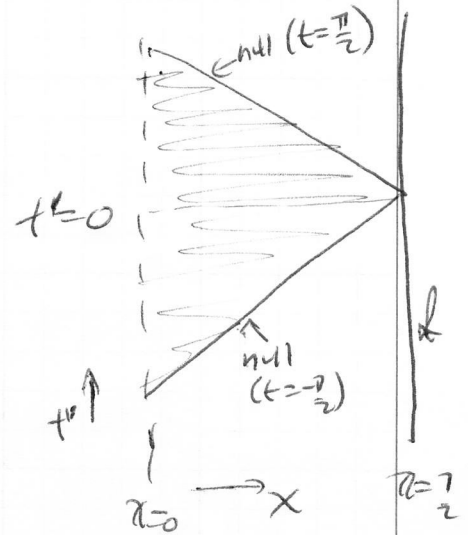
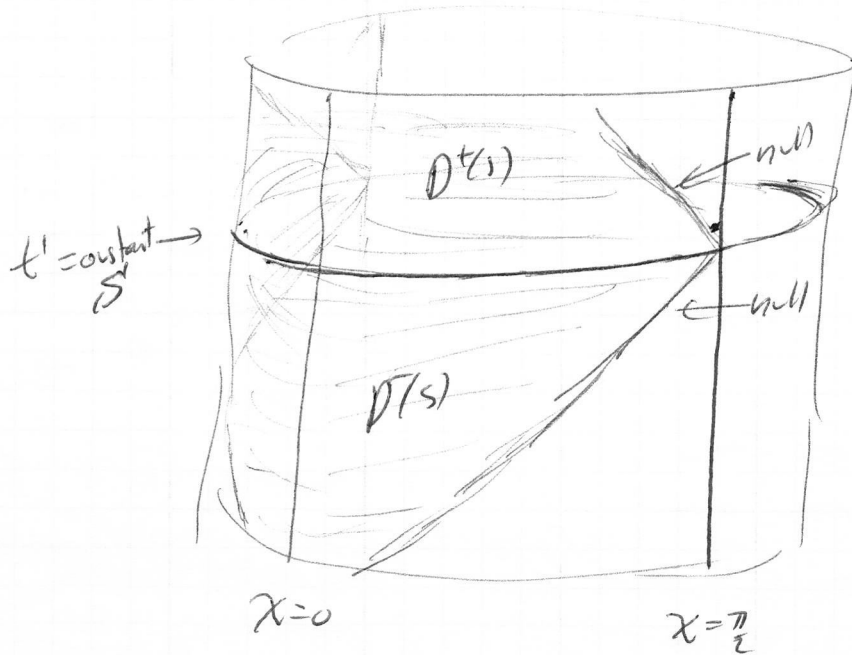
$$\cosh \chi = \pm \sinh t'$$

$$\text{or } \chi = \frac{\pi}{2} \pm t'$$

Note that the apparent singularity is  $t, r, 0, \pi$  board's is related to convergence of geodesics.

# Causal structure of anti-de Sitter space:

**NO CAUCHY SURFACE**

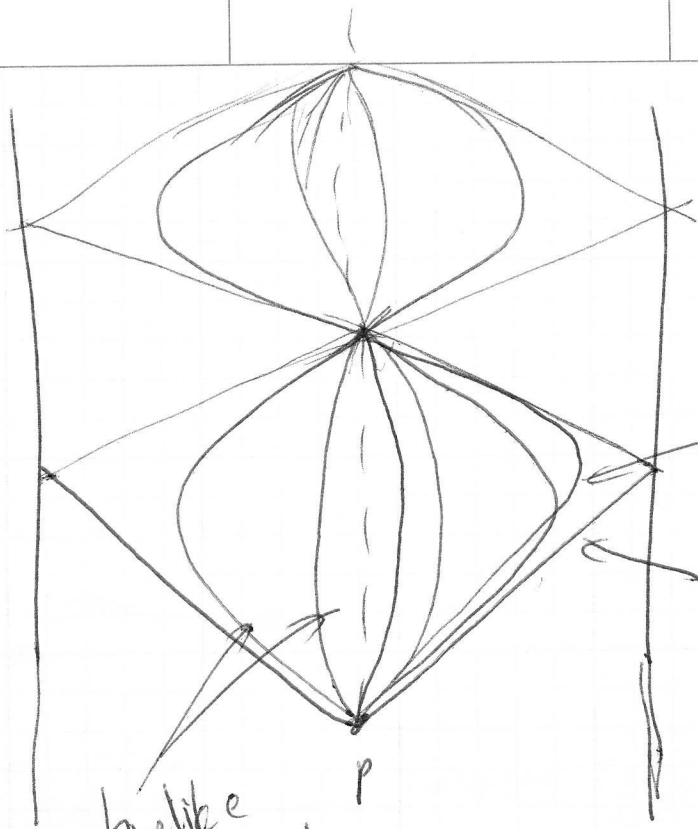


Evident  $\Rightarrow$  information flows in/out from boundary at  $\infty$ .



13-782 500 SHEETS, FILLER, 5 SQUARE  
42-381 50 SHEETS, EYE-EASE, 5 SQUARE  
42-382 100 SHEETS, EYE-EASE, 5 SQUARE  
42-383 75 SHEETS, EYE-EASE, 5 SQUARE  
42-384 100 RECYCLED, EYE-EASE, 5 SQUARE  
42-385 100 RECYCLED, WHITE, 5 SQUARE  
42-386 200 RECYCLED, WHITE, 5 SQUARE  
Made in U.S.A.





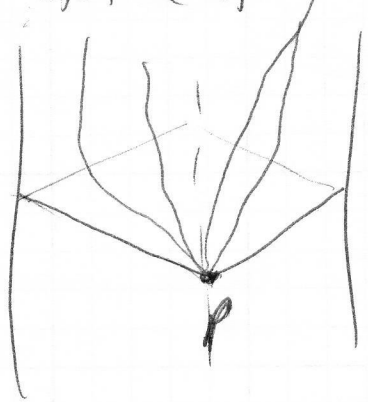
timelike geodesics

geodesics from p (don't reach infinity)

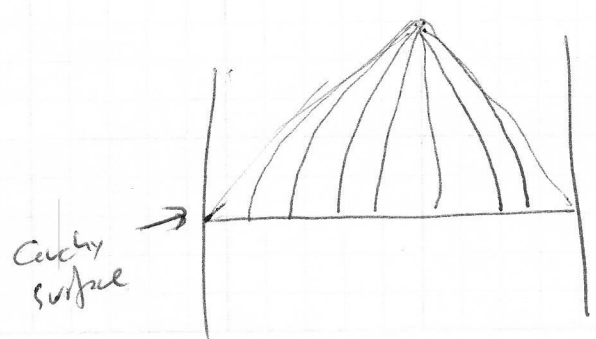
null (goes to infinity) from p

timelike geodesics from p are confined to infinite sequence of diamonds

But there are timelike curves (non-geodesic) that can reach any point <sup>from p</sup> in future of the null-one from p.



Also



Every point in  $D^+(S)$  can be reached by a unique geodesic from  $S$ , and to  $S$ .

Maximally symmetric hypersurfaces: isotropic cosmology.

Since this is a separate course in cosmology, we won't study cosmology here. But we do set up the stage by analyzing the spacetime one obtains from the requirements of homogeneity and isotropy.

Why? In cosmology (the study of the history, dynamics, evolution of the universe on a large scale) our observations are limited, because:

(i) can only be done from one specific point, Earth, at one specific time, now (cosmologically the fact that we have been doing astronomy for ~1000 years is still basically a instantaneous observation event).

(ii) can only see part of the universe; observations are limited by

- dust and other intervening stuff
- physics, ~~and~~ the universe is opaque before recombination
- luminosity
- only see few bandwidths of light.

Hence, to make progress it is always the case that assumptions are made ~~to stop~~ in building mathematical models ~~describing~~ of the ~~entire~~ spacetime that describes the universe.



Generally/could be two assumptions are made: approximate:

- (i) Homogeneity: that there is no preferred point in space
- (ii) Isotropy: — — — — — direction — — —

These are often <sup>referred to as</sup> called the Copernican Principle (since we would not occupy a preferred place in the Universe, just like Copernicus moved the center from Earth to Sun, now we are disposing with a center anywhere).

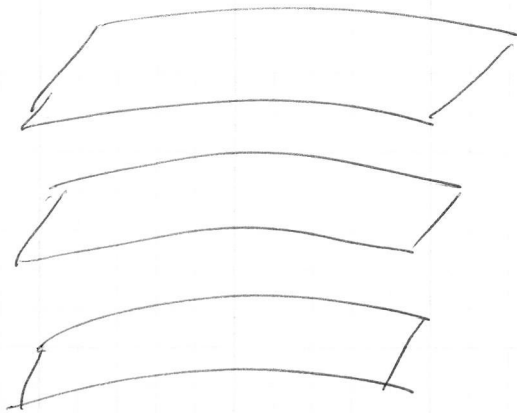
~~Proper~~ Note that we are talking about approximate ~~the~~ conditions. There is matter in the universe and it is not uniformly spread (there are galaxies, planets, bacteria...).

But, on average, ~~being~~ smoothing over distance scales of several intergalactic ~~universe~~ lengths, the universe appears fairly smooth. So this is a starting ~~approximation~~ approximation that has to be improved to account for the very interesting irregularities → whole course on cosmology.

We will restrict our attention to determining the spacetimes that are homogeneous & isotropic, and discuss briefly what Einstein's equations imply for them.

Technical def<sup>s</sup>: of

Homogeneity & Isotropy. For spacetime to be homogeneous:



Need  
← foliation of spacetime  
by ~~spacelike~~ 2-parameter  
family of spacelike surfaces  
 $\Sigma_t$ , and

for any  $\Sigma_k$  ("any time"), for any two points  
 $p, q \in \Sigma_t$  there is an isometry taking  $p \rightarrow q$ .

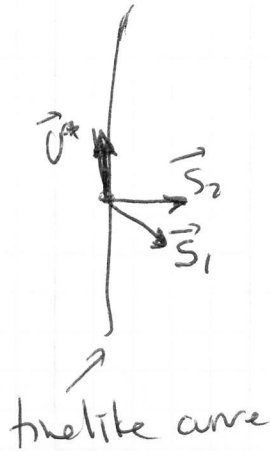
(Recall an isometry  $\phi$  is  $\phi: M \rightarrow M$  +  $\phi^*g = g$ ).

In other words, there is some definition of time for which  
at each  $t = \text{constant}$  hypersurface, the metric is the same  
at all points.

Isotropy: First define isotropy for an observer. We want to say that an observer sees same stuff in any direction.

So

~~A spacetime is spherically isotropic about~~



$\vec{U}^0 =$  (timelike) tangent to worldline at  $p$ .

$\vec{S}_i =$  spacelike tangent vectors at  $p$ , <sup>unit magnitude,</sup> ~~any~~

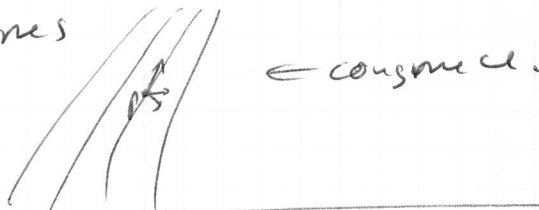
(i.e.,  $\vec{S}_i$  are  $\perp \vec{U}^0$ )

Isotropy at  $p$ : an isometry leaving  $p$  and  $\vec{U}^0$  fixed but taking <sup>(rotating)</sup>  $\vec{S}_1 \rightarrow \vec{S}_2$  for any pair of  $\vec{S}_1, \vec{S}_2$ .

(So there is no preferred direction, i.e., no preferred spatial vector  $\perp$  to  $\vec{U}$ ).

Isotropic space: if there is a congruence of timelike curves

~~such that every point  $p$~~  and the spacetime has isotropy at every point on these curves



(So the

(would)

Def "isotropic observers" those on this congruence

Notes:

- For the 2 definitions (homog & isoty) required a preferred collection of subspaces.

- If spacetime is homogeneous AND isotropic, then  $\Sigma_t$  are  $\perp$  to  $\vec{U}$ . For if  $\vec{U}$  had a component along  $\Sigma_t$ , say  $\vec{S}$ , then this would be a spatial vector in a preferred direction (we can project out the part that is not orthogonal to  $\vec{U}$ , to construct  $\vec{S}$ , a preferred vector with  $\vec{S} \perp \vec{U}$ ).

Actually,  $\Sigma_t$  must be causal; the previous note is true when the  $\Sigma_t$  and the isotropic observers ~~have~~ are unique. If not unique, one can choose  $\Sigma_t \perp$  to  $\vec{J}$ . Example is flat Minkowski

Now, use  $\Sigma_t \rightarrow \mathcal{M}$  (embedding) to define  $[h_{ij} \oplus \sigma_{ij}]$ .  $h_{ij}(t)$  is Riemannian (sign(+++)). (This is the same as  $h = g$  restricted to act on vectors tangent to  $\Sigma_t$ ). ~~Space~~

So consider the space  $\Sigma_t$  with metric  $h_{ij}$ , inverse  $h^{ij}$ . We expect isotropy + homogeneity  $\Rightarrow \Sigma_t$  is a 3-dim maximally symmetric space.

In fact isotropy is enough to show this (and so isotropy  $\Rightarrow$  homogeneity). Consider the 3-d curvature tensor (field)

$$\bar{R}^{ij}_{kl} \quad (\text{the bar for 3-D})$$

With indexes raised as shown, this is a <sup>linear</sup> map  $L$  on 2-forms  
 $L: \Omega^2 \rightarrow \Omega^2$  ~~map~~  $\tilde{\omega} = a_{ij} d\tilde{x}^i \wedge d\tilde{x}^j$

$$\tilde{\omega} \rightarrow L(\tilde{\omega}) = a_{ij} \bar{R}^{iklj} d\tilde{x}^k \wedge d\tilde{x}^l$$

Now, defining the <sup>positive, symmetric</sup> inner product on  $\Omega^2$  by  
 $(\tilde{\sigma}, \tilde{\omega}) = (\tilde{\omega}, \tilde{\sigma}) = a_{ij} \sigma_{kl} h^{ik} h^{jl}$

then  $L$  is self-adjoint;  $(\tilde{\sigma}, L\tilde{\omega}) = (L\tilde{\sigma}, \tilde{\omega})$

(which follows from  $\bar{R}_{ijkl} = \bar{R}_{klij}$ ).  $\rightarrow$  there is a basis of orthonormal eigenvectors of  $L$ . By isotropy the eigenvalues must be all the same (else, special direction), so  ~~$L = KI$~~

so  $L = KI$   ~~$L = KI$~~

or

$$\bar{R}^{ij}_{kl} = \kappa (\delta^i_k \delta^j_l - \delta^i_l \delta^j_k)$$

$$\Rightarrow \bar{R}_{ijkl} = \kappa (h_{ik} h_{jl} - h_{il} h_{jk})$$

~~$\Rightarrow h_{ij}$  is maximally symmetric.~~

Now, this is the statement that  $h_{ij}$  is maximally symmetric if  $\kappa$  is constant (same everywhere on  $\Sigma_t$ ). This follows from homogeneity, but it also follows from the Bianchi identity

$$\bar{R}_{ijkl;m} + \bar{R}_{ijmkle} + \bar{R}_{ijem;lk} = 0$$

$$\Rightarrow \kappa_{,m} (h_{ik} h_{jl} - h_{il} h_{jk}) + \kappa_{,e} (h_{ij} h_{kl} - h_{il} h_{jk}) + \kappa_{,k} ( \dots ) = 0$$

Now contract with  $h^{ik} h^{jl}$ :

$$\kappa_{,m} (3^2 - 3) + \kappa_{,m} (1 - 3) + (1 - 3) \kappa_{,n} = 0$$

$$\Rightarrow \kappa_{,m} = 0$$

So, isotropy  $\Rightarrow$  homogeneity AND  $h_{ij}$  is maximally symmetric.

Also  $\kappa = \frac{\bar{R}}{6}$  a constant for each  $\Sigma_t$

~~Finally we have we~~

~~$$g_{ab} = -\dot{U}_a \dot{U}_b + h_{ab}$$~~

So we have a space which admits a congruence of isotropic observers <sup>(with  $h_{ab} = 0$ )</sup> with a corresponding foliation by spacelike surfaces  $\Sigma_t$  orthogonal to  $\dot{U}$ , ~~a which~~ which are Riemannian 3-dim maximally symmetric spaces with metric  $h$ . The full space time has metric  $g$ , and if  $\bar{s}, \bar{s}'$  are on  $\Sigma_t$  then  $g(\bar{s}^\mu, \bar{s}'^\nu) = h(\bar{s}, \bar{s}')$ .

Let  $\tilde{U}(t) = g(\vec{U}, \cdot)$ . Then clearly, if we define  $h(\vec{U}, \vec{x}) = 0$

$$g = h + \lambda \tilde{U} \otimes \tilde{U}$$

however, since  $g(\vec{U}, \vec{U}) = -1$ ,  $\alpha(\vec{U}) = -1$  and

$$-1 = 0 + \lambda \Rightarrow \lambda = -1$$

$$g = -\tilde{U} \otimes \tilde{U} + h$$

In components  $g_{\mu\nu} = -U_\mu U_\nu + h_{\mu\nu}$

Useful coordinates:

(i) Obvious choice on each  $\Sigma_t$ , i.e., spherical coordinates if  $\bar{R} > 0$ .

(ii) Assign a fixed spatial coordinate label to each isotropic observer ("comoving coordinates")

(iii) Homogeneity  $\Rightarrow$  all isotropic observers agree on proper time of  $\Sigma_t$ , so label  $\Sigma_t$  by proper time  $\tau$  of isotropic observer.

$$ds^2 = -dt^2 + a^2(t) \begin{cases} d\chi^2 + \sin^2\chi d\Omega_2^2 & \bar{R} > 0 \\ d\chi^2 + \chi^2 d\Omega_2^2 & \bar{R} = 0 \\ d\chi^2 + \sinh^2\chi d\Omega_2^2 & \bar{R} < 0 \end{cases}$$

Robertson-Walker metric.

Note, there is a preferred set of observers: the isotropic observers.

In comoving coordinates the distance between fixed points  $p_1, p_2$  on the hypersurface  $\Sigma_t$  evolves with  $t$  as  $a(t)$ .

# Einstein's Equations

We have  ~~$\bar{R}_{ij} = 2k\lambda_{ij}$~~

Need to compute Einstein's tensor. It is fairly standard to introduce radial coordinate  $r$  by

$$dx = \frac{dr}{\sqrt{1-kr^2}}$$

with  $k = +1, 0, -1$  for  $\bar{R} > 0, = 0, < 0$ . Then

$r = \sin x, x, \sinh x$  in each case. Then

$$ds^2 = -dt^2 + a^2(t) \left[ \frac{dr^2}{1-kr^2} + r^2 d\Omega_2^2 \right]$$

As usual  $\Gamma_{\mu\nu\lambda} = \frac{1}{2} (g_{\mu\nu,\lambda} + g_{\lambda\nu,\mu} - g_{\lambda\mu,\nu})$  and  $\Gamma_{\nu\lambda}^{\mu} = g^{\mu\alpha} \Gamma_{\alpha\nu\lambda}$

So

$$\Gamma_{011} = -\frac{1}{2} \frac{2a\dot{a}}{1-kr^2} = -\Gamma_{110} = -\Gamma_{101}$$

$$\Gamma_{000} = -\frac{1}{2} 2a\dot{a}r^2 = -\Gamma_{000} = \Gamma_{000}$$

$$\Gamma_{0\phi\phi} = -a\dot{a}r^2 \sin^2\theta = -\Gamma_{\phi\phi 0} = -\Gamma_{\phi\phi 0}$$

$$\Gamma_{111} = \frac{1}{2} a^2 \frac{2kr}{(1-kr^2)^2}$$

$$\Gamma_{100} = -\Gamma_{010} = -\Gamma_{001} = -a^2 r$$

$$\Gamma_{1\phi\phi} = -\Gamma_{\phi\phi 1} = -\Gamma_{\phi\phi 1} = -a^2 r \sin^2\theta$$

$$\Gamma_{0\phi\phi} = -\Gamma_{\phi\phi 0} = -\Gamma_{\phi\phi 0} = -a^2 r^2 \sin\theta \cos\theta$$

$$\Gamma_{11}^0 = \frac{a\dot{a}}{1-kr^2} \quad \Gamma_{10}^1 = \Gamma_{01}^1 = \frac{\dot{a}}{a}$$

$$\Gamma_{\theta\theta}^0 = a\dot{a}r^2 \quad \Gamma_{\theta\theta}^{\phi} = \frac{\dot{a}}{a} = \Gamma_{\phi\theta}^{\phi}$$

$$\Gamma_{\theta\phi}^0 = a\dot{a}r^2 \sin^2\theta$$

$$\Gamma_{11}^1 = \frac{kr}{1-kr^2}$$

$$\Gamma_{00}^1 = -r(1-kr^2) \quad \Gamma_{10}^{\theta} = \frac{1}{r}$$

$$\Gamma_{\phi\phi}^1 = -r(1-kr^2) \sin^2\theta \quad \Gamma_{1\phi}^{\phi} = \frac{1}{r}$$

$$\Gamma_{\phi\phi}^{\theta} = -\sin\theta \cos\theta \quad \Gamma_{\theta\phi}^{\phi} = \cot\theta$$

and

$$R_{\mu\nu} = R^{\rho\mu\rho\nu} = \partial_{\rho} \Gamma_{\nu\mu}^{\rho} - \partial_{\nu} \Gamma_{\rho\mu}^{\rho} + \Gamma_{\rho\lambda}^{\rho} \Gamma_{\nu\mu}^{\lambda} - \Gamma_{\nu\lambda}^{\rho} \Gamma_{\rho\mu}^{\lambda}$$

so we have



$$R_{00} = -3 \partial_t \left( \frac{\dot{a}}{a} \right) + 3 \left( \frac{\dot{a}}{a} \right)^2 = -3 \frac{\ddot{a}}{a} - \partial_r \left( \frac{2}{r} \right)$$

$$R_{11} = \partial_t \left( \frac{a \dot{a}}{1-kr^2} \right) + \partial_r \left( \frac{kr}{1-kr^2} \right) - \partial_r \left( \frac{kr}{1-kr^2} \right) + \Gamma_{\rho 0}^{\rho} \Gamma_{11}^{\rho} + \Gamma_{\rho 1}^{\rho} \Gamma_{11}^{\rho}$$

$$- \Gamma_{1\lambda}^{\rho} \Gamma_{\rho 1}^{\lambda}$$

$$= \frac{\ddot{a}a + \dot{a}^2}{1-kr^2} + 3 \left( \frac{\dot{a}}{a} \right) \frac{a \dot{a}}{1-kr^2} + \frac{kr}{1-kr^2} \left( \frac{2}{r} + \frac{kr}{1-kr^2} \right) - \left[ 2 \left( \frac{\dot{a}}{a} \right) \frac{a \dot{a}}{1-kr^2} \right]$$

$$+ \left( \frac{kr}{1-kr^2} \right)^2 + \frac{2}{r^2} \left[ \text{crossed out terms} \right]$$

$$= \frac{1}{1-kr^2} \left[ \ddot{a}a + \dot{a}^2 + 3\dot{a}^2 + 2k - 2\dot{a}^2 \right] = \frac{2}{r^2} (1-kr^2)$$

$$= \frac{\ddot{a}a + 2\dot{a}^2 + 2k}{1-kr^2}$$

*dit is de juiste uitkomst*

$$R_{00} = \partial_t (a \dot{a} r^2) + \partial_r (-r(1-kr^2)) - \partial_{\theta} (c t_{\theta}) + a \dot{a} r^2 (3 \frac{\dot{a}}{a}) + (-r(1-kr^2)) \left[ \frac{kr}{1-kr^2} + \frac{2}{r} \right] - \left[ 2(a \dot{a} r^2) \left( \frac{\dot{a}}{a} \right) + 2(-r(1-kr^2)) \left( \frac{1}{r} \right) + c t_{\theta}^2 \right]$$

$$= (a \ddot{a} + \dot{a}^2) r^2 - (1-kr^2) + 2kr^2 + \frac{1}{\sin^2 \theta} + 3\dot{a}^2 r^2 - kr^2 - 2(1-kr^2) - 2\dot{a}^2 r^2 + 2(1-kr^2) - \frac{c^2 \theta}{\sin^2 \theta}$$

$$= (a \ddot{a} + 2\dot{a}^2) r^2 - 1 + 2kr^2 + \frac{1-c^2 \theta}{\sin^2 \theta} = \boxed{(a \ddot{a} + 2\dot{a}^2 + 2k) r^2}$$

$$R_{\theta\theta} = \partial_t (a \dot{a} r^2 \sin^2 \theta) + \partial_r (-r(1-kr^2) \sin^2 \theta) + \partial_{\theta} (-\sin \theta \cos \theta)$$

$$+ (a \dot{a} r^2 \sin^2 \theta) (3 \frac{\dot{a}}{a}) + (-r(1-kr^2) \sin^2 \theta) \left( \frac{kr}{1-kr^2} + \frac{2}{r} \right) + (-\sin \theta \cos \theta) c t_{\theta}$$

$$- \left[ 2 \left( \frac{\dot{a}}{a} \right) (a \dot{a} r^2 \sin^2 \theta) + 2(-r(1-kr^2) \sin^2 \theta) \left( \frac{1}{r} \right) + 2(-\sin \theta \cos \theta) c t_{\theta} \right]$$

$$= (\ddot{a}a + \dot{a}^2) r^2 \sin^2 \theta - (1-3kr^2) \sin^2 \theta - c^2 \theta + \sin^2 \theta + 2\dot{a}^2 r^2 \sin^2 \theta - kr^2 \sin^2 \theta + c^2 \theta = \boxed{(\ddot{a}a + 2\dot{a}^2 + 2k) r^2 \sin^2 \theta}$$

And

$$R = g^{\mu\nu} R_{\mu\nu} = 3 \frac{\ddot{a}}{a} + \frac{1}{a^2} [(\dot{a}^2 a + 2\dot{a}^2 + 2k) \cdot 3]$$
$$= 6 \left[ \frac{\ddot{a}}{a} + \left(\frac{\dot{a}}{a}\right)^2 + \frac{k}{a^2} \right]$$

Now Einstein's equations are  $R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} = 8\pi G T_{\mu\nu}$

or, since  $-R = 8\pi G T$ ,  $R_{\mu\nu} = 8\pi G (T_{\mu\nu} - \frac{1}{2} g_{\mu\nu} T)$

Model energy & matter in the universe by a perfect fluid.

For consistency with the isotropy and homogeneity of the metric we must choose the fluid to be homogeneous and isotropic, that is the fluid is at rest in comoving coordinates:

$$U^\mu = (1, 0, 0, 0)$$

$$T_{\mu\nu} = (\rho + p) U_\mu U_\nu + p g_{\mu\nu} \quad T^\mu{}_\nu = (\rho + p) U^\mu U_\nu + p \delta^\mu{}_\nu$$

Note that  ~~$T^\mu{}_{\nu;\mu}$~~   $T^\mu{}_{\nu;\mu} = 0$  and for  $\nu = 0$

$$\Rightarrow \frac{d}{dt} (\rho + p) \stackrel{\text{real}}{=} T^\mu{}_{\nu;\lambda} = T^\mu{}_{\nu,\lambda} + \Gamma^\mu{}_{\rho\lambda} T^\rho{}_\nu - \Gamma^\rho{}_{\nu\lambda} T^\mu{}_\rho$$

so  $T^\mu{}_{\nu;\mu} = T^\mu{}_{\nu,\mu} + \Gamma^\mu{}_{\mu\rho} T^\rho{}_\nu - \Gamma^\rho{}_{\nu\mu} T^\mu{}_\rho$

and so

$$T^\mu{}_{0;\mu} = -(\rho + p)_{,0} + p_{,0} + (-p)(3\frac{\dot{a}}{a}) - (3\frac{\dot{a}}{a})(\rho)$$

so

$$\boxed{\dot{\rho} + 3\frac{\dot{a}}{a}(\rho + p) = 0}$$

This equation could be obtained from Einstein's, but this is simpler.

Now  $T = g^{\mu\nu} T_{\mu\nu} = -(p+\rho) + 4\rho = 3\rho - p$

$$R_{00} = 8\pi G (T_{00} - \frac{1}{2} g_{00} T)$$

$$-3 \frac{\dot{a}'}{a} = 8\pi G (\rho + \frac{1}{2}(3\rho - p)) = 8\pi G (\frac{1}{2}\rho + \frac{3}{2}\rho)$$

$$\text{or } \boxed{\frac{\ddot{a}}{a} = -\frac{4\pi G}{3} (\rho + 3p)}$$

and  $R_{ii} = 8\pi G (T_{ii} - \frac{1}{2} g_{ii} T)$

$$\frac{\ddot{a}a + 2\dot{a}^2 + 2k}{1-kr^2} = 8\pi G \left[ \frac{a^2}{1-kr^2} p - \frac{1}{2} \frac{a^2}{1-kr^2} (3\rho - p) \right]$$

$$= \frac{1}{1-kr^2} 8\pi G a^2 (p - \frac{1}{2}(3\rho - p))$$

$$\Rightarrow \frac{\ddot{a}}{a} + 2\left(\frac{\dot{a}}{a}\right)^2 + 2\frac{k}{a^2} = 4\pi G (\rho - p)$$

Eliminate  $\frac{\dot{a}'}{a}$  using above,  $\frac{4}{3}$  and  $\frac{4\pi G}{3} (\rho + 3\rho + 3\rho - 3\rho) = \frac{4\pi G \rho}{3}$

$$\Rightarrow \boxed{\left(\frac{\dot{a}}{a}\right)^2 + \frac{k}{a^2} = \frac{8\pi G}{3} \rho}$$

These are Friedmann equations. Metrics that obey them are called FRW metrics (Friedmann-Robertson-Walker).

We have two equations for three unknowns,  $a(t)$ ,  $\rho(t)$  and  $p(t)$ . But  $\rho$  and  $p$  are not independent if we know what constitutes the matter/energy in the universe. For example, a collisionless fluid (dust) has  $p=0$ , while radiation has  $p=\frac{1}{3}\rho$ . So we write an 'equation of state'  $p=w\rho$ .

We will take  $w$  to be a fixed number, and are particularly interested in the cases

$$w = \begin{cases} 0 & \text{dust (or "matter")} \\ \frac{1}{3} & \text{radiation} \\ -1 & \text{cosmological constant.} \end{cases}$$

The last one is just the statement that if we ~~add~~ modify Einstein's equations by adding Einstein's cosmological constant  $\Lambda$ :

$$G_{\mu\nu} + \Lambda g_{\mu\nu} = 8\pi G T_{\mu\nu}$$

then we can rewrite

$$G_{\mu\nu} = 8\pi G (T_{\mu\nu} - \frac{\Lambda}{8\pi G} g_{\mu\nu})$$

and think of  $-\frac{\Lambda}{8\pi G} g_{\mu\nu}$  as a contribution to  $T_{\mu\nu}^{(total)} = T_{\mu\nu} + T_{\mu\nu}^{(\Lambda)}$ .

Then,  $T_{\mu\nu}^{(\Lambda)}$  is of the form of a fluid with  $\rho = +\frac{\Lambda}{8\pi G}$  and  $p = -\rho$  (so  $w = -1$ ).

$$\text{Then } T^{\mu}_{\nu} = 0 \Rightarrow \dot{\rho} + 3\frac{\dot{a}}{a}(\rho + wp) = 0 \text{ or}$$

$$\frac{\dot{\rho}}{\rho} = -3(1+w)\frac{\dot{a}}{a} \Rightarrow \frac{d}{dt} \ln \rho = -3(1+w) \frac{d}{dt} \ln a$$

$$\boxed{\rho = \rho_0 \left(\frac{a}{a_0}\right)^{-3(1+w)}}$$

Note,  $w = 0 \Rightarrow \rho \sim \frac{1}{a^3}$  makes sense,  $\rho \sim \frac{1}{\text{volume}}$

$w = \frac{1}{3} \Rightarrow \rho \sim \frac{1}{a^4}$  ✓  $\rho \sim \frac{1}{\text{volume}} \times \text{redshift}$

$w = -1 \Rightarrow \rho = \text{constant}$ , i.e. fact  $\rho = \frac{\Lambda}{8\pi G}$ .

One can then solve Einstein's equations &

$$\left(\frac{\dot{a}}{a}\right)^2 + \frac{k}{a^2} = \frac{8\pi G}{3} \rho = \frac{8\pi G \rho_0}{3} \left(\frac{a_0}{a}\right)^{3(1+w)}$$

or

~~$$\frac{d^2 a}{dt^2} + \frac{k}{a^3} = \frac{8\pi G \rho_0}{3}$$~~

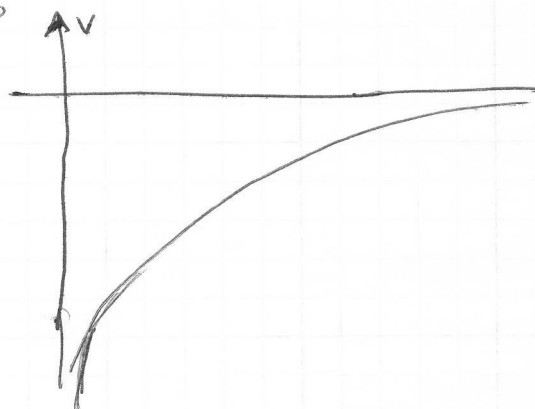
$$\frac{d^2 a}{dt^2} - \frac{8\pi G \rho_0}{3} \frac{a_0^{3(1+w)}}{a^{1+3w}} = -k$$

This is like the equation

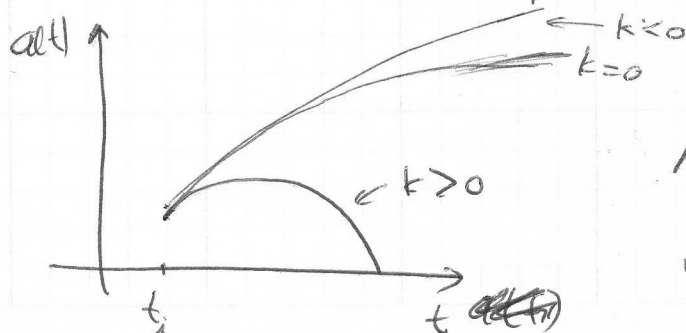
$$E = \frac{1}{2} m \dot{x}^2 + V(x)$$

multiplied by  $\frac{2}{m}$ , so ~~it is a particle~~ the solution has same time dependence as a particle in a potential  $V \sim \frac{(-1)}{x^{1+3w}}$  with

any  $E \sim -k$ . For  $1+3w > 0$   $V \rightarrow -\infty$  as  $x \rightarrow 0$  and  $V \rightarrow 0$  as  $x \rightarrow \infty$ , so



Now if  $E < 0$  the motion has a ~~maximum~~ turning point at some maximum  $r$  and then eventually  $r \rightarrow 0$ . ~~not~~ For  $E \geq 0$  the motion is unbounded provided  $\dot{r} > 0$  initially. So for  $w > \frac{1}{3}$  we have



Note that for  $k=0$   
 $\dot{a} \rightarrow 0$  as  $t \rightarrow \infty$   
 while for  $k < 0$   $\dot{a} > 0$   
 for  $t \rightarrow \infty$ .

Clearly it is of great (political) interest to know if the universe will expand forever (and if so whether it will do so by slowing down to  $\dot{a} \rightarrow 0$  asymptotically) or if it will collapse into a "big crunch". Need to know  $k \geq 0$ .

Note, however, that if we start with  $\dot{a} > 0$  at some point, running the clock back in any case gives  $a \rightarrow 0$ , so it looks like the universe grew out of a singular ( $a=0$ ) condition, or better, started small at some  $t_0$  and quickly grew. This is called the "big bang". However, it is not an explosion. Recall, comoving observers are separated by fixed comoving separation. It is just that the distance (space between any two of them) is  $\rightarrow \infty$  as  $t \rightarrow t_{\text{big bang}}$ .

To figure out whether  $k > 0$ ,  $k = 0$  or  $k < 0$  in our present universe we can measure each term in the left of

$$\left(\frac{\ddot{a}}{a}\right)^2 - \frac{8\pi G \rho_0}{3} \left(\frac{a_0}{a}\right)^{3(1+w)} = -\frac{k}{a^2}$$

First, if this is evaluated today, then  $a = a_0$  and ~~today we have~~

$$\left(\frac{\dot{a}}{a}\right)_0^2 - \frac{8\pi G \rho_0}{3} = -\frac{k}{a_0^2}$$

Need  $H_0 = \frac{\dot{a}}{a}|_0$  the Hubble ~~parameter~~ <sup>constant</sup> (should be called Hubble parameter since  $H = \frac{\dot{a}}{a} \neq \text{const}$ )

and  $\rho_0 = \text{energy density}$ .

$H_0$  can be measured from redshift vs luminosity of standard candles (see below) while  $\rho_0$  can be "counted"

Actually, we should be more careful to include all types of matter (different equations of state) ~~and~~ possible in the analysis --- we have assumed one dominant type.

Write

$$\left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G}{3} \sum_i \rho_i \left(\frac{a_0}{a}\right)^{3(1+w_i)} - \frac{k}{a^2}$$

You will often see this written as

$$H^2 = \frac{8\pi G}{3} \sum_i \rho_i$$

where one term,  $i=k$ -curvature has  $\rho_k = \frac{3}{8\pi G} \left(-\frac{k}{a^2}\right)$  (set aside " $\rho_c$ " for critical density!).  
← not a real energy density!

Also dividing this by  $H^2$ ,

$$1 = \sum_i \Omega_i$$

$$\text{where } \Omega_i = \frac{8\pi G}{3H^2} \rho_i = \frac{\rho_i}{\rho_c}$$

where  $\rho_c = \frac{3H^2}{8\pi G}$  is a quantity depending only on the geometry, known  $H$ ,

which gives the critical value for which  $k$  changes sign: if we define  $\Omega = \sum_{i \neq k} \Omega_i$  then we have

$$\Omega_k = 1 - \Omega$$

and  $\Omega_k > 0, = 0, < 0$  ( $k < 0, = 0, > 0$ ) iff  $\Omega < 1, = 1, > 1$ .



So we need to measure all components of  $\rho$  and compare them with  $\rho_c$ , obtained from measuring  $H$ .

Note that the different components scale differently:

$$\Omega_m \sim a^0 \quad \Omega_k \sim \frac{1}{a^2} \quad \Omega_{\text{rad}} \sim \frac{1}{a^4} \quad \Omega_{\text{nd}} \sim \frac{1}{a^4}$$

If they were all similar today, then in the past, as  $a \rightarrow 0$ ,  $\Omega_{\text{nd}}$  would be dominant.

In fact, today it's found  $\Omega_m \sim \frac{1}{2} \Omega_{\text{nd}} \gg \Omega_k, \Omega_{\text{rad}}$  with  $\Omega \approx 1$ .

Moreover, the evolution of  $a(t)$  is still given, as before, by

$$\ddot{a}^2 - \frac{8\pi G}{3} \sum_i \rho_{0i} \frac{a_0^{3(1+w_i)}}{a^{1+3w_i}} = -k$$

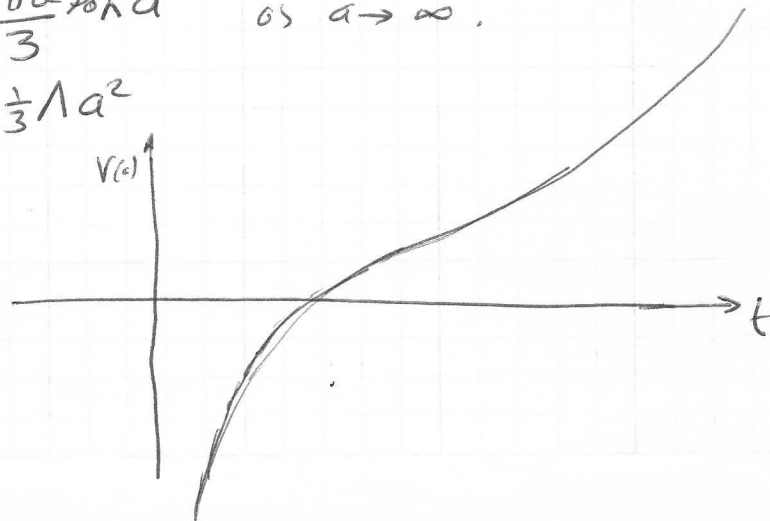
\* At small  $a$ , the largest  $w_i$  dominates; at large  $a$  the smallest  $w_i$  dominates. With matter, radiation and cosmological constant, we have  $w_{\text{max}} = \frac{1}{3}$   $w_{\text{min}} = -1$ , so the "potential"

$V(a)$  has  $V(a) \approx -\frac{8\pi G}{3} \rho_{\text{rad}} \frac{a_0^4}{a^2}$  as  $a \rightarrow 0$  and

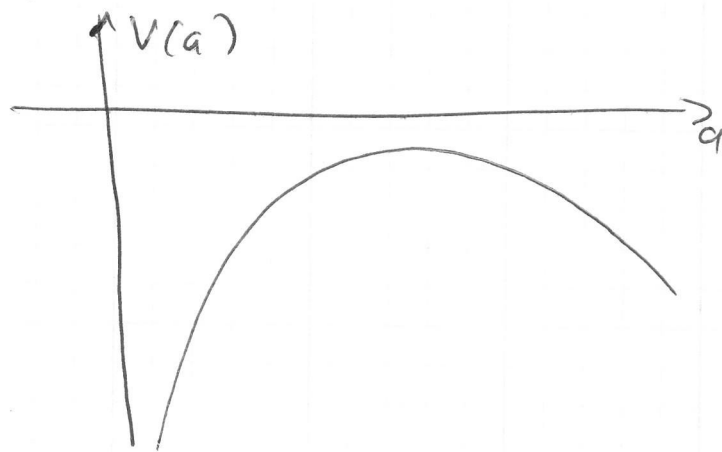
$$V(a) \approx -\frac{8\pi G}{3} \rho_{\Lambda} a^2 \quad \text{as } a \rightarrow \infty.$$

$$= -\frac{1}{3} \Lambda a^2$$

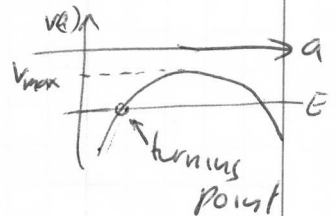
So, if  $\Lambda < 0$



while, if  $\Lambda > 0$



Let's look at this in more detail. For  $k < 0$  (" $E > 0$ ") or  $k = 0$  (" $E = 0$ ") , the "particle" motion is unbounded, describing an ever expanding universe. But for  $k > 0$  (" $E < 0$ ") there is a critical value of parameters beyond which the universe recollapses. This occurs if the maximum of the potential  $V(a)$  is above the energy  $E$ .



Recollapse condition

$$\max_a \left[ -\frac{8\pi G}{3} \sum_i \rho_{oi} \frac{a_0^{3(1+w_i)}}{a^{1+3w_i}} \right] > -k$$

or multiply by a - sign and using  $\Omega_{oi} = \frac{8\pi G}{3H_0^2} \rho_{oi}$

$$\min_a \left[ H_0^2 \sum_i \frac{\Omega_{oi} a_0^{3(1+w_i)}}{a^{1+3w_i}} \right] < k = -H_0^2 a_0^2 \Omega_{0k}$$

or simply

$$\min_a \left[ \frac{\Omega_{0rad} a_0^4}{a^2} + \frac{\Omega_{0m} a_0^3}{a} + \Omega_{0\Lambda} a^2 \right] < -a_0^2 \Omega_{0k}$$

To simplify matters, let's ignore  $\Omega_{0rad}$ , since it is already negligible today. Then, taking our derivative:

$$\frac{d}{da} \left[ \frac{\Omega_{om} a_0^3}{a} + \Omega_{on} a^2 \right] = 0$$

$$\Rightarrow -\frac{\Omega_{om} a_0^3}{a^2} + 2\Omega_{on} a = 0$$

$$\Rightarrow a = \left( \frac{\Omega_{om} a_0^3}{2\Omega_{on}} \right)^{1/3} = a_0 \left( \frac{\Omega_{om}}{2\Omega_{on}} \right)^{1/3}$$

Now plug back into "potential" to find minimum

$$\text{minimum} = \frac{\Omega_{om} a_0^3}{a_0 \left( \frac{\Omega_{om}}{2\Omega_{on}} \right)^{1/3}} + \Omega_{on} a_0^2 \left( \frac{\Omega_{om}}{2\Omega_{on}} \right)^{2/3}$$

$$= a_0^2 \Omega_{om}^{2/3} (2\Omega_{on})^{1/3} \left( 1 + \frac{1}{2} \right)$$

and the condition for recollapse is

$$\frac{3}{2} \Omega_{om}^{2/3} (2\Omega_{on})^{1/3} < -\Omega_{ok}$$

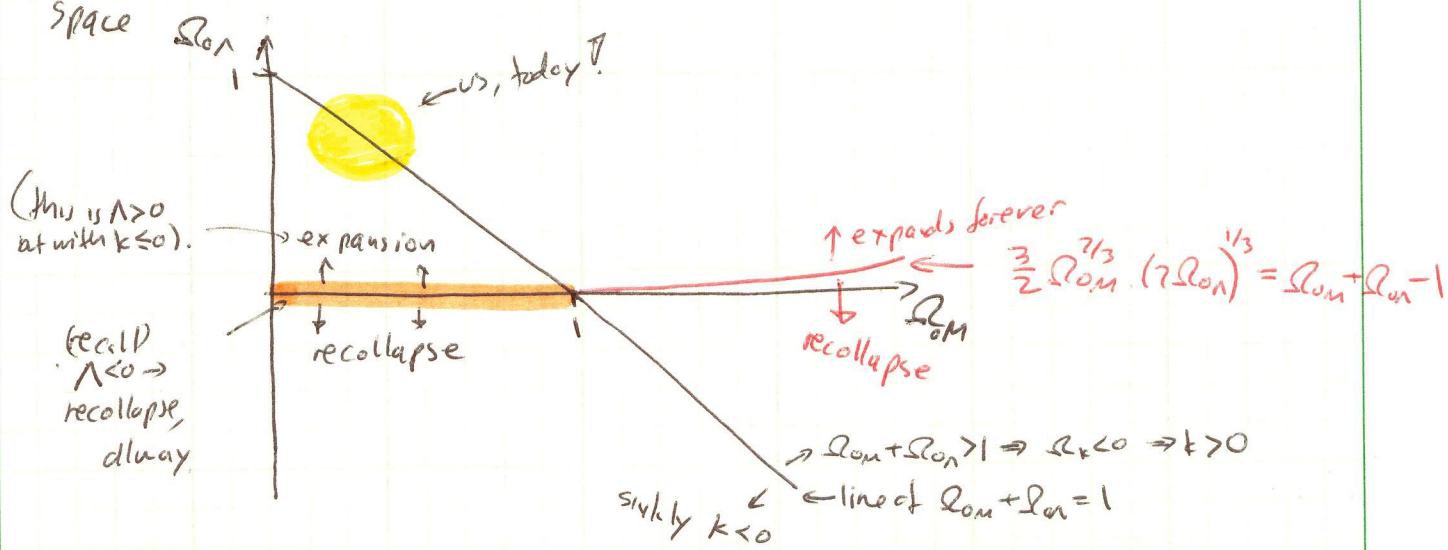
Moreover, recall that  $\Omega_{ok} = 1 - \Omega_{om} - \Omega_{on}$ , so the condition is an  $\Omega_{on}$  vs  $\Omega_{om}$ :

$$\frac{3}{2} \Omega_{om}^{2/3} (2\Omega_{on})^{1/3} < \Omega_{om} + \Omega_{on} - 1$$

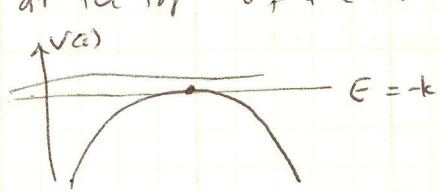
And keep in mind that we are doing the  $k > 0$  case, so  $\Omega_{ok} < 0$  (although, the treatment has been general).

CAUTION: The solution to the inequality must be dealt with great care because of the cube root. There are two large (one positive and one negative) roots of the cubic (set the " $<$ " to " $=$ "), and a small, positive root. Only the last is physical.

Let's put together our results in one graph: the  $\Omega_m, \Omega_\Lambda$  parameter space



Note that there is an unstable solution to  $\dot{a}^2 + V(a) = -k$  with  $\dot{a} = 0$  and  $V(a) = -k$  at the top of the hill



That is Einstein's static universe.

Sci Am March 2005 p76 has "transcription about cosmology"

## Redshift and Distances (a la Carroll).

FRW has no timelike killing vector (the metric depends explicitly on  $t$ ). But there is a Killing tensor. Let  $U^\mu = (1, \vec{0})$ , that is,  $U$  is the 4-vector tangent to isotropic observers in comoving coordinates (ie, their 4-velocity). Then let

$$K_{\mu\nu} = a^2 (g_{\mu\nu} + U_\mu U_\nu)$$

where  $g_{\mu\nu}$  is the FRW metric with scale factor  $a$ .

Then  $\nabla_{(\alpha} K_{\beta\gamma)} = 0$  (see next page for check of this).

Now, take  $V^\mu$  to be a tangent to a particle trajectory  $V^\mu = \frac{dx^\mu}{d\lambda}$ . This is the 4-velocity for a massive particle, or the wave 4-vector for a massless particle.

Along the geodesic

$$K^2 \equiv K_{\mu\nu} V^\mu V^\nu$$

is constant. Then, for a massive particle  $U_\mu V^\mu = -1$

$$\begin{aligned} \frac{K^2}{a^2} &= U_\mu V^\mu + (U_\mu V^\mu)^2 \\ &= -1 + (V^0)^2 \end{aligned}$$

But  $U_\mu V^\mu = -1 \Rightarrow (V^0)^2 - g_{ij} V^i V^j = 1$  so

$$|\vec{V}|^2 \equiv g_{ij} V^i V^j = \frac{K^2}{a^2}$$

For massless particles  $U_\mu V^\mu = 0$  and  $U_\mu V^\mu = -\omega$

$$\text{so } \frac{K^2}{a^2} = \omega^2 \quad \text{or} \quad \omega = \frac{K}{a}$$

check the  $K_{\mu\nu;\sigma} = 0$

$$K_{\mu\nu;\sigma} = K_{\mu\nu,\sigma} - \Gamma_{\mu\sigma}^{\lambda} K_{\lambda\nu} - \Gamma_{\nu\sigma}^{\lambda} K_{\mu\lambda}$$

check

$$K_{00;0} = K_{00,0} - 2\Gamma_{00}^{\lambda} K_{\lambda 0} = 0$$

$$K_{00;i} = K_{00,i} - 2\Gamma_{0i}^{\lambda} K_{\lambda 0} = 0 \quad (K_{\lambda 0} = 0 = K_{00})$$

$$K_{i0;0} = K_{i0,0} - \Gamma_{i0}^{\lambda} K_{\lambda 0} - \Gamma_{00}^{\lambda} K_{i\lambda}$$

$$K_{ij;0} = K_{ij,0} - \Gamma_{i0}^{\lambda} K_{\lambda j} - \Gamma_{j0}^{\lambda} K_{\lambda i}$$

Here  $K_{ij} = a^2 g_{ij} = a^4 h_{ij}$

where  $h_{ij}$  is the metric on the hypersurface of constant  $t$ .

so  $K_{ij,0} = 4\left(\frac{\dot{a}}{a}\right) K_{ij}$

Also  $\Gamma_{i0}^{\lambda} K_{\lambda j} = \Gamma_{i0}^l K_{lj} = \frac{\dot{a}}{a} K_{ij}$

so  $K_{ij;0} = 2\left(\frac{\dot{a}}{a}\right) K_{ij}$

$$K_{i0;j} = K_{i0,j} - \Gamma_{ij}^{\lambda} K_{\lambda 0} - \Gamma_{0j}^{\lambda} K_{\lambda i} = -\left(\frac{\dot{a}}{a}\right) K_{ij}$$

so  $K_{(ij;0)} = (2-1-1)\left(\frac{\dot{a}}{a}\right) K_{ij} = 0$

Finally

$$K_{ij;l} = K_{ij,l} - \Gamma_{il}^{\lambda} K_{\lambda j} - \Gamma_{jl}^{\lambda} K_{\lambda i}$$

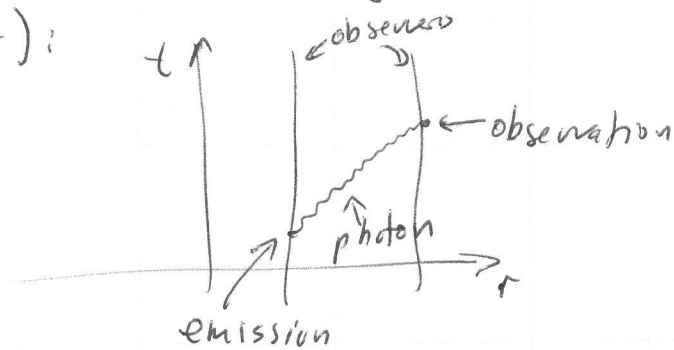
$$K_{ij,l} = a^4 h_{ij,l}$$

$$\begin{aligned} \text{Recall } \Gamma_{il}^m &= \frac{1}{2} g^{mp} (g_{ip,l} + g_{lp,i} - g_{le,p}) \\ &= \frac{1}{2} h^{mn} (h_{in,l} + h_{ln,i} - g_{le,n}) \end{aligned}$$

so  $\Gamma_{il}^m K_{mj} = \frac{1}{2} a^4 h_{mj} \Gamma_{il}^m = \frac{1}{2} a^4 (h_{ij,l} + h_{lj,i} - h_{le,j})$

so  $K_{ij;l} = a^4 [h_{ij,l} - \frac{1}{2}(h_{ij,l} + h_{lj,i} - h_{le,j}) - \frac{1}{2}(h_{ij,l} + h_{li,j} - h_{je,i})]$   
 $= 0$  even before symmetrizing.

Consider two comoving observers (both have  $\vec{U}$  as tangent vector):



then, since  $k = \text{constant}$

$$\omega_{em} a_{em} = \omega_{obs} a_{obs}$$

or, since  $\omega_{em} = \frac{1}{\lambda_{em}}$

$$\boxed{\frac{\lambda_{em}}{a_{em}} = \frac{\lambda_{obs}}{a_{obs}}}$$

That is  $\lambda_{obs} = \frac{a_{obs}}{a_{em}} \lambda_{em}$

and since  $a$  is increasing  $\lambda_{obs} > \lambda_{em} \Rightarrow$  redshift.

Define the redshift as

$$z \equiv \frac{\lambda_{obs} - \lambda_{em}}{\lambda_{em}} = \frac{a_{obs}}{a_{em}} - 1$$

or

$$\boxed{\frac{a_{em}}{a_{obs}} = \frac{1}{1+z}}$$

$\Rightarrow$  Measuring  $z$  gives the factor by which the universe has grown since emission as  $1+z$ .



The instantaneous physical distance  $d_p(t)$  between isotropic observers is the distance between them on a common  $t = \text{constant}$  surface. Recall

$$ds^2 = -dt^2 + a^2(t) [dx^2 + S_K^2(x) d\Omega^2]$$

where  $S_{+1} = \sin x$ ,  $S_0 = x$ ,  $S_{-1} = \sinh x$ . Then the distance between an isotropic observer at  $x=0$  and one at  $x$  is

$$d_p(t) = a(t)x$$

Taking  $\frac{d}{dt}$ , we have  $\dot{d}_p = \dot{a}x = \dot{a} \left( \frac{d_p}{a} \right) = \left( \frac{\dot{a}}{a} \right) d_p$

So, interpreting  $\dot{d}_p = v_p$  as the "velocity of separation" of the isotropic observers, we have  $v_p = H d_p$  (really, the rate at which space is growing between them).

$$v_p = H d_p$$

which is Hubble's law. (if we evaluate that today we have

$$v_{p0} = H_0 d_{p0}.)$$

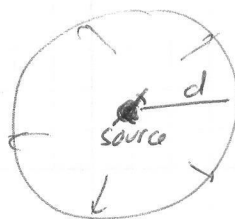
The problem at hand, though, is that  $H_0$ , which is of cosmological interest, cannot be directly determined from the above because we have no way of measuring  $d_{p0}$  or  $v_{p0}$  directly. The problem (beyond other accidental issues, like the fact that galaxies are not necessarily isotropic observers) is that

(i) we have no ruler to measure  $d_{p0}$ , we have to infer it from other observations, like luminosity (see below)

(ii) we cannot observe  $v_{p0}$ , the velocity today of an observer far away, because light was emitted in the past. This is a small effect if the time  $T$  of light travel is much smaller than  $H_0^{-1}$ .

In flat space, the luminosity  $L$  (defined as energy/time emitted) of a source, and the flux  $F$  (defined as energy/area/time received) are related by

$$L = 4\pi d^2 F$$



So we define a luminosity distance,  $d_L$  by

$$d_L^2 = \frac{L}{4\pi F}$$

This is useful if we can identify objects in the sky as "standard candles", i.e., objects that have the same intrinsic luminosity. Then measuring the flux at Earth we can directly infer the relative distance,  $d_L$ , to Earth.

In a FRW background, ~~the~~ photons from a source (at  $x=0$ ) get redshifted by  $(1+z)$ . Moreover, since we are looking at energy/time ~~received~~ emitted vs received, ~~if~~ the energy emitted over a ~~time~~ time interval  $\delta t_e$  is received over a time interval  $(1+z)\delta t_r$ . So  $\frac{F}{L} = \frac{1}{(1+z)^2 A}$

where  $A$  is the area of a sphere centered at  $x=0$  with comoving radius  $\chi$ . Now, for  $ds^2$  we have

$$A = 4\pi a_0^2 S_F^2(\chi)$$

So

$$d_L = \sqrt{\frac{L}{4\pi F}} = (1+z) a_0 S_F(\chi)$$

(Note: check on  $\delta t$  argument. Emit two photons at  $t=0$  and  $t=\delta t$ . They follow null ~~trajectories~~ geodesics too,  $x=0$  to  $x=z$

$$ds^2 = 0 = -dt^2 + a^2 dx^2$$

Or

$$\frac{dx}{dt} = a^{-1}$$

$$\Rightarrow x = \int_0^t a^{-1}(t') dt' = \int_{\delta t}^{t+\delta T} a^{-1}(t') dt'$$

and we want  $\delta T$ . But then, from the equality

$$\int_0^{\delta t} a^{-1}(t') dt' = \int_t^{t+\delta T} a^{-1}(t') dt'$$

and if  $\delta t$  is infinitesimal

$$a(0) \delta t = a(t) \delta T \quad \text{or} \quad \delta T = \left( \frac{a(0)}{a(t)} \right) \delta t = \left( \frac{a_{em}}{a_{obs}} \right) \delta t$$

Now, the expression for  $d_L$  is not very useful since it depends on  $x$  explicitly, not an observable. However, as in the note above,

$$x = \int_0^t a^{-1}(t') dt' = \int_{a_{em}}^{a_{obs}} \frac{dt'}{da} \frac{da}{a} = \int_{a_{em}}^{a_{obs}} \frac{da}{a \dot{a}} =$$

Now using  $\frac{a_{em}}{a_{obs}} = \frac{a}{a_0} = \frac{1}{1+z}$ , where we have  $a_{obs} = a_0$  (today)

and  $a_{em} = a$ , the scale factor at emission corresponding to redshift  $z$ , we can change variables from  $a$  to  $z$ . Using  $\dot{a} = H a$ , we have

$$x = \int_0^z \left[ \frac{a_0}{(1+z')^2} \right] \left[ \frac{1}{a^2 H} \right] = \frac{1}{a_0} \int_0^z \frac{dz'}{H(z')}$$

Note added: At this point a solution of Friedmann equations gives  $a(t)$ , the integral can be done if we invert  $t = t(a)$ , and then express the result in terms of the redshift. We instead write the integral as an integral over  $z$ :

To perform the integral we need a solution to Friedmann equations, which give  $H(z)$ . Of course,

$$H^2 = \frac{8\pi G}{3} \sum_i \rho_i$$

and we know  $\rho_i = \rho_{0i} \left(\frac{a_0}{a}\right)^{3(1+w_i)} = \rho_{0i} (1+z)^{3(1+w_i)}$

Moreover, recall that evaluating this today and dividing by  $H_0^2$  we get

$$1 = \sum_i \Omega_{0i}$$

So 
$$\frac{H^2}{H_0^2} = \frac{8\pi G}{3H_0^2} \sum_i \rho_{0i} (1+z)^{3(1+w_i)} = \sum_i \Omega_{0i} (1+z)^{3(1+w_i)}$$

Let  $E(z) = H(z)/H_0$ . Then

$$\chi = \frac{1}{a_0 H_0} \int_0^z \frac{dz'}{E(z')} \quad \text{with } E(z) = \sqrt{\sum_i \Omega_{0i} (1+z)^{3(1+w_i)}}$$

and this can be plugged into  $dl = (1+z)a_0 S_k(\chi)$  to get  $dl$  in terms of  $z$ ,  $a_0$  and  $H_0$ . But the integration has to be done numerically

Note that now we need  $a_0$  in addition to  $H_0$  and  $z$ . But if we know  $\Omega_{0k}$  we can get  $a_0$  (since  $\rho_{0k} = -\frac{3}{8\pi G} \frac{k}{a_0^2}$ ) except for the case  $k=0$ . However, for  $k=0$   $S_k(\chi) = \chi^2$  and  $a_0$  drops out of  $dl$ . For  $k \neq 0$  we can use  $\Omega_{0k} = 1 - \Omega_{00}$  to infer  $\Omega_{0k}$  and use it above. So, ~~since unity~~ recalling that

$$a_0 \cdot \Omega_{0k} = \frac{8\pi G}{3H_0^2} \rho_{0k} = -\frac{k}{H_0^2 a_0^2} \Rightarrow$$

thus 
$$a_0^2 = -\frac{k}{\Omega_{0k} H_0^2} \quad \text{or} \quad a_0 = \frac{1}{H_0 \sqrt{|\Omega_{0k}|}} = \frac{1}{H_0 \sqrt{|1 - \Omega_{00}|}}$$

(provided  $k \neq 0$ ).

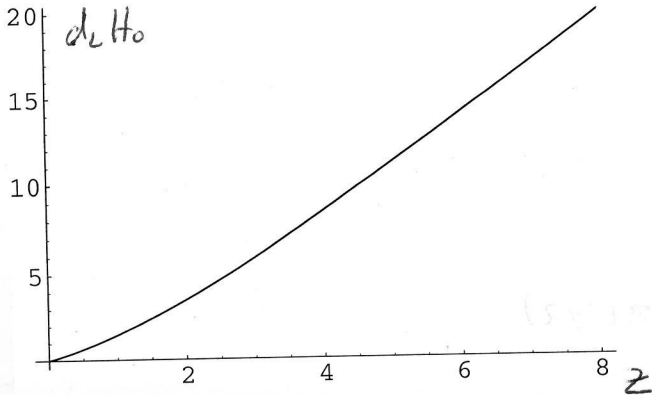
So, finally 
$$dl = \frac{(1+z)}{H_0 \sqrt{|1 - \Omega_{00}|}} S_k \left[ \sqrt{|1 - \Omega_{00}|} \int_0^z \frac{dz'}{E(z')} \right]$$

Exercise: do the integral  $\int_0^z \frac{dz'}{E(z')}$  (numerically?)  $\rightarrow$  Elliptic integral... need numerics to plot anyway.

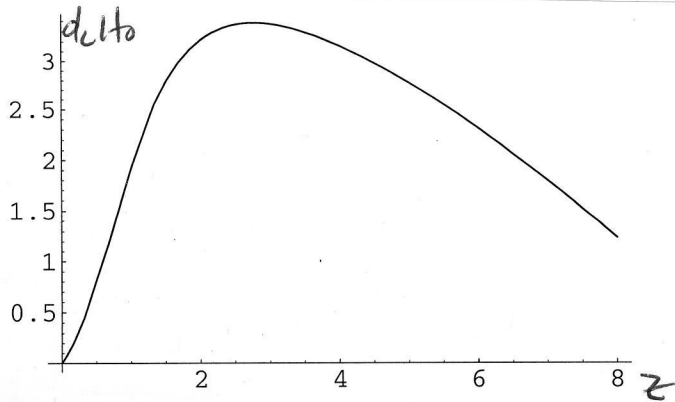
for the case that we have only 1 and neither (and the three cases  $k=0, \pm 1$ ).

$$E(z) = \Omega_m (1+z)^3 + \Omega_n + \Omega_k (1+z)^2$$

where  $\Omega_k = 1 - \Omega_m - \Omega_n$ .



$$\begin{aligned} \Omega_m &= 0.3 \\ \Omega_n &= 0.5 \\ \Omega_k &= 0.2 \quad k = -1 \end{aligned}$$



$$\begin{aligned} \Omega_m &= 0.3 \\ \Omega_n &= 1.5 \\ \Omega_k &= -0.8 \quad k = +1 \end{aligned}$$

Note the maximum from  $S_F[x] = \sin x$  (eventually has a zero).

There are other measures of distance:

i) Proper motion distance,  $d_M$ .

In flat space

$$d_M \sqrt{\delta\theta^2} \quad d_M \delta r_i = d_M \delta\theta \quad \text{so, multiply by } dt$$

So define:

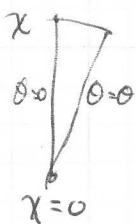
$$d_M = \frac{\dot{r}_i}{\dot{\theta}}$$

ii) Angular diameter distance,  $d_A$ :

In flat space,  , so  $d_A = \frac{D}{\theta}$ .

Exercise: Show  $d_A = (1+z)^{-2} d_L$  and  $d_M = (1+z)^{-1} d_L$ .

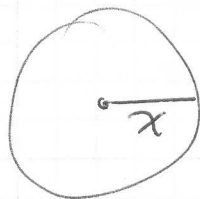
Ans: For  $d_A$  let the observer be at  $\chi=0$  and the light emitted from  $\chi$ , with  $\theta$  ranging from  $0$  to  $\theta$ .



Null lines still have  $\dot{\chi} = \frac{1}{a}$ . But doing this way is problematic since comparing the tangent vectors at the observer (the origin) is bad (coordinate singularity).

Avoiding coordinate singularity is messy.

Easier:  
(observer at  $\chi=0$ ).



with  $\theta=2\pi$  in  $d_A = \frac{D}{\theta}$ . But now by geometry, at emission  $\chi$ :

$$D = 2\pi a_{em} S_k(\chi)$$

$$\text{So } d_A = \frac{2\pi a_{em} S_k(\chi)}{2\pi} = a_{em} S_k(\chi) = \frac{1}{1+z} a_0 S_k(\chi) = \frac{d_L}{(1+z)^2}$$

$$\text{Similarly } d_M = \frac{\delta r_i / \delta t_{emiss}}{\delta\theta / \delta t_{obs}} = \frac{\delta r_i}{\delta\theta} \cdot \frac{\delta t_{obs}}{\delta t_{em}}$$

$$\text{But } \frac{\delta r_i}{\delta\theta} = d_A = \frac{1}{(1+z)} a_0 S_k(\chi) \quad \text{and} \quad \frac{\delta t_{obs}}{\delta t_{em}} = (1+z) \Rightarrow d_M = a_0 S_k(\chi) = \frac{d_L}{1+z}$$

## Lookback Time:

If today's time is  $t_0$  and the time when a photon was emitted by a comoving observer (or at an event coinciding with a comoving observer) with coordinate  $x$  is  $t_{em}$ , then

$$\Delta t = t_0 - t_{em} = \int_{t_{em}}^{t_0} dt = \int_{a_{em}}^{a_0} \frac{da}{a} = \int_{a_{em}}^{a_0} \frac{da}{aH}$$

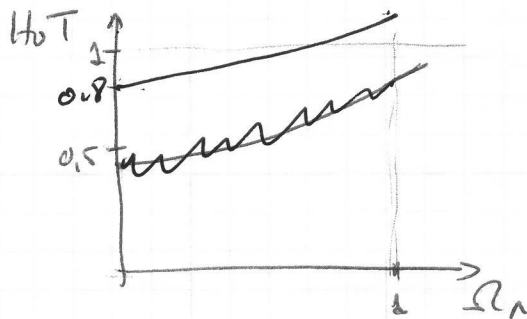
Using  $H = H_0 E(z)$  and  $a = \frac{a_0}{1+z}$  we have

$$\Delta t = \frac{1}{H_0} \int_0^z \frac{dz'}{(1+z')E(z')} \quad \text{Lookback time}$$

The integral is dimensionless, the units are set by  $H_0^{-1} \sim 10^{10}$  yrs. In particular, as  $z \rightarrow \infty$  the integral goes to a fixed finite number (that depends on the details of  $E(z')$ ), of order 1. So we are tempted to say

$$T = \text{age of universe} = \frac{1}{H_0} \int_0^{\infty} \frac{dz'}{(1+z')E(z')} \approx \frac{1}{H_0}$$

In fact, I get (from numerical) that for  $\Omega_m = 0.3$   $\Omega_{\text{radiation}} = 0$



So, for fixed  $\Omega_m$ ,  $T$  increases with  $\Omega_m$  (albeit slowly). This is not the whole story because there is also radiation! But adding  $\Omega_{\text{rad}} = 10^{-3} \Omega_m$  changes the result a negligible amount.



# Black Holes

Start with Schwarzschild:  
As seen briefly in 1<sup>st</sup> quarter

$$ds^2 = - \left(1 - \frac{2GM}{r}\right) dt^2 + \left(1 - \frac{2GM}{r}\right)^{-1} dr^2 + r^2 d\Omega^2$$

is a solution of Einstein's equations in empty space

$$R_{\mu\nu} = 0$$

~~It~~ It has spherical symmetry and it is static.

Birkhoff's theorem asserts that the Schwarzschild metric is the unique static, spherically symmetric solution of Einstein's equations in empty space.

We won't go over the proof here. But the ingredients are  
(1) Define spherical symmetry as having corresponding isometries:  
Here are three Killing vectors that generate the symmetries of the sphere. These are the generators of the Lie Algebra of the group of rotations,  $SO(3)$ , that leave the sphere ( $S^2$ ) invariant. You are familiar with the algebra, it is just the same as angular momentum in Q.M.:

$$[L_i, L_j] = i \epsilon_{ijk} L_k$$

or, since we ~~are~~ use anti-hermitean generators, let  $X_i = iL_i \Rightarrow$

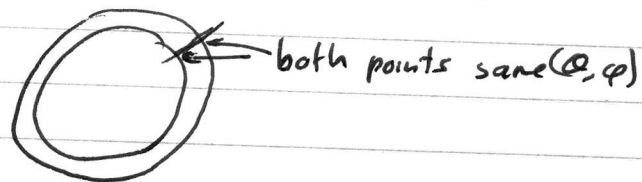
$$[X_i, X_j] = \epsilon_{ijk} X_k$$

Exercise: transforming to spherical coordinates  $X_1 = y\partial_z - z\partial_y$ ,  $X_2 = z\partial_x - x\partial_z$   
 $X_3 = x\partial_y - y\partial_x$  show that these Killing vectors are

$$R = \partial_\phi \quad S = \cos\theta \partial_\phi - \sin\theta \partial_\psi \quad T = -\sin\theta \partial_\theta - \cot\theta \cos\theta \partial_\phi$$

(ii) Frobenius theorem then allows one to show the space is foliated by 2-spheres. Basically the theorem says that if you have a set of vector fields that closes under commutation,  $[X_i, X_j] = \text{lin. combination of } X_i\text{'s}$ , then the integral curves form a submanifold of the manifold on which they are defined.

(iii) Put spherical coordinates  $\theta, \phi$  on one sphere. Extend to other neighboring spheres using orthogonal geodesics



and characterize the other spheres by two coordinates, say  $p, q$ , (the space of orthogonal geodesics through one point on a sphere is  $4-2=2$  dimensional). Then by ~~suitable~~ one has

$$ds^2 = g_{pp}(p, q) dp^2 + 2g_{pq}(p, q) dpdq + g_{qq}(p, q) dq^2 + r^2(p, q) d\Omega_2^2$$

and by changing variables one can write

$$ds^2 = T(t, r) dt^2 + R(t, r) dr^2 + r^2 d\Omega_2^2$$

(iv) Plug this into Einstein's equations and solve. Impose the condition that the metric is static. This too has to be defined with some care. A metric is stationary if it has a timelike Killing vector near infinity, and a stationary metric is static if in addition the timelike Killing vector is orthogonal to a family of hypersurfaces.

## Singularities in Schwarzschild.

It is difficult to define in general what is meant by a singularity. One common means of determining whether there is a singularity is to look for infinities in geometric quantities (coordinate independent), such as  $R$ ,  $R^{\mu\nu}R_{\mu\nu}$ ,  $R^{\mu\nu\lambda\sigma}R_{\mu\nu\lambda\sigma}$ , etc.

In the case at hand the metric

$$ds^2 = -\left(1 - \frac{2GM}{r}\right) dt^2 + \left(1 - \frac{2GM}{r}\right)^{-1} dr^2 + r^2 d\Omega^2$$

is singular at  $r = 2GM$  and at  $r = 0$ . But are these real singularities or artifacts of the metric.

In this case  $R = 0$  and  $R_{\mu\nu} = 0$ . But  $R_{\mu\nu\lambda\sigma} \neq 0$  and computing explicitly one finds

$$R_{\mu\nu\lambda\sigma} R_{\mu\nu\lambda\sigma} = \frac{48G^2M^2}{r^6}$$

$\Rightarrow$  There is no singularity at  $r = 2GM$  as far as this invariant can show, but there certainly is one at  $r = 0$ .

In fact we will introduce coordinates that have a perfectly regular metric at  $r = 2GM$ .

Another way of defining singularities is by finding inextendible geodesics that terminate at finite affine parameter. Let's study geodesics.

## Geodesics

$$ds^2 = -\left(1 - \frac{2GM}{r}\right) dt^2 + \left(1 - \frac{2GM}{r}\right)^{-1} dr^2 + r^2(d\theta^2 + \sin^2\theta d\phi^2)$$

$$\Gamma_{\nu\lambda}^{\mu} = g^{\mu\rho} \Gamma_{\rho\nu\lambda} \quad \Gamma_{\rho\nu\lambda} = \frac{1}{2} (g_{\rho\nu,\lambda} + g_{\lambda\rho,\nu} - g_{\nu\lambda,\rho})$$

$$\Gamma_{r tt} = -\frac{1}{2} g_{tt,r} = \frac{GM}{r^2}$$

$$\Gamma_{ttr} = \Gamma_{trt} = -\frac{GM}{r^2}$$

$$\Gamma_{rrr} = \frac{1}{2} g_{rr,r} = -\left(1 - \frac{2GM}{r}\right)^{-2} \frac{GM}{r^2}$$

$$\Gamma_{r\theta\theta} = -\frac{1}{2} g_{\theta\theta,r} = -r$$

$$\Gamma_{r\phi\phi} = -r \sin^2\theta$$

$$\Gamma_{\theta r\theta} = \Gamma_{\theta\theta r} = r$$

$$\Gamma_{\theta r\phi} = \Gamma_{\theta\phi r} = r \sin^2\theta$$

$$\Gamma_{\theta\phi\phi} = -\frac{1}{2} g_{\phi\phi,\theta} = -r^2 \sin\theta \cos\theta$$

$$\Gamma_{\phi\phi\theta} = \Gamma_{\phi\theta\phi} = r^2 \sin\theta \cos\theta$$

$$\Gamma_{tt}^r = \frac{GM}{r^2} \left(1 - \frac{2GM}{r}\right)$$

$$\Gamma_{rt}^t = \Gamma_{tr}^t = \frac{GM}{r^2} \left(1 - \frac{2GM}{r}\right)^{-1}$$

$$\Gamma_{rr}^r = -\left(1 - \frac{2GM}{r}\right)^{-1} \frac{GM}{r^2}$$

$$\Gamma_{\theta\theta}^r = -r \left(1 - \frac{2GM}{r}\right)$$

$$\Gamma_{\phi\phi}^r = -r \sin^2\theta \left(1 - \frac{2GM}{r}\right)$$

$$\Gamma_{r\theta}^{\theta} = \Gamma_{\theta r}^{\theta} = \frac{1}{r}$$

$$\Gamma_{r\phi}^{\phi} = \Gamma_{\phi r}^{\phi} = \frac{1}{r}$$

$$\Gamma_{\phi\theta}^{\theta} = -\sin\theta \cos\theta$$

$$\Gamma_{\theta\phi}^{\phi} = \Gamma_{\phi\theta}^{\phi} = \cot\theta$$

Geodesic Eqn:

$$\frac{d^2 t}{d\lambda^2} + \frac{2GM}{r(r-2GM)} \frac{dt}{d\lambda} \frac{dr}{d\lambda} = 0$$

$$\frac{d^2 r}{d\lambda^2} + \frac{GM}{r^2} \left(1 - \frac{2GM}{r}\right) \left(\frac{dt}{d\lambda}\right)^2 - \frac{GM}{r(r-2GM)} \left(\frac{dr}{d\lambda}\right)^2$$

$$- r \left(1 - \frac{2GM}{r}\right) \left[ \left(\frac{d\theta}{d\lambda}\right)^2 + \sin^2\theta \left(\frac{d\phi}{d\lambda}\right)^2 \right] = 0$$

$$\frac{d^2\theta}{d\lambda^2} + \frac{2}{r} \frac{dr}{d\lambda} \frac{d\theta}{d\lambda} - \sin\theta \cos\theta \left(\frac{d\phi}{d\lambda}\right)^2 = 0$$

$$\frac{d^2\phi}{d\lambda^2} + \frac{2}{r} \frac{dr}{d\lambda} \frac{d\phi}{d\lambda} + 2\cot\theta \frac{d\theta}{d\lambda} \frac{d\phi}{d\lambda} = 0$$

To solve these, use constants of the motion (1<sup>st</sup> integrals). We have four Killing vectors, three from  $SO(3)$  symmetry and one timelike Killing vector. For each

$$K_m \frac{dx^m}{d\lambda} = \text{constant}$$

along the geodesic. Moreover, for massive particles we can take  $\lambda = \tau$  so that

$$\frac{dx^m}{d\tau} \frac{dx^\nu}{d\tau} g_{\mu\nu} = -1 \quad \text{timelike geodesic}$$

and for massless particles

$$\frac{dx^m}{d\lambda} \frac{dx^\nu}{d\lambda} g_{\mu\nu} = 0 \quad \text{null geodesic}$$

The Killing vectors associated with  $SO(3)$  are like angular momentum,  $\vec{L}$ . Just as in flat space,  $\vec{L} = \text{constant}$  implies motion is a plane orthogonal to  $\vec{L}$  and with fixed magnitude of  $\vec{L}$ . So we can fix the plane of motion choosing

$$\theta = \frac{\pi}{2}$$

The magnitude of  $L$  corresponds to the Killing vector  $\partial_\phi$

$$(\partial_\phi)^\mu = (0, 0, 0, 1)$$

In addition, the timelike Killing vector is

$$(\partial_t)^\mu = (1, 0, 0, 0)$$

The conserved quantities are

$$E = -g_{\mu\nu} (\partial_t)^\mu \frac{dx^\nu}{d\lambda} = \left(1 - \frac{2GM}{r}\right) \frac{dt}{d\lambda}$$

and

$$L = (\partial_\phi)^\mu \frac{dx^\nu}{d\lambda} g_{\mu\nu} = r^2 \sin^2\theta \frac{d\phi}{d\lambda} = r^2 \frac{d\phi}{d\lambda} \quad (\text{since } \theta = \frac{\pi}{2}).$$

The constants are named  $E$  and  $L$ , suggestively. But these are just labels. We can discuss energy and angular momentum later.

For time like geodesics we have  $(U^\mu U_\mu = -1)$ :

$$-\left(1 - \frac{2GM}{r}\right) \left(\frac{dt}{d\tau}\right)^2 + \left(1 - \frac{2GM}{r}\right)^{-1} \left(\frac{dr}{d\tau}\right)^2 + r^2 \left(\frac{d\phi}{d\tau}\right)^2 = -1$$

or multiplying by  $\left(1 - \frac{2GM}{r}\right)$  and using  $E$  &  $L$

$$-E^2 + \left(\frac{dr}{d\tau}\right)^2 + \left(1 - \frac{2GM}{r}\right) \left(1 + \frac{L^2}{r^2}\right) = 0$$

This is like a particle in a central potential

$$\frac{1}{2} \left(\frac{dr}{d\tau}\right)^2 + V(r) = E$$

with  $V(r) = \frac{1}{2} \left(1 - \frac{2GM}{r}\right) \left(1 + \frac{L^2}{r^2}\right)$   $E = \frac{1}{2} E^2$  (I)

The null geodesic is similar, but the RHS  $-1$  is replaced by  $0$ :

$$\frac{1}{2} \left(\frac{dr}{d\lambda}\right)^2 + V_n(r) = E$$

$$V_n(r) = \frac{1}{2} \left(1 - \frac{2GM}{r}\right) \frac{L^2}{r^2} \quad E = \frac{1}{2} E^2 \quad (II)$$

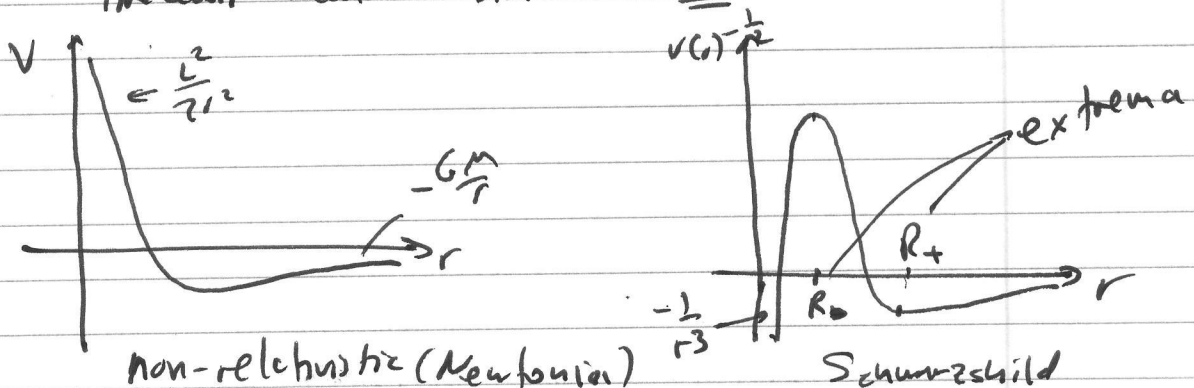
(or, together

$$V(r) = \frac{1}{2} \left(1 - \frac{2GM}{r}\right) \left(\kappa + \frac{L^2}{r^2}\right) \quad \kappa = \begin{cases} 0 & \text{null-like} \\ 1 & \text{time-like} \end{cases}$$

Expanding (I):

$$V(r) = \frac{1}{2} - \frac{GM}{r} + \frac{L^2}{2r^2} - \frac{GML^2}{r^3}$$

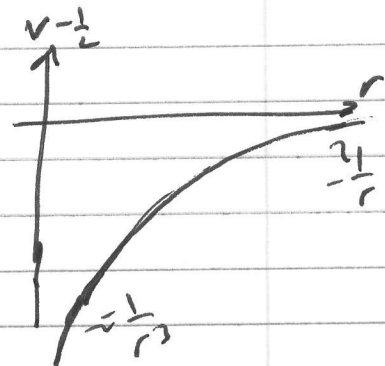
↑ relativistic    ↑ Newtonian    ↑ std. L    ↑ new



find extrema  $\frac{dV}{dr} = 0 = GMr^2 - L^2 r + 3GM L^2$

or  $R_{\pm} = \frac{1}{2GM} [L^2 \pm \sqrt{L^4 - 12(GM L^2)^2}]$

For  $12G^2 M^2 > L^2 \rightarrow$  no extrema of  $V$



For  $L^2 > 12G^2 M^2$   $R_+$  a minimum,  $R_-$  a maximum as in figure.

$\Rightarrow$  stable circular orbit at  $r = R_+$  (unstable at  $r = R_-$ ).

Now  $R_+$  for  $L^2 \gg 12(GM L^2)^2$   $R_+ \approx \frac{L^2}{GM}$  the Newtonian formula.

Now, as we vary  $L^2$ ,  $R_+$  is smallest at  $L^2 = 12(GM)^2$ , where

$$R_+ = \frac{1}{2GM} 12(GM)^2 = 6GM \quad \text{so}$$

$$R_+ > 6GM$$

Here is a smallest stable circular orbit?

(Similarly unstable circular orbits <sup>radii</sup> are restricted to by  $R_- < 6GM$   
- same calculation - and on low end take  $L \rightarrow \infty$

$$R_- \rightarrow \frac{1}{2GM} [L^2 - L^2 [1 - \frac{1}{2} \frac{12(GM)^2}{L^2} + \dots]] = 3GM$$

so  $3GM < R_- < 6GM$ .

(Note that this calculation also gives

$$L \rightarrow \infty \Rightarrow R_+ \rightarrow \frac{L^2}{GM}$$

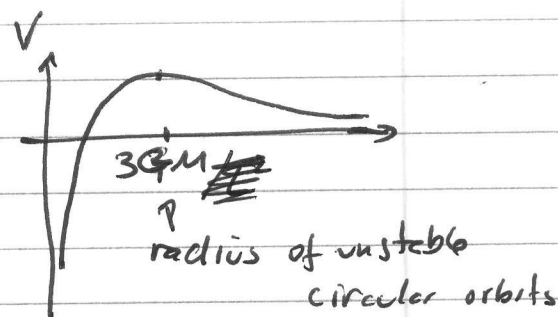


(Note: at this point comparison of  $\omega_r$  (has small perturbations about circular orbit, with  $\omega_r^2 = \frac{d^2V}{dr^2}$ ) and  $\omega_\phi = \dot{\phi}$  gives precession of perihelion  $\rightarrow$  mercury  $\rightarrow$  classical test. This must have been covered?).  
(No time here).

Null geodesics:

$$V_n(r) = \frac{L^2}{2r^2} - \frac{GM L^2}{r^3}$$

$$\left(\frac{\partial V}{\partial r} = 0 = L^2 r - 3GM L^2\right)$$

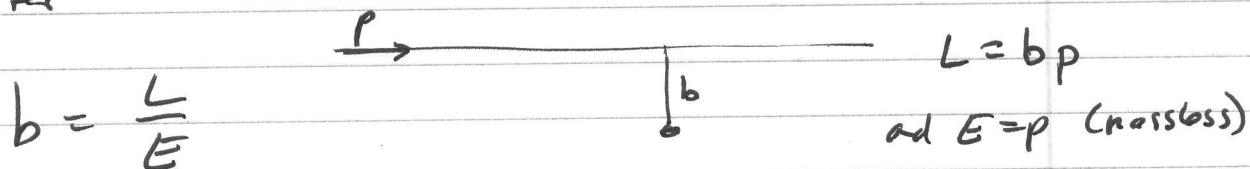


Now recall  $E$  &  $L$  are (in arbitrary units) the energy and angular momentum of the particle (photon?), and the energy necessary for the particle to go over the potential barrier is the height of the barrier:

$$\frac{1}{2} E^2 = V_n(3GM) = \frac{L^2}{27(GM)^2} \left(\frac{1}{2} 3GM - GM\right) = \frac{L^2}{54G^2 M^2}$$

$$\Rightarrow \frac{L}{E} = 3\sqrt{3} GM$$

But  $L/E$  has a simple interpretation. In the asymptotically flat region ( $r \gg GM$ ) it corresponds to the impact parameter



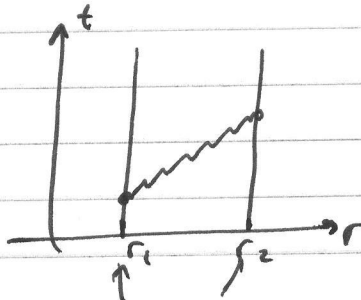
For  $b < 3\sqrt{3} GM$  the photon is captured  
For  $b > 3\sqrt{3} GM$  it is scattered

Capture cross section

$$\sigma_c = \pi b_{crit}^2 = 27\pi (GM)^2$$

## Red-Shift

Similar to what we did before:



two observers (not on geodesics)  
both with  $U^\mu = (U^0, 0, 0, 0)$

(Note, all we need is  
that  $U$  be of this form  
instantaneously)

$$\text{so } U \cdot U = -1 \Rightarrow U^0 = \frac{1}{\sqrt{-g_{tt}}} = \frac{1}{\sqrt{1 - \frac{2GM}{r}}}$$

Now, if  $k^\mu = \frac{dx^\mu}{d\lambda}$  on the null geodesic for the photon then

$$\text{the observers measure } \omega = -U \cdot k = + \sqrt{1 - \frac{2GM}{r}} \frac{dt}{d\lambda}$$

$$\text{and } \frac{dt}{d\lambda} = \frac{E}{(1 - \frac{2GM}{r})} \Rightarrow \omega = \frac{E}{\sqrt{1 - \frac{2GM}{r}}}$$

Since  $E$  is constant we have

$$\omega_1 \sqrt{1 - \frac{2GM}{r_1}} = \omega_2 \sqrt{1 - \frac{2GM}{r_2}}$$

$$\text{or } \boxed{\frac{\omega_2}{\omega_1} = \sqrt{\frac{1 - \frac{2GM}{r_1}}{1 - \frac{2GM}{r_2}}}}$$

Gravitational redshift.

For weak fields,

$$\frac{\omega_2}{\omega_1} \approx 1 - \frac{GM}{r_1} + \frac{GM}{r_2} = 1 + \Phi_1 - \Phi_2 = 1 - \Delta\Phi$$

which is the formula obtained last quarter (see Schwarz) on general grounds (principle of equivalence) for weak fields.

## Kruskal Coordinates and extension

Coordinate singularities vs real singularities: toy models first (warm-up):

$$ds^2 = -\frac{1}{t^4} dt^2 + dx^2$$

defined for  $x \in (-\infty, \infty)$  and  $t \in (0, \infty)$ . Seems singular but defining  $t' = \frac{1}{t}$ , we have

$$ds^2 = -dt'^2 + dx^2$$

$\Rightarrow$  original spacetime is a portion of Minkowski space with  $t' > 0$ . Note that the original spacetime is not geodesically complete: geodesics approaching  $t \rightarrow \infty$  take finite affine parameter to get there (even though approaching  $t=0$  take infinite affine parameter)

Check:

$$\Gamma_{ttt} = \frac{1}{2} g_{ttt} = 2t^{-5} \quad \Gamma_{tt}^t = -\frac{2}{t}$$

$$\frac{d^2 t}{d\lambda^2} + \left(-\frac{2}{t}\right) \left(\frac{dt}{d\lambda}\right)^2 = 0 \quad \frac{dx^2}{d\lambda^2} = 0 \Rightarrow \frac{dx}{d\tau} = v = \text{const}$$

~~$$\text{let } v = \frac{dt}{d\lambda} \Rightarrow \frac{\dot{v}}{v^2} = \frac{2}{t} \int \frac{dv}{v^2} = 2 \int \frac{dt}{t} = -\frac{1}{v} = 2 \ln t$$~~

~~$$v \approx \frac{dt}{d\lambda} = \frac{1}{-2 \ln t} \quad \int dt \ln t = -\frac{1}{2} \int dx$$~~

~~$$t(\ln t - 1) = -\frac{1}{2} \lambda$$~~

$$\text{But } v \cdot v = -1 \Rightarrow -\frac{1}{t^4} \left(\frac{dt}{d\lambda}\right)^2 + \left(\frac{dx}{d\lambda}\right)^2 = -1$$

$$\frac{dt}{d\tau} = t^2 \sqrt{1+v^2} \quad \int \frac{dt}{t^2} = \sqrt{1+v^2} \int d\tau \Rightarrow \frac{1}{t_0} - \frac{1}{t} = \sqrt{1+v^2} \tau$$

So  $t \rightarrow \infty$  as  $\tau \rightarrow \frac{1}{t_0 \sqrt{1+v^2}}$ . However  $t \rightarrow 0$  as  $\tau \rightarrow \infty$ .

2<sup>nd</sup> example: Rindler spacetime

$$ds^2 = -x^2 dt^2 + dx^2$$

$$t \in (-\infty, \infty) \quad x \in (0, \infty)$$

Singularity at  $x=0$ ?

Geodesics?  $\left( \begin{array}{l} \Gamma_{xtt} = -\frac{1}{2} g_{tt,x} = x \quad \Gamma_{tt}^x = x \\ \Gamma_{txx} = \Gamma_{xtx} = \frac{1}{2} g_{tt,x} = -x \quad \Gamma_{tx}^t = \Gamma_{xt}^t = \frac{1}{x} \end{array} \right)$

$$p_t = \text{const} \Rightarrow g_{tt} \frac{dt}{d\tau} = E = \text{const.} \quad \frac{dt}{d\tau} = -\frac{E}{x^2}$$

$$-1 = -x^2 \left( \frac{dt}{d\tau} \right)^2 + \left( \frac{dx}{d\tau} \right)^2 = -\frac{E^2}{x^2} + \left( \frac{dx}{d\tau} \right)^2$$

$$\frac{dx}{d\tau} = \sqrt{\frac{E^2}{x^2} - 1} \quad \int \frac{x dx}{\sqrt{E^2 - x^2}} = \int d\tau$$

$$t + E\tau = x^2 \Rightarrow E^2 d\tau^2 = 2x dx \Rightarrow \tau = \frac{E}{2} \int \frac{ds}{\sqrt{1-s}} = \frac{E}{2} \sqrt{1-s} = \frac{E}{2} \sqrt{1 - \frac{x^2}{E^2}} = \frac{E}{2} \sqrt{\frac{E^2 - x^2}{E^2}} = \frac{\sqrt{E^2 - x^2}}{2}$$

$$\Rightarrow \tau = \sqrt{\frac{E^2 - x^2}{4}} \quad x^2 = E^2 - 4\tau^2 \quad \frac{dt}{d\tau} = -\frac{E}{E^2 - 4\tau^2}$$

$$t = E \int \frac{d\tau}{-E^2 + 4\tau^2} \quad \tau = \frac{E}{2} \sin \theta \quad \frac{d\tau}{d\theta} = \frac{E}{2} \cos \theta \quad \frac{d\theta}{\cos \theta} = \frac{d\tau}{\frac{E}{2} \cos \theta} = \frac{2 d\tau}{E \cos \theta}$$

$$\frac{1}{E - 2\tau} + \frac{1}{E + 2\tau} = \frac{2E}{E^2 - 4\tau^2} \Rightarrow t = \frac{1}{2} \ln \frac{E - 2\tau}{E + 2\tau} \quad t \rightarrow \infty \text{ is finite } \tau \text{ (} \tau \rightarrow \frac{E}{2} \text{)}$$

Geodesically incomplete. How about curvature?

$$R^t_{xtx} = \partial_t \Gamma^t_{xx} - \partial_x \Gamma^t_{tx} + \Gamma^t_{tx} \Gamma^{xx}_{xx} - \Gamma^t_{xx} \Gamma^{xx}_{tx} \\ = 0 - \partial_x \frac{1}{x} + 0 - \frac{1}{x^2} = 0$$

so this is a portion of Minkowski space, again.

Q: How to find coordinates that are non-singular starting from this, not using the fact that this is Minkowski?

Use a family of geodesics that head towards the singularity, with affine parameter as one coordinate. Must avoid crossing of geodesics because this would give new coordinate singularities. In 2-DIM we can take null ingoing and outgoing geodesics (they never cross because if null geodesics have same tangent they agree everywhere)

null geodesics:

$$0 = -x^2 \left(\frac{dt}{d\lambda}\right)^2 + \left(\frac{dx}{d\lambda}\right)^2$$

$$\Rightarrow x \frac{dt}{d\lambda} = \pm \frac{dx}{d\lambda}$$

$$\Rightarrow \pm \frac{dx}{x} = dt$$

$$\Rightarrow t = \pm \ln x + \text{const}$$

Define

$$\begin{aligned} u &= t - \ln x & \Leftrightarrow & t = \frac{1}{2}(u+v) \\ v &= t + \ln x & & x = e^{\frac{1}{2}(v-u)} \end{aligned}$$

So geodesics are  $u = \text{const}$  or  $v = \text{const}$ . Then

$$ds^2 = -x^2 dt^2 + dx^2 = -e^{(v-u)} \frac{1}{4} (dv+du)^2 + e^{(v-u)} \frac{1}{4} (dv-du)^2$$

$$\text{or } ds^2 = -e^{v-u} du dv$$

We want to analyze the singularity at  $x=0$ . Can't do that yet since  $u, v$  is  $(-\infty, \infty)$  still has  $x > 0$ . But now we can extend the space beyond  $x=0$ , i.e., beyond  $u, v$  infinite by introducing new coordinates  $U(u)$  and  $V(v)$ . Calculate affine parameter along null geodesics. Since

$$\text{Let } \frac{dt}{d\lambda} = -\frac{v}{x} = \text{const} \Rightarrow \frac{dt}{d\lambda} = \frac{v}{x^2}$$

$$\text{So, along } u = \text{constant we have } \frac{dt}{d\lambda} = \frac{1}{2} \frac{dv}{d\lambda} = E e^{-(v-u)}$$

$$\text{or } \lambda = \frac{1}{2E} \int e^{v-u} dv = A + \frac{e^{v-u}}{2E} \quad (u = \text{constant})$$

Along outgoing null geodesics  $\lambda_{\text{out}} = e^v$  is an affine parameter while  $\lambda_{\text{in}} = -e^u$

$$\text{So use } U = -e^u \quad V = e^v \quad ds^2 = -dU dV$$

Now  $ds^2 = -dUdV$  has  $U < 0$   $V > 0$   
 but there is no obstruction to extending  $U$  to  $(-\infty, \infty)$ , and we  
 get Minkowski space again

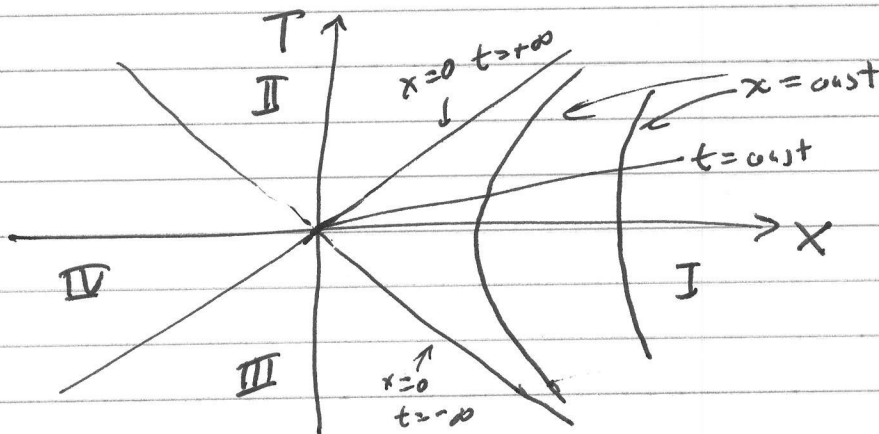
$$\begin{aligned} T &= \frac{1}{2}(U+V) & \Leftrightarrow U &= T-X \\ X &= \frac{1}{2}(V-U) & V &= T+X \end{aligned}$$

$$ds^2 = -dT^2 + dX^2$$

The original coordinates are given, in terms of Minkowski, by

$$\begin{aligned} t &= \frac{1}{2}(U+V) = \frac{1}{2}(-\ln(-U) + \ln V) \\ &= \frac{1}{2}(-\ln(X-T) + \ln(X+T)) \\ &= \frac{1}{2} \ln \frac{X+T}{X-T} = \operatorname{tanh}^{-1}\left(\frac{T}{X}\right) \end{aligned}$$

$$x = \frac{1}{2}(V-U) = \sqrt{-VU} = \sqrt{X^2 - T^2}$$



original space is the wedge I in Minkowski space here. ( $X > |T|$ ).

Now do the same for Schwarzschild. We can ignore angular coordinates, for most of the discussion. Consider

$$ds^2 = -(1 - 2GM/r) dt^2 + (1 - 2GM/r)^{-1} dr^2$$

All geodesics:

$$-(1 - 2GM/r) \left(\frac{dt}{dx}\right)^2 + (1 - 2GM/r)^{-1} \left(\frac{dr}{dx}\right)^2 = 0$$

$$\Rightarrow \left(\frac{dt}{dr}\right)^2 = (1 - 2GM/r)^{-2}$$

$$t = \pm r_* + \text{constant}$$

$r_*$  is the "Regge-Wheeler tortoise coordinate" given by

$$r_* = \int \frac{dr}{1 - 2GM/r} = \int dr \left[ \frac{r - 2GM + 2GM}{r - 2GM} \right] = r + 2GM \ln\left(\frac{r}{2GM} - 1\right)$$

Then define null coordinates

$$u = t - r_*$$

$$v = t + r_*$$

Calculate metric: ~~du~~  $du = dt - dr_* = dt - (1 - 2GM/r)^{-1} dr$

$$dv = dt + (1 - 2GM/r)^{-1} dr$$

$$dudv = dt^2 - (1 - 2GM/r)^{-2} dr^2$$

or  $ds^2 = -(1 - 2GM/r) dudv$

with  $r$  understood as  $r = r(u, v)$ .

with  $v - u = 2r_* = 2r + 4GM \ln\left(\frac{r}{2GM} - 1\right)$

we have

$$e^{\frac{v-u}{4GM}} = e^{\frac{r}{2GM}} \left(\frac{r}{2GM} - 1\right) = \frac{2GM}{r} e^{\frac{r}{2GM}} \left(1 - \frac{2GM}{r}\right) \frac{r}{2GM}$$

so  $ds^2 = -\frac{2GM}{r} e^{-\frac{r}{2GM}} e^{\frac{v-u}{4GM}} dudv$



This is useful because the factor  $\frac{2GM}{r} e^{-r/2GM}$  is not singular as  $r \rightarrow 2GM$ .

Now, as in Rindler case, we introduce

$$U = -e^{-v/4GM}$$

$$V = e^{v/4GM}$$

$$\Rightarrow ds^2 = dU = \frac{1}{4GM} e^{-v/4GM} dv dV = \frac{1}{4GM} e^{v/4GM} dv$$

and

$$ds^2 = - \frac{32(GM)^3 e^{-r/2GM}}{r} dU dV$$

While this is defined for  $V > 0$  and  $U < 0$ , we can now extend to  $(-\infty, \infty)$  and define  $a, b$  before

$$T = \frac{1}{2}(U+V)$$

$$X = \frac{1}{2}(V-U)$$

The full metric is now

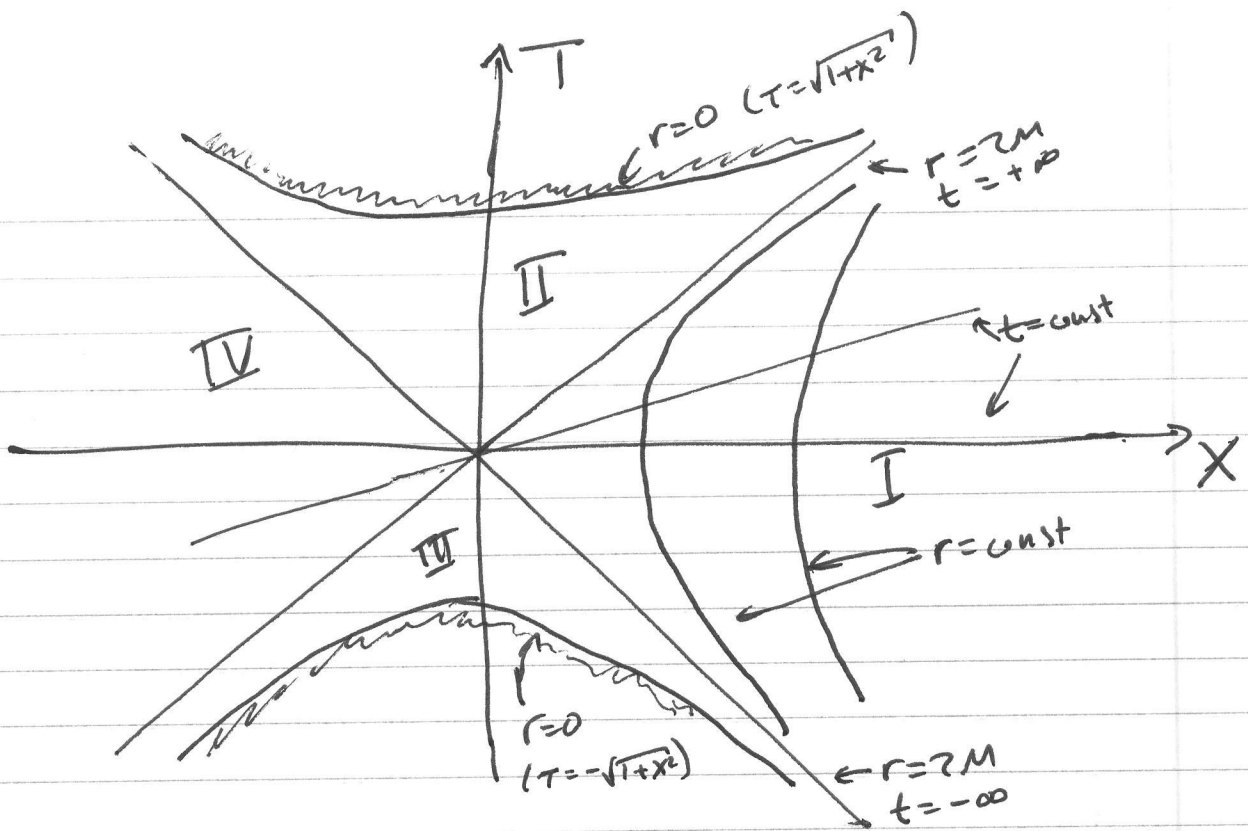
$$ds^2 = \frac{32(GM)^3 e^{-r/2GM}}{r} (-dT^2 + dX^2) + r^2 (d\theta^2 + \sin^2\theta d\phi^2)$$

Relating to original coordinates:

$$X^2 - T^2 = -UV = e^{\frac{v-U}{4GM}} = e^{\frac{2r_x}{4GM}} = e^{\frac{r}{2GM}} \left( \frac{r}{2GM} - 1 \right) \quad (*)$$

$$\tanh^{-1} \frac{T}{X} = \frac{1}{2} \ln \left( \frac{T+X}{X-T} \right) = \frac{1}{2} \ln \frac{V}{-U} = \frac{1}{2} \ln e^{\frac{v+U}{4GM}} = \frac{t}{4GM}$$

which would have been hard to guess. Eq (\*) also gives  $r = r(T, X)$  for the metric. Note that  $r > 0$  in (\*) gives the allowed range for  $X, T$ :  $X^2 - T^2 > -1$ .



Keep in mind each point is a  $S^2$  with radius  $r$ .

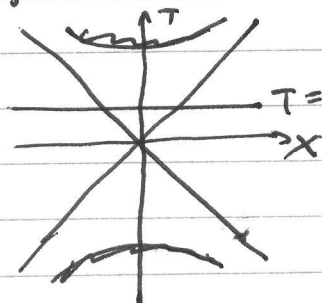
Causal structure: null geodesics are  $45^\circ$  lines.

- Singularities at  $r=0$  are spacelike. Two of them
  - Future of region II
  - Past of region III

NOT a timelike line at origin, as suggested by original coordinates.

- Region I corresponds to original  $r > 2M$ , exterior gravitational field of ~~body~~ a spherical body. Radially infalling observer that crosses  $X=T$  can never escape back to region I AND will eventually hit singularity ergo "black hole"
- Region III has the time reversed properties of I  $\Rightarrow$  "white hole".
- Region IV has identical properties to I, asymptotically flat.

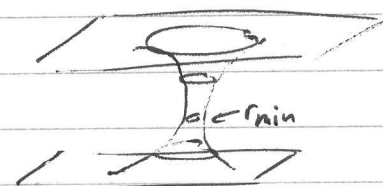
To see what's going on, consider hypersurfaces of  $T = \text{constant}$ , restore one angular variable ( $\theta$ ):



$T = \text{const}$   $\leftarrow$

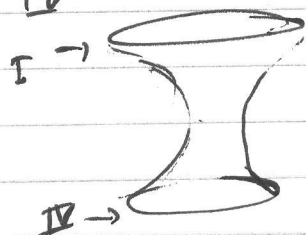
$$e^{\frac{r}{2GM} \left( \frac{r}{2GM} - 1 \right)} = X^2 - T^2$$

as  $X$  goes from  $-\infty$  to  $+\infty$   $r$  goes from  $\infty$  to a minimum and back to  $\infty$ .



for  $T=1$   
 $r_{\text{min}} = 0$

There is another space, on the other side of the black hole. Can we communicate with our brothers there? No, as is clear from causality diagram. What happens in this picture is that as an observer wants to go from I to IV



the radius of the throat is shrinking and it necessarily pinches off before the observer makes it to the other side.

### Penrose Diagram

Result

$$ds^2 = -\frac{32(GM)^3}{r} e^{-\frac{r}{2GM}} dU dV + r^2 d\Omega^2$$

Now let

$$U = \arctan\left(\frac{U}{2GM}\right) \quad V = \arctan\left(\frac{V}{2GM}\right)$$

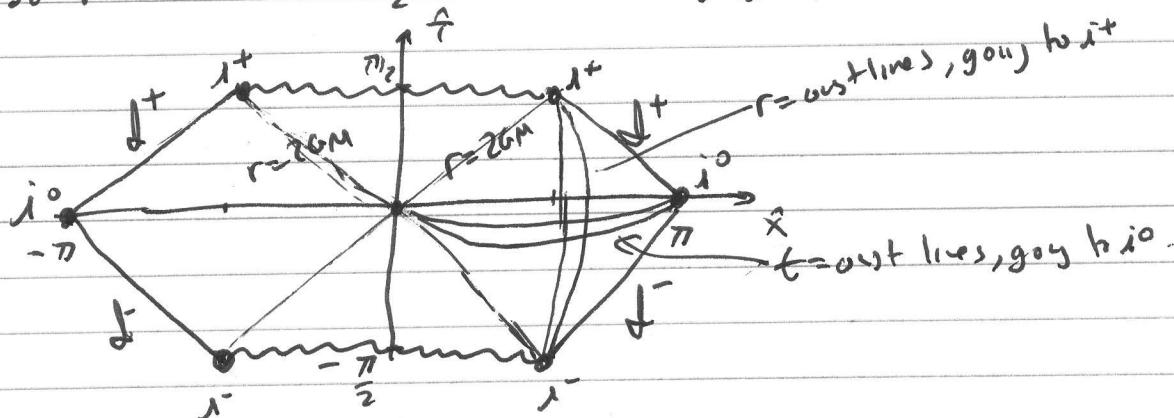
$$\text{so } U \in \left(-\frac{\pi}{2}, \frac{\pi}{2}\right) \quad V \in \left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$$

(It is quite irrelevant what the metric is in  $U, V$ , just matters that it has finite range). Also, since  $-UV = e^{r/2GM} \left(\frac{r}{2GM} - 1\right) > -1 \Rightarrow UV < 1$

$$\Rightarrow \tan U \tan V < 1 \Rightarrow \cos(U+V) > 0 \quad |U+V| < \frac{\pi}{2}$$

Also  $r=0$  is  $UV=1 \quad U+V = \pm \frac{\pi}{2}$ . Now let  $\hat{T} = \frac{1}{2}(U+V) \quad \hat{X} = \frac{1}{2}(V-U)$

So  $r=0$  is  $\hat{T} = \pm \frac{\pi}{2}$  and  $\hat{T} \in \left(-\frac{\pi}{2}, \frac{\pi}{2}\right), \hat{X} \in (-\pi, \pi)$



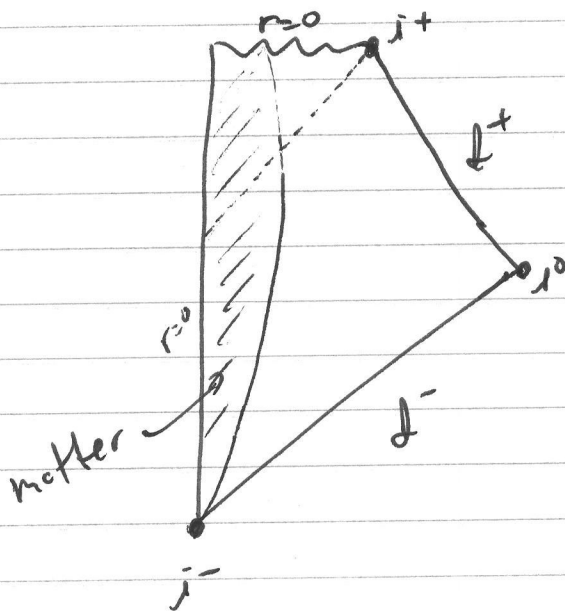
Black holes in nature arise from gravitational collapse of stars. Even this is ~~unlikely~~ to be exactly spherically symmetric, but we can consider the idealized case. ~~Star at some time.~~

The metric is spherically symmetric with some mass/energy density distributed with spherical symmetry. Birkhoff's theorem gives that outside the region of mass the metric is Schwarzschild (well, not quite, - that would be true if the situation were static), but let's imagine it is slow, so the metric is approximately Schwarzschild. Yet, inside the metric is not Schwarzschild and what is regular at  $r=0$ .

$\rho = 0$   $\rho \neq 0$   
 $\approx$  Schwarzschild

~~Moreover~~ at As we let the star collapse (which will happen if the object is dense enough, in fact if the mass  $GM > \frac{4R^2}{9}$ ) once the star falls within  $R = 2GM$ , it will continue falling inevitably towards the singularity. A picture (causal diagram caricature) of the process is

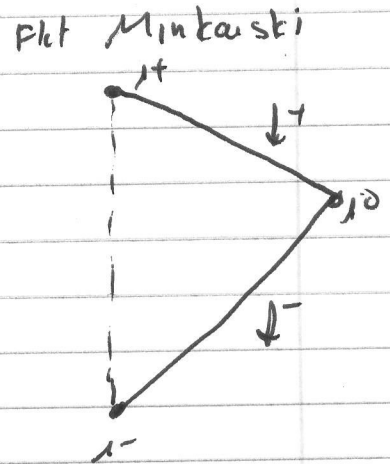
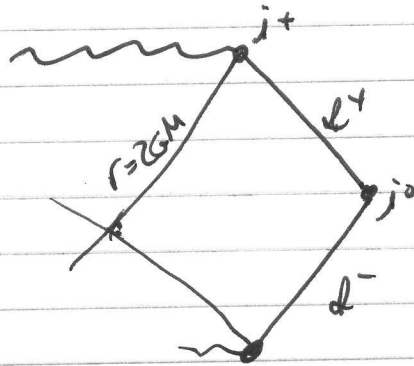
and we see such a spacetime has ~~not~~ white-hole nor a region IV.



## More General Black Holes

What characterizes black holes?

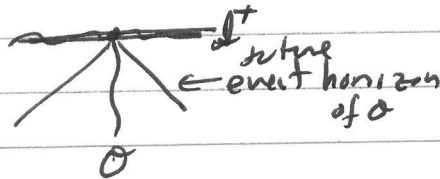
Recall Schwarzschild



Two important ingredients:

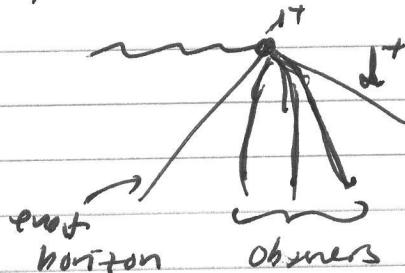
(i) Asymptotically flat, so it looks like Minkowski on the "outside".

(ii) Has an event horizon (future) (at  $r=2GM$ ). Recall we had

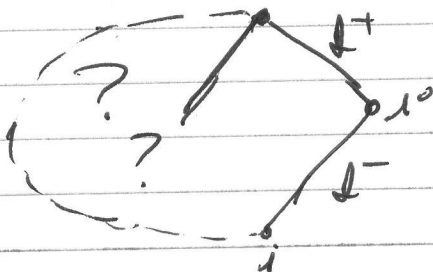


is de Sitter.

So in Schwarzschild all observers that remain in I go to  $i^+$  at infinity, and they all share  $r=2GM$  as a future event horizon



So, more general black hole



## Reissner-Nordstrom: Charged Black Hole.

Look for spherically symmetric and static (or just stationary?)

$$(s) \quad ds^2 = -T(r,t) dt^2 + R(r,t) dr^2 + r^2 d\Omega_2^2$$

solutions to Einstein's Equations

$$G_{\mu\nu} = 8\pi G T_{\mu\nu}$$

with matter given by electromagnetic field.

$$\text{Recall } F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu \quad \text{and} \quad \mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

(For contrast with conventional non-relativistic notation in Minkowski spacetime)

$$\vec{E} = -\frac{\partial \vec{A}}{\partial t} - \vec{\nabla} \Phi, \quad \Phi \text{ is } A^0 \text{ and } \vec{\nabla} = \partial_i. \text{ With low indices,}$$

$$E_i = -\partial_0 A_i + \partial_i A_0 = -F_{0i}$$

$$\text{Similarly } \vec{B} = (\vec{\nabla} \times \vec{A}) \text{ or } B_i = \epsilon_{ijk} \partial_j A_k = \frac{1}{2} \epsilon_{ijk} F_{jk}$$

Note that  $\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} = \frac{1}{2} (\vec{E}^2 - \vec{B}^2)$  as it should).

Then, as we saw earlier

$$T_{\mu\nu} = \frac{1}{\sqrt{-g}} \frac{\delta S}{\delta g^{\mu\nu}} \quad S = \int d^4x \sqrt{-g} \mathcal{L}_m$$

$$\Rightarrow T_{\mu\nu} = \int d^4x \left[ \frac{1}{\sqrt{-g}} \frac{\delta \sqrt{-g}}{\delta g^{\mu\nu}} \mathcal{L} + \frac{\delta \mathcal{L}}{\delta g^{\mu\nu}} \right]$$

$$\text{The first term requires } \delta(\det A) = \delta \prod_{\lambda} \lambda = \delta e^{\sum \ln \lambda} = e^{\sum \ln \lambda} \sum \frac{1}{\lambda} \delta \lambda = \det A \text{ Tr } A^{-1} \delta A$$

$$\text{or } \delta g = g g^{\mu\nu} \delta g_{\mu\nu} \text{ so } \frac{1}{\sqrt{-g}} \delta \sqrt{-g} = \frac{1}{2} g^{\mu\nu} \delta g_{\mu\nu}$$

For the second term use

$$\mathcal{L} = -\frac{1}{4} F_{\mu\lambda} F_{\nu\rho} g^{\mu\nu} g^{\lambda\rho}$$

so that there is no implicit dependence on  $g_{\mu\nu}$  in  $F$ , and then

$$\frac{\delta g^{\lambda\rho}}{\delta g_{\mu\nu}} = -g^{\lambda\mu} g^{\rho\nu} - g^{\lambda\nu} g^{\rho\mu}, \text{ so}$$

$$\int d^4x \frac{\delta \mathcal{L}}{\delta g_{\mu\nu}} = F^\mu{}_\lambda F^\nu{}_\rho g^{\lambda\rho}$$

$$\text{or } T^{\mu\nu} = F^\mu{}_\lambda F^\nu{}_\rho g^{\lambda\rho} - \frac{1}{4} g^{\mu\nu} F^{\lambda\rho} F_{\lambda\rho}$$

$$\text{or } \boxed{T_{\mu\nu} = F_{\mu\lambda} F_{\nu}{}^\lambda - \frac{1}{4} g_{\mu\nu} F_{\lambda\rho} F^{\lambda\rho}}$$

For spherical symmetry need radial  $\vec{E}$  (and possibly  $\vec{B}$ ), so in radial coordinates we have  $F_{t\theta} = F_{t\phi} = 0$  and

$$F_{tr} = -F_{rt} = f(t, r)$$

For  $\vec{B}$  to be radial we need to generalize  $B_i = \frac{1}{2} \epsilon_{ijk} F^{jk}$ :

" $B_r$ " =  $\frac{1}{2} \epsilon_{0r\theta\lambda} F^{\theta\lambda}$  and use  $\epsilon^{\lambda\nu\rho\sigma} = \frac{1}{\sqrt{-g}} \tilde{\epsilon}^{\lambda\nu\rho\sigma}$ . Or, more directly, but pedantically, go back to cartesian  $x, y, z$ . Then

$$F_{\theta\phi} = F_{ij} \frac{\partial x^i}{\partial \theta} \frac{\partial x^j}{\partial \phi} \quad \text{and} \quad F_{ij} = \epsilon_{ijk} B^k \propto \epsilon_{ijk} x^k \quad \text{for radial } \vec{B}$$

times some  $g(t, r)$

$$\text{But then } F_{\theta\phi} = g(r) \epsilon_{ijk} \frac{\partial x^i}{\partial \theta} \frac{\partial x^j}{\partial \phi} x^k = \sin\theta g(r).$$

$$\text{(The factor } \epsilon_{ijk} \frac{\partial x^i}{\partial \theta} \frac{\partial x^j}{\partial \phi} x^k \text{ is just the determinant } \begin{vmatrix} \frac{\partial x}{\partial \theta} & \frac{\partial x}{\partial \phi} & x \\ \frac{\partial y}{\partial \theta} & \frac{\partial y}{\partial \phi} & y \\ \frac{\partial z}{\partial \theta} & \frac{\partial z}{\partial \phi} & z \end{vmatrix}$$

which is the measure for the volume integral at  $r=1$ ,  $\sin\theta$ ).



So we take

$$ds^2 = -T(r) dt^2 + R(r) dr^2 + r^2 d\Omega^2$$

$$F_{tr} = f(r)$$

$$F_{\theta\phi} = g(r) \sin\theta$$

and plug into Einstein's.

Quick computation  $\Gamma_{\mu\nu\lambda} = \frac{1}{2}(g_{\mu\nu,\lambda} + g_{\mu\lambda,\nu} - g_{\lambda\nu,\mu})$

NOT FOR CLASS

$$\Gamma_{ttt} = +\frac{1}{2} T'$$

$$\Gamma_{ttc}^r = \frac{1}{2} T'/R$$

$$\Gamma_{trt} = -\frac{1}{2} T'$$

$$\Gamma_{rtc}^t = \frac{1}{2} T'/T$$

$$\Gamma_{rrr} = \frac{1}{2} R'$$

$$\Gamma_{rrc}^r = \frac{1}{2} R'/R$$

$$\Gamma_{r\theta\theta} = -r$$

$$\Gamma_{r\theta\theta}^r = -r/R$$

$$\Gamma_{\theta r\theta} = r$$

$$\Gamma_{r\theta}^{\theta} = \frac{1}{r}$$

$$\Gamma_{r\theta\phi} = -r \sin^2\theta$$

$$\Gamma_{r\theta\phi}^r = -r \sin^2\theta / R$$

$$\Gamma_{\phi r\phi} = r \sin^2\theta$$

$$\Gamma_{r\phi}^{\phi} = \frac{1}{r}$$

$$\Gamma_{\theta\phi\phi} = -r^2 \sin\theta \cos\theta$$

$$\Gamma_{\theta\phi\phi}^{\theta} = -\sin\theta \cos\theta$$

$$\Gamma_{\phi\theta\phi} = r^2 \sin\theta \cos\theta$$

$$\Gamma_{\theta\phi}^{\phi} = \cos\theta / \sin\theta$$

$$R_{\mu\nu} = \partial_\rho \Gamma_{\nu\mu}^\rho - \partial_\nu \Gamma_{\rho\mu}^\rho + \Gamma_{\rho\lambda}^\rho \Gamma_{\nu\mu}^\lambda - \Gamma_{\nu\lambda}^\rho \Gamma_{\rho\mu}^\lambda$$

$$R_{tt} = \frac{1}{2} T''/R - \frac{1}{2} \frac{T'R'}{R^2} + \left(\frac{1}{2} \frac{T'}{r} + \frac{1}{2} \frac{R'}{R} + \frac{2}{r}\right) \left(\frac{1}{2} \frac{T'}{R}\right) - 2 \left(\frac{1}{2} \frac{T'}{R}\right) \left(\frac{1}{2} \frac{T'}{r}\right)$$

$$= \frac{1}{2} \frac{T''}{R} - \frac{1}{4} \frac{T'R'}{R^2} - \frac{1}{4} \frac{T'^2}{RT} + \frac{1}{r} \frac{T'}{R}$$

$$R_{rr} = \frac{1}{2} \frac{R''}{R} - \frac{1}{2} \frac{R'^2}{R^2} - \partial_r \left(\frac{1}{2} \frac{T'}{r} + \frac{1}{2} \frac{R'}{R} + \frac{2}{r}\right) + \left(\frac{1}{2} \frac{T'}{r} + \frac{1}{2} \frac{R'}{R} + \frac{2}{r}\right) \frac{1}{2} \frac{R'}{R} - \left(\frac{1}{2} \frac{T'}{r}\right)^2 - \left(\frac{1}{2} \frac{R'}{R}\right)^2 - 2 \frac{1}{r^2}$$

$$= -\frac{1}{2} \frac{T''}{r} + \frac{1}{4} \frac{T'^2}{r^2} + \frac{1}{4} \frac{T'R'}{rR} + \frac{R'}{rR}$$

$$R_{tt} = R_{tr} = 2 \left( \frac{1}{r} \frac{r'}{r} \right) + (1) - 0 = 0$$

$$R_{\theta\theta} = \partial_r \left( -\frac{r}{R} \right)^{\rightarrow \partial_{\theta} \cos \theta} + \left( \frac{1}{2} \frac{r'}{r} + \frac{1}{2} \frac{R'}{R} + \frac{2}{r} \right) \left( -\frac{r}{R} \right) - 2 \left( -\frac{r}{R} \right) \left( \frac{1}{r} \right) - \left( \frac{\cos \theta}{\sin \theta} \right)^2$$

$$= \frac{1}{2} \frac{r R'}{R^2} - \frac{1}{R} + \frac{1}{2} \frac{r'}{r} + \frac{1}{2} \frac{R'}{R} + \frac{2}{r} - \frac{1}{\sin^2 \theta}$$

$$R_{\phi\phi} = \partial_r \left( -\frac{r \sin^2 \theta}{R} \right)^{\rightarrow \partial_{\theta} (-\sin \theta \cos \theta)} + \left( \frac{1}{2} \frac{r'}{r} + \frac{1}{2} \frac{R'}{R} + \frac{2}{r} \right) \left( -\frac{r \sin^2 \theta}{R} \right) + \left( \frac{\cos \theta}{\sin \theta} \right) (-\sin \theta \cos \theta)$$

$$- 2 \left( \frac{1}{r} \right) \left( -\frac{r \sin^2 \theta}{R} \right) - 2 \left( -\sin \theta \cos \theta \right) \left( \frac{\cos \theta}{\sin \theta} \right)$$

$$= \sin^2 \theta \left[ \frac{r R'}{2R^2} - \frac{1}{R} - \frac{1}{2} \frac{r'}{r} + \frac{1}{2} \frac{R'}{R} + \frac{2}{r} \right] = \sin^2 \theta R_{\theta\theta}$$

Ricci scalar

$$R = g^{\mu\nu} R_{\mu\nu} = \dots \text{ better use trace of } T$$

So write

$$R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = 8\pi G T_{\mu\nu}$$

$$\rightarrow -R = 8\pi G T$$

$$\Rightarrow R_{\mu\nu} = 8\pi G T_{\mu\nu} + \frac{1}{2} g_{\mu\nu} (-8\pi G T) = 8\pi G \left( T_{\mu\nu} - \frac{1}{2} g_{\mu\nu} T \right)$$

Now, compute  $T_{\mu\nu}$ :

$$T_{tt} = F_{t\lambda} F_t^{\lambda} - \frac{1}{4} g_{tt} F_{\lambda\rho} F^{\lambda\rho} = \frac{1}{R} f^2 + \frac{1}{2} T \left( -\frac{1}{RT} f^2 + \frac{g^2}{r^4} \right)$$

$$= \frac{1}{2R} f^2 + \frac{1}{2} T \frac{g^2}{r^4}$$

$$T_{rr} = F_{r\lambda} F_r^{\lambda} - \frac{1}{4} g_{rr} F_{\lambda\rho} F^{\lambda\rho} = -\frac{f^2}{r} - \frac{1}{2} R \left( -\frac{1}{RT} f^2 + \frac{g^2}{r^4} \right)$$

$$= -\frac{1}{2} \frac{f^2}{r} - \frac{g^2 R}{2r^4}$$

$$T_{\theta\theta} = F_{\theta\lambda} F_{\theta}^{\lambda} - \frac{1}{4} g_{\theta\theta} F_{\lambda\rho} F^{\lambda\rho} = \frac{1}{r^2 \sin^2 \theta} \sin^2 \theta g^2 - \frac{1}{2} r^2 \left( -\frac{1}{RT} f^2 + \frac{g^2}{r^4} \right)$$

$$= \frac{1}{2} \frac{g^2}{r^2} + \frac{1}{2} \frac{r^2 f^2}{RT}$$

$$T_{\phi\phi} = \sin^2 \theta T_{\theta\theta}$$

$$S_0 \quad T = g^{\mu\nu} T_{\mu\nu} = -\frac{1}{T} \left( \frac{1}{2R} f^2 + \frac{1}{2} \frac{T g^2}{r^4} \right) + \frac{1}{R} \left( -\frac{1}{2} \frac{f^2}{T} - \frac{g^2 R}{2r^4} \right) \\ + \frac{2}{r^2} \left( \frac{1}{2} \frac{g^2}{r^2} + \frac{1}{2} \frac{r^2 f^2}{RT} \right) = 0$$

And we have

$$tt: \quad \frac{1}{2} \frac{T''}{R} - \frac{1}{4} \frac{T'R'}{R^2} - \frac{1}{4} \frac{T'^2}{RT} + \frac{1}{r} \frac{T'}{R} = \left( \frac{f^2}{2R} + \frac{1}{2} \frac{T g^2}{r^4} \right) 8\pi G \\ rr: \quad -\frac{1}{2} \frac{T''}{T} + \frac{1}{4} \frac{T'^2}{T^2} + \frac{1}{4} \frac{T'}{T} \frac{R'}{R} = \left( -\frac{1}{2} \frac{f^2}{T} - \frac{g^2 R}{2r^4} \right) 8\pi G \quad \left. \vphantom{\begin{matrix} tt \\ rr \end{matrix}} \right\} \text{Same eqn.}$$

$$\theta\theta: \quad \frac{1}{2} \frac{rR'}{R^2} - \frac{1}{R} - \frac{1}{2} \frac{T'r}{TR} + 1 = 8\pi G \left( \frac{1}{2} \frac{g^2}{r^2} + \frac{1}{2} \frac{r^2 f^2}{RT} \right)$$

2 eqs, 4 unknowns; need two more: Maxwell's Equations

$$g^{\mu\nu} \nabla_{\mu} F_{\nu\lambda} = 0 \quad \text{and} \quad \nabla_{\mu} F_{\nu\lambda} = 0$$

Recall  $\nabla_{\mu} F_{\nu\lambda} = \partial_{\mu} F_{\nu\lambda} - \Gamma_{\mu\nu}^{\rho} F_{\rho\lambda} - \Gamma_{\mu\lambda}^{\rho} F_{\nu\rho}$

So, in components

$$g^{\mu\nu} \nabla_{\mu} F_{\nu t} = -\frac{1}{T} \left[ -\frac{1}{2} \frac{T'}{R} (f) \right] + \frac{1}{R} \left( -f' - \frac{1}{2} \frac{R'}{R} (f) - \frac{1}{2} \frac{T'}{T} (f) \right) \\ + \frac{1}{r^2} \left[ -\left(-\frac{f}{R}\right) (f) \right] + \frac{1}{r^2 \sin^2 \theta} \left[ -\left(-\frac{r \sin^2 \theta}{r}\right) (f) \right] \\ = -\frac{f'}{R} + \frac{1}{2} \frac{R'}{R} f + \frac{1}{2} \frac{T'}{T} f - \frac{2f}{rR} = 0$$

$$g^{\mu\nu} \nabla_{\mu} F_{\nu r} = -\frac{1}{T} [0] + \frac{1}{R} (0) + \frac{1}{r^2} (0) = 0 \quad \text{automatic}$$

$$g^{\mu\nu} \nabla_{\mu} F_{\nu \theta} = -\frac{1}{T} (0) + \frac{1}{R} (0) + \frac{1}{r^2} [0] + \frac{1}{r^2 \sin^2 \theta} [0] = 0$$

$$g^{\mu\nu} \nabla_{\mu} F_{\nu \phi} = -\frac{1}{T} (0) + \frac{1}{R} (0) + \frac{1}{r^2} \left[ \cos \theta g - \frac{\omega \theta}{\sin \theta} \sin \theta g \right] + \frac{1}{r \sin \theta} (0) = 0$$

and for the 2<sup>nd</sup> equation take

$$\begin{aligned} \nabla_r F_{\phi\phi} + \nabla_\theta F_{\phi r} + \nabla_\phi F_{r\theta} \\ = \left( \sin\theta g' - \frac{2}{r} \sin\theta g \right) + \left( -\frac{1}{r}(-g\sin\theta) \right) + \left( -\frac{1}{r}(-g\sin\theta) \right) \\ \Rightarrow \sin\theta g' \quad ? \end{aligned}$$

$$\text{So } \nabla_r F_{\phi\phi} = 0 \Rightarrow \sin\theta g' = 0 \Rightarrow g = \text{constant.}$$

And Maxwell's equation gives

$$\frac{f'}{f} = \left[ \frac{1}{2} \frac{R'}{R} + \frac{1}{2} \frac{T'}{T} - \frac{2}{rR} \right] R$$

Look for a solution with  $TR = 1$   $T'/T = -R'/R$

$$\text{Then } \frac{f'}{f} = -\frac{2}{r} \quad \frac{df}{f} = -2 \frac{dr}{r} \quad f = \frac{K}{r^2}$$

and

$$\frac{rR'}{R^2} - \frac{1}{R} + 1 = 4\pi G \frac{(g^2 + K^2)}{r^2} \quad (a)$$

and

$$-\frac{1}{2} \frac{T''}{T} - \frac{T'}{rT} = -\frac{4\pi G}{T} \frac{(K^2 + g^2)}{r^4}$$

$$\text{or } T'' + \frac{2}{r} T' = \frac{8\pi G (K^2 + g^2)}{r^4}$$

$$\Rightarrow \frac{1}{r^2} (r^2 T')' = \frac{8\pi G (K^2 + g^2)}{r^4} \Rightarrow r^2 T' = -\frac{8\pi G (K^2 + g^2)}{r} + 2GM$$

$$T = 1 - \frac{2GM}{r} + \frac{4\pi G (g^2 + K^2)}{r^2}$$

$$\begin{aligned} \text{Check (a): } -r \frac{T'}{TR} - T + 1 &= -r T' - T + 1 = \left[ \frac{8\pi G (K^2 + g^2)}{r^2} - \frac{2GM}{r} \right] + \frac{2GM}{r} - \frac{4\pi G (K^2 + g^2)}{r^2} \\ &= \frac{4\pi G (K^2 + g^2)}{r^2} \quad \checkmark \quad (a) \end{aligned}$$

The solution is  $ds^2 = -T dt^2 + R dr^2 + r^2 d\Omega^2$

$$T = \frac{1}{R} = 1 - \frac{2GM}{r} + \frac{4\pi G(p^2 + q^2)}{r^2}$$

$$\text{d.d} \quad F_{tr} = \frac{q}{r^2} \quad F_{\theta\phi} = p \sin\theta$$

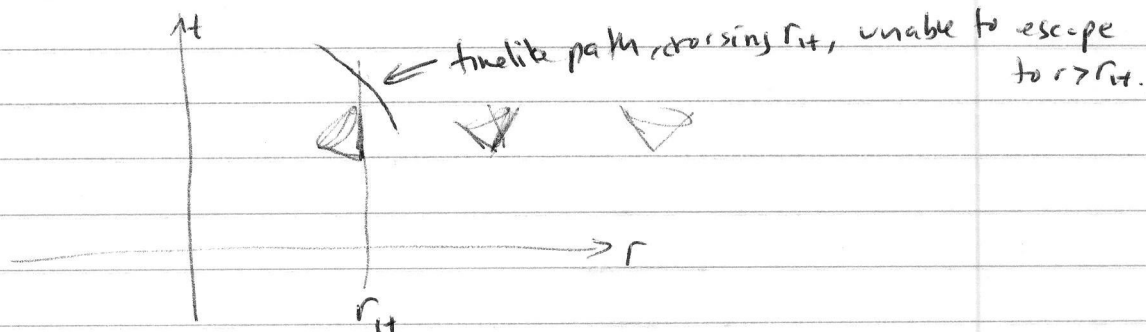
(Note that  $E_r = F_{tr} = \frac{q}{r^2}$   $B_r = \frac{F_{\theta\phi}}{r^2 \sin\theta} = \frac{p}{r^2}$ )

so  $q$  &  $p$  are electric & magnetic charges, and the notation  $(q, p)$  is standard for "dyons".

Singularity at  $r=0$ . Horizon singularities (see below) are coordinate effects.

Event horizons? In a static space-time (Killing vector  $\partial_t$ ,  $\partial_t g_{\mu\nu} = 0$ ) asymptotically time-like, choose coordinates  $(r, \theta, \phi)$  so metric looks Minkowski as  $r \rightarrow \infty$ .

Hypersurface  $r = \text{const}$ : timelike "cylinder" (topology  $S^2 \times \mathbb{R}$ ) as  $r \rightarrow \infty$   
 Now decrease  $r$  from infinity to some  $r_H$  where the surface becomes null  $\rightarrow$  an event horizon



How to determine  $r_H$ ?  $\partial_r r$  is a 1-form normal to  $r = \text{const}$  hypersurface, with norm

$$g^{\mu\nu} (\partial_\mu r) (\partial_\nu r) = g^{rr}$$

We want this to vanish, so  $\boxed{g^{rr}(r_H) = 0}$

This method is very restrictive (to spaces that are static and with coordinates  $(r, \theta, \phi)$  as above, found).

Method applies for RN-metric. So

$$r_{\pm} \text{ is at } g_{rr} = 1 - \frac{2GM}{r} + \frac{4\pi G(q^2 + p^2)}{r^2} = 0$$

There are no solutions (at most):

$$r_{\pm} = \frac{2GM \pm \sqrt{(2GM)^2 - 4\pi G(p^2 + q^2)}}{2}$$

$$= GM \pm \sqrt{(GM)^2 - 4\pi G(p^2 + q^2)}$$

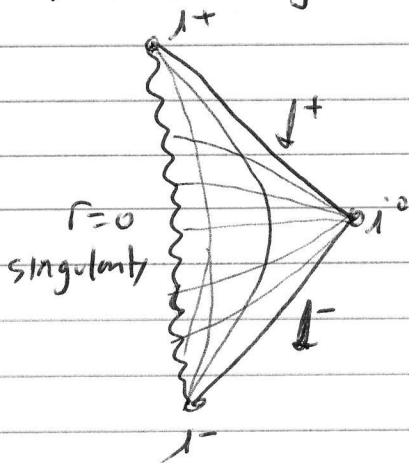
Cases:

(1)  $4\pi(p^2 + q^2) > GM^2$

No solutions  $\Rightarrow$  no event horizon.

"Naked Singularity"

Penrose:



Cosmic Censorship Conjecture (Penrose): Nature abhors a naked singularity, or

Naked singularities cannot form in gravitational collapse from generic, initially nonsingular states in an asymptotically flat spacetime obeying the dominant energy condition:

for all timelike vectors  $t^{\mu}$ ,  $T_{\mu\nu} t^{\mu} t^{\nu} \geq 0$  (so far, "weak energy condition")

and  $T_{\mu\nu} t^{\nu}$  is a non-spacelike vector (Basically,  $\rho > |p|$ ).  
( $T_{\mu\nu} T^{\mu\nu}, t^{\mu} t^{\mu} \leq 0$ )

$$(ii) 4\pi(p^2+q^2) > GM^2$$

Two distinct solutions, with  $r_- < r_+$

In  $r_- < r < r_+$   $dr$  is timelike and  $dt$  is spacelike.

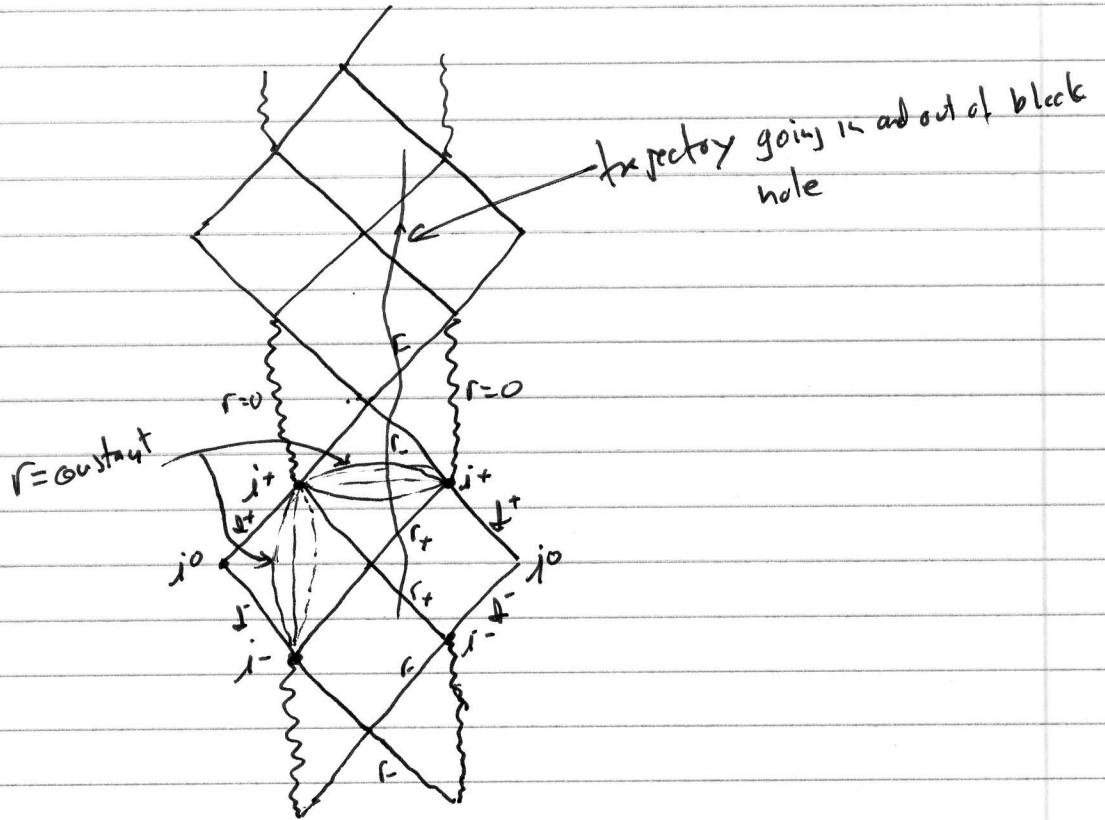
But both for  $r > r_+$  AND  $r < r_-$   $dr$  is spacelike &  $dt$  timelike.

⇒ If you fall into this black hole within a spaceship full of gas, once you get to  $r=r_+$  you must continue falling towards lesser  $r$ , but once you come out to  $r < r_-$  you can turn on your thrust engines, turn around before you hit  $r=0$ , go back to  $r=r_+$ . Then you must continue, ~~with~~ until you come out to  $r=r_+$ . You can then decide to continue out to  $r=\infty$  or turn around and "re-enter" the black hole?

(3)  $r=0$  singularity is timelike (recall, for Schwarzschild, spacelike)



# Conformal diagram



MTW has a step by step on how to derive this.

$$(iii) GM^2 = 4\pi(q^2 + p^2)$$

"Extreme" RN-solution. ~~Here~~

In this case  $r_+ = r_- = GM$ , and

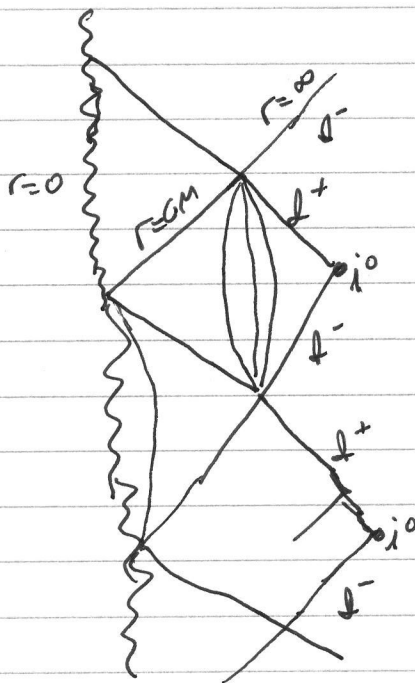
$$g^{rr} = \left(1 - \frac{GM}{r}\right)^2$$

In fact

$$ds^2 = -\left(1 - \frac{GM}{r}\right)^2 dt^2 + \left(1 - \frac{GM}{r}\right)^{-2} dr^2 + r^2 d\Omega_2^2$$

So, here is a horizon at  $r = GM$ , but  $r$  is never timelike.  
The singularity is at  $r = 0$  and it is timelike.

Penrose diagram



Solutions with many extreme RN black holes: remarkably, we can produce metrics which are exact solutions of Einstein's equations in empty space with as many RN black holes as we want.

$$\text{In } ds^2 = - \left(1 - \frac{2GM}{r}\right)^2 dt^2 + \left(1 - \frac{2GM}{r}\right)^{-2} dr^2 + r^2 d\Omega^2$$

let

$$\rho = r - GM$$

so

$$r^2 = (\rho + GM)^2 = \rho^2 H^2(\rho)$$

$$\text{where } H(\rho) = 1 + \frac{GM}{\rho}$$

$$\text{Also } 1 - \frac{2GM}{r} = 1 - \frac{2GM}{\rho + GM} = \frac{\rho}{\rho + GM} = \frac{1}{1 + \frac{GM}{\rho}} = H^{-1}$$

so

$$ds^2 = -H^{-2}(\rho) dt^2 + H^2(\rho) [d\rho^2 + \rho^2 d\Omega^2]$$

Now, the term in  $[ ]$  is just the metric of flat Euclidean 3-space in spherical coordinates, so we can write

$$ds^2 = -H^{-2}(|\vec{x}|) dt^2 + H^2(|\vec{x}|) [dx^2 + dy^2 + dz^2] \quad (*)$$

$$\text{where } |\vec{x}|^2 = x^2 + y^2 + z^2$$

with  $H = H(\rho)$ ,

If we take the metric  $(*)$  as an ansatz and plug it into Einstein's equations, we find it is a solution provided  $\nabla^2 H = 0$ . To be precise, we need an EM field too. To motivate it, we have, in the single extremal RN reduction

$$F_{tr} = \frac{q}{r^2} = -\partial_r A_t + \partial_t A_r \quad (\text{sign may be wrong})$$

$$= -\partial_r A_t$$

$$\text{so } A_t = \frac{q}{r} = \sqrt{\frac{GM^2}{4\pi}} \frac{1}{\rho + GM} \quad \text{but } \frac{1 - H^{-2}}{GM} = \frac{1}{r} = \frac{1}{\rho + GM}$$

$$\text{So } A_t = \sqrt{\frac{GM^2}{4\pi}} \frac{1-H^{-1}}{GM} = \sqrt{\frac{1}{4\pi G}} (1-H^{-1}) \quad (**)$$

So one looks for solutions with  $(*)$  and  $(**)$ . Then it must satisfy

$$\nabla^2 H = 0 \quad \text{where } \nabla^2 = d_x^2 + d_y^2 + d_z^2$$

The most general solution with  $H \rightarrow 1$  as  $|x| \rightarrow \infty$  is

$$H = 1 + \sum_{k=1}^N \frac{GM_k}{|x - x_k|}$$

(Actually, I guess

$$H = 1 + \int d^3x' \frac{G\rho(x')}{|x - x'|}$$

works too, provided  $\rho$  has support in a finite region,  $|x| < R$ ).  
(But  $\nabla^2 H = 4\pi G\rho(x) \neq 0 \dots$  so works outside that region).

~~I think, however, these solutions are inconsistent with the electrical forces between the charges! I don't know what the proper resolution of this is!~~

Note that the electric repulsion between holes cancels the gravitational attraction:

$$F_{12} = -\frac{GM_1 M_2}{r^2} + \frac{q_1 q_2}{r^2} = 0 \quad \text{if } q_1 q_2 = GM_1 M_2$$

?  
isn't  $\frac{1}{4\pi}$  here?

or  $q_1 = \sqrt{GM_1}$   $q_2 = \sqrt{GM_2}$   
 and we are off by a  $\sqrt{4\pi}$ .

Note: Verify solution:

$$ds^2 = -H^{-2} dt^2 + H^2 (dx^2 + dy^2 + dz^2)$$

$$\Gamma_{itt} = -\frac{1}{2} g_{tt,i} = -\frac{1}{2} (-1)(-2H^{-3}) \partial_i H = -H^{-3} \partial_i H \quad \Gamma_{tt}^i = -H^{-5} \partial_i H$$

$$\Gamma_{tit} = H^{-3} \partial_i H \quad \Gamma_{it}^t = -H^{-1} \partial_i H$$

$$\Gamma_{ijk} = \frac{1}{2} ( (H^2 \delta_{ij})_{,k} + (H^2 \delta_{ik})_{,j} - (H^2 \delta_{jk})_{,i} ) = H ( \delta_{ij} H_{,k} + \delta_{ik} H_{,j} - \delta_{jk} H_{,i} )$$

$$\Gamma_{jk}^i = H^{-1} ( \delta_{ij} H_{,k} + \delta_{ik} H_{,j} - \delta_{jk} H_{,i} )$$

$$R_{\mu\nu} = \partial_\rho \Gamma_{\nu\mu}^\rho - \partial_\nu \Gamma_{\rho\mu}^\rho + \Gamma_{\rho\lambda}^\rho \Gamma_{\nu\mu}^\lambda - \Gamma_{\nu\lambda}^\rho \Gamma_{\rho\mu}^\lambda$$

$$\begin{aligned} R_{tt} &= \partial_i (-H^{-5} \partial_i H) + (-H^{-1} \partial_i H + 3H^{-1} \partial_i H) (-H^{-5} \partial_i H) - 2(H^{-1} \partial_i H)(H^{-5} \partial_i H) \\ &= 5H^{-6} (\partial_i H)^2 - H^{-5} \nabla^2 H - 4H^{-6} (\partial_i H)^2 = H^{-6} (\partial_i H)^2 - H^{-5} \nabla^2 H \end{aligned}$$

$$\begin{aligned} R_{ij} &= \partial_k [ H^{-1} ( \delta_{kj} H_{,i} + \delta_{ik} H_{,j} - \delta_{ji} H_{,k} ) ] - \partial_j [ 2H^{-1} \partial_i H ] \\ &\quad + [ 2H^{-1} \partial_k H ] [ H^{-1} ( \delta_{kj} H_{,i} + \delta_{ik} H_{,j} - \delta_{ji} H_{,k} ) ] \\ &\quad - (H^{-1} \partial_i H)(H^{-1} \partial_j H) - H^{-2} ( \delta_{kj} H_{,i} + \delta_{ik} H_{,j} - \delta_{ji} H_{,k} ) \cdot \\ &\quad \quad \quad ( \delta_{ki} H_{,j} + \delta_{kj} H_{,i} - \delta_{ij} H_{,k} ) \\ &= -H^{-2} ( \cancel{2H_{,j} H_{,i}} - \delta_{ij} H_{,k}^2 ) + H^{-1} ( \cancel{2H_{,ij}} - \delta_{ij} \nabla^2 H ) + \cancel{2H^{-2} H_{,j} H_{,i}} - \cancel{2H^{-1} H_{,ij}} \\ &\quad + 2H^{-2} ( 2H_{,j} H_{,i} - \delta_{ij} H_{,k}^2 ) - H^{-2} H_{,i} H_{,j} - H^{-2} ( \cancel{5H_{,i} H_{,j}} - 2\delta_{ij} H_{,k}^2 ) \\ &= -\delta_{ij} H^{-1} \nabla^2 H + H^{-2} \delta_{ij} H_{,k}^2 - 2H^{-2} H_{,i} H_{,j} \end{aligned}$$

The em part:  $F_{ti} = -\partial_i A_t = \sqrt{1/406} (-H^{-2} H_{,i})$

$F_{ij} = \partial_i A_j - \partial_j A_i = \sqrt{1/406} H^{-2} 0$

$$T_{\mu\nu} = F_{\mu\lambda} F_{\nu}{}^\lambda - \frac{1}{4} g_{\mu\nu} F_{\rho\sigma} F^{\rho\sigma}$$

$$\begin{aligned} T_{tt} &= F_{ti} F_t{}^i - \frac{1}{4} g_{tt} 2F_{ti} F^{ti} = H^{-2} \frac{1}{406} (-H^{-2} H_{,i})^2 - \frac{1}{2} (-H^{-2})(-1) \frac{(-H^{-2} H_{,i})^2}{\sqrt{406}} \\ &= \frac{1}{806} H^{-6} H_{,i}^2 \end{aligned}$$

$$R_{tt} = 876 T_{tt} \Rightarrow H^{-6} H_{,i}^2 - H^{-5} \nabla^2 H = 876 \left( \frac{1}{806} H^{-6} H_{,i}^2 \right)$$

(assuming  $T_{\alpha\sigma} g^{\alpha\sigma} = 0$ ).

$$\Rightarrow \nabla^2 H = 0$$

## Kerr Metric: Rotating Blackholes

No hair "theorem": stationary, asymptotically flat solutions to Einstein's + Maxwell's are fully characterized by  $M$ ,  $Q$  ( $\leq P$ ) and  $J = aM$  (~~GM~~)

$$ds^2 = - \left(1 - \frac{2GM}{r}\right) dt^2 - \frac{2GMa \sin^2\theta}{\rho^2} (dt d\phi + d\phi dt) + \frac{\rho^2}{\Delta} dr^2 + \rho^2 d\theta^2 + \frac{\sin^2\theta}{\rho^2} [(r^2 + a^2)^2 - a^2 \Delta \sin^2\theta] d\phi^2$$

where  $\Delta(r) = r^2 - 2GMr + a^2$

$$\rho^2(r, \theta) = r^2 + a^2 \cos^2\theta$$

Note: Include charge by changing  $2GMr \rightarrow 2GMr - 4\pi G(Q^2 + P^2)$ .

And  $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$  with

$$A_t = \frac{Qr - Pa \cos\theta}{\rho^2}$$

$$A_\phi = \frac{-Qar \sin^2\theta + P(r^2 + a^2) \cos\theta}{\rho^2} \quad (\text{Kerr-Newman})$$

The novel feature is  $J = aM \neq 0$ , so let's simply set  $Q = P = 0$  and study Kerr's solution.

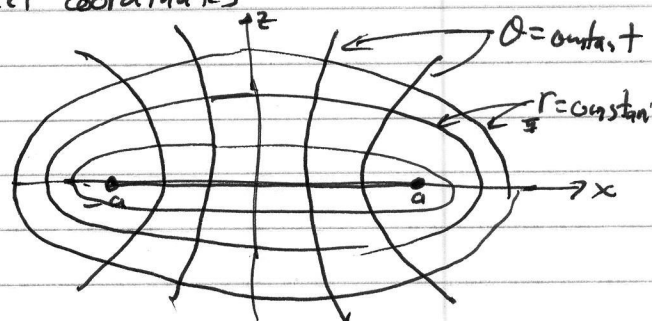
Note that for  $M=0$  we have flat space g but in weird coordinates (called Boyer-Lindquist coordinates):

$$ds^2 = -dt^2 + \underbrace{\frac{r^2 + a^2 \cos^2\theta}{r^2 + a^2} dr^2 + (r^2 + a^2 \cos^2\theta) d\theta^2 + (r^2 + a^2) \sin^2\theta d\phi^2}_{\text{ellipsoidal coordinates}}$$

$$x = \sqrt{r^2 + a^2} \sin\theta \cos\phi$$

$$y = \sqrt{r^2 + a^2} \sin\theta \sin\phi$$

$$z = r \cos\theta$$



Killing vectors:  $\partial_t$  and  $\partial_\phi$

Also a Killing tensor  $K_{\mu\nu} = \frac{1}{2} \rho^2 (\partial_\mu n_\nu + \partial_\nu n_\mu) + r^2 g_{\mu\nu}$

with  $l^\mu = \frac{1}{\Delta} (r^2 + a^2, \Delta, 0, a)$  and  $n^\mu = \frac{1}{2\rho^2} (r^2 + a^2, -\Delta, 0, a)$

(They have  $l^2 = n^2 = 0$   $l \cdot n = -1$ ).

So geodesics are easy to find: 4 nice constants. (use actual energy/ang. mom not just per unit mass)

$$\frac{E}{m} = - (\partial_t)^\mu \frac{dx^\mu}{d\lambda} g_{\mu\nu} \quad \frac{L}{m} = + (\partial_\phi)^\mu \frac{dx^\mu}{d\lambda} g_{\mu\nu} \quad S = K_{\mu\nu} \frac{dx^\mu}{d\lambda} \frac{dx^\nu}{d\lambda}$$

plus  $\leftarrow$  included for massive particles only.

$$g_{\mu\nu} \frac{dx^\mu}{d\lambda} \frac{dx^\nu}{d\lambda} = -1 \text{ or } 0 \text{ for timelike or null}$$

Note that

$$E = \left(1 - \frac{2GM}{r}\right) \frac{dt}{d\lambda} + \frac{2GMa \sin^2 \theta}{\rho^2} \frac{d\phi}{d\lambda} = -g_{tt} \frac{dt}{d\lambda} - g_{t\phi} \frac{d\phi}{d\lambda}$$

$$L = g_{t\phi} \frac{dt}{d\lambda} + g_{\phi\phi} \frac{d\phi}{d\lambda}$$

$$\text{and } \frac{L}{E} = \frac{g_{t\phi} + g_{\phi\phi} \frac{d\phi}{dt}}{-g_{tt} - g_{t\phi} \frac{d\phi}{dt}}$$

so if  $\omega \equiv d\phi/dt$  we have

$$g_{t\phi} + \omega g_{\phi\phi} + \frac{L}{E} (g_{tt} + g_{t\phi} \omega) = 0$$

$$\omega = \frac{-\frac{L}{E} g_{tt} - g_{t\phi}}{g_{\phi\phi} + \frac{L}{E} g_{t\phi}}$$

so in particular, even if  $L=0$  we can have  $\omega \neq 0$  ( $\omega = -g_{t\phi}/g_{\phi\phi}$ ) or with  $\omega=0$  we can have  $L \neq 0$ .



Horizons:  $g^{rr} = 0 \Leftrightarrow \frac{\Delta}{r^2} = 0$ . Since  $r^2 \geq 0$  this is  $\Delta = 0$  or

$$r^2 - 2GM r + a^2 = 0$$

or

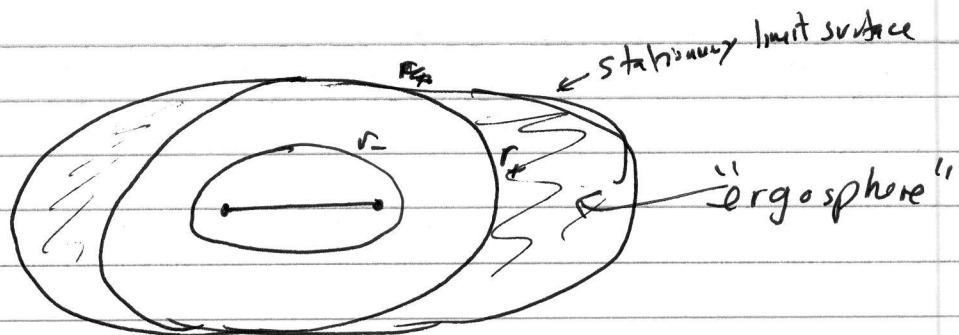
$$r = R_{\pm} = GM \pm \sqrt{(GM)^2 - a^2} \quad (GM > |a|)$$

Stationary Limit Surface: by definition this is a surface where  $\vec{\partial}_t$  becomes null:

$$g_{\mu\nu} (\partial_t)^\mu (\partial_t)^\nu = 0 \Leftrightarrow 1 - \frac{2GM r}{r^2} = 0$$

or

$$r^2 + a^2 \cos^2 \theta - 2GM r = 0 \quad (\text{re } \Delta(r) = 0)$$



$\partial_t$  is spacelike outside the outer horizon! is the "ergosphere".

Moreover, at  $r = r_+$   $g_{\mu\nu} (\partial_t)^\mu (\partial_t)^\nu = \frac{r_+^2 + a^2 \cos^2 \theta - 2GM r_+}{r_+^2 + a^2 \cos^2 \theta} = \frac{a^2 \sin^2 \theta}{r_+^2 + a^2 \cos^2 \theta} \geq 0$

and the equality holds only at  $\theta = 0$  where the stationary limit surface and  $r = r_+$  coincide.

The ergosphere is quite peculiar. Consider for simplicity the equator,  $\theta = \frac{\pi}{2}$ . Null lines have (with  $r = \text{constant}$ ) look at tangential emission.

$$0 = g_{tt} dt^2 + 2g_{t\phi} dt d\phi + g_{\phi\phi} d\phi^2$$

or

$$\omega = \frac{d\phi}{dt} = -\frac{g_{t\phi}}{g_{\phi\phi}} \pm \sqrt{\left(\frac{g_{t\phi}}{g_{\phi\phi}}\right)^2 - \left(\frac{g_{tt}}{g_{\phi\phi}}\right)}$$

Ergosphere!  $g_{tt} > 0$  so  $\sqrt{\left(\frac{g_{t\phi}}{g_{\phi\phi}}\right)^2 - \frac{g_{tt}}{g_{\phi\phi}}} \neq \left|\frac{g_{t\phi}}{g_{\phi\phi}}\right|$ , so both solutions  $\omega_{\pm}$  have same sign!  
and at stationary limit surface one solution has  $\omega = 0$

$$\text{In fact } -\frac{g_{t\phi}}{g_{\phi\phi}} = \frac{2GMa r \sin^2\theta}{\sin^2\theta [(c^2 + a^2)^2 - a^2 \Delta \sin^2\theta]} = \omega$$

has the sign determined by  $a = J/M$ .

$\Rightarrow$  photons emitted tangentially (with  $\dot{r} = 0$  and  $\dot{\theta} = 0$ ) from the ergosphere move in same direction as rotation of black hole.

Null geodesics in more detail:

We had

$$E = -g_{tt} \frac{dt}{d\lambda} - g_{t\phi} \frac{d\phi}{d\lambda} \quad L = g_{t\phi} \frac{dt}{d\lambda} + g_{\phi\phi} \frac{d\phi}{d\lambda} \quad (1)$$

Instead of doing most general, using  $S$ , we limit ourselves to  $\theta = \frac{\pi}{2}$  trajectories. Then

$$g_{\mu\nu} \frac{dx^\mu}{d\lambda} \frac{dx^\nu}{d\lambda} = 0 \Rightarrow$$

$$g_{tt} \left( \frac{dt}{d\lambda} \right)^2 + 2g_{t\phi} \left( \frac{dt}{d\lambda} \right) \left( \frac{d\phi}{d\lambda} \right) + g_{\phi\phi} \left( \frac{d\phi}{d\lambda} \right)^2 + g_{rr} \left( \frac{dr}{d\lambda} \right)^2 = 0$$

Solve (1) above for  $\frac{dt}{d\lambda}$  and  $\frac{d\phi}{d\lambda}$ , write

$$M \begin{pmatrix} dt/d\lambda \\ d\phi/d\lambda \end{pmatrix} = \begin{pmatrix} -E \\ L \end{pmatrix} \quad \text{where } M = \begin{pmatrix} +g_{tt} & +g_{t\phi} \\ g_{t\phi} & g_{\phi\phi} \end{pmatrix}$$

we need  $M^{-1}$  which is just the inverse of the metric:

$$M^{-1} = \frac{1}{g_{tt}g_{\phi\phi} - g_{t\phi}^2} \begin{pmatrix} g_{\phi\phi} & -g_{t\phi} \\ -g_{t\phi} & g_{tt} \end{pmatrix}$$

$$\text{and } \begin{pmatrix} dt/d\lambda \\ d\phi/d\lambda \end{pmatrix} = M^{-1} \begin{pmatrix} -E \\ L \end{pmatrix} = \frac{1}{g_{tt}g_{\phi\phi} - g_{t\phi}^2} \begin{pmatrix} -g_{\phi\phi}E - g_{t\phi}L \\ g_{t\phi}E + g_{tt}L \end{pmatrix}$$

$$\text{So } g_{tt} \frac{(g_{\phi\phi}E + g_{t\phi}L)^2}{(g_{tt}g_{\phi\phi} - g_{t\phi}^2)^2} + 2g_{t\phi} \frac{1}{g_{tt}g_{\phi\phi} - g_{t\phi}^2} (g_{\phi\phi}E + g_{t\phi}L)(g_{t\phi}E + g_{tt}L) \\ + \frac{1}{g_{tt}g_{\phi\phi} - g_{t\phi}^2} g_{\phi\phi} (g_{t\phi}E + g_{tt}L)^2 + g_{rr} \left( \frac{dr}{d\lambda} \right)^2 = 0$$

This is of the form

$$\left( \frac{dr}{d\lambda} \right)^2 + V_{\text{eff}} = 0$$

Compute ( $\alpha + \theta = \frac{\pi}{2}$ ) ( $\rho^2 = r^2$ ) ( $\Delta = r^2 + a^2 - 2GM/r = \rho^2 - 2GM/r$ )

$$D = g_{tt}g_{\rho\rho} - g_{t\rho}^2 = -\left(1 - \frac{2GM}{\rho}\right) \left(\frac{(r^2+a^2)^2}{\rho^2} - \frac{a^2\Delta}{\rho^2}\right) - \left(\frac{2GMa}{\rho}\right)^2$$

$$= -\frac{\Delta}{\rho^4}(\rho^4 - a^2\Delta) - \frac{(2GMa)^2}{\rho^4}$$

Better yet, since  $\omega = -\frac{g_{t\rho}}{g_{\rho\rho}}$   $D = g_{tt}g_{\rho\rho} - \omega^2 g_{\rho\rho}^2 = g_{\rho\rho}(g_{tt} - \omega^2 g_{\rho\rho})$

$$V_{\text{eff}} D^2 g_{rr} = g_{tt}(g_{\rho\rho}^2 E^2 + 2ELg_{\rho\rho}g_{t\rho} + L^2 g_{t\rho}^2)$$

$$\Rightarrow 2g_{t\rho}(g_{\rho\rho}g_{t\rho}E^2 + EL \frac{g_{\rho\rho}(g_{tt} + g_{\rho\rho})}{g_{\rho\rho}g_{tt} + g_{t\rho}^2}) + L^2 g_{t\rho}^2 g_{t\rho}$$

$$+ g_{\rho\rho}(g_{t\rho}^2 E^2 + 2g_{t\rho}g_{tt}EL + g_{tt}L^2)$$

$$= E^2(g_{tt}g_{\rho\rho}^2 - 2g_{\rho\rho}g_{t\rho}^2 + g_{\rho\rho}g_{t\rho}^2)$$

$$+ 2EL(g_{tt}g_{t\rho}g_{\rho\rho} - g_{t\rho}g_{\rho\rho}g_{tt} - g_{t\rho}^3 + g_{\rho\rho}g_{t\rho}g_{tt})$$

$$+ L^2(g_{tt}g_{t\rho}^2 - 2g_{tt}g_{t\rho}^2 + g_{\rho\rho}g_{t\rho}^2)$$

$$= E^2(g_{tt}g_{\rho\rho}^2 - \omega^2 g_{\rho\rho}^3) + 2EL(\omega^3 g_{\rho\rho}^3 - \omega^5 g_{tt}g_{\rho\rho}^2)$$

$$+ L^2(g_{\rho\rho}g_{tt}^2 - \omega^2 g_{tt}g_{\rho\rho}^2)$$

$$V_{\text{eff}} = \frac{g_{\rho\rho}}{g_{rr}D} \left[ E^2 + 2EL\omega + L^2 \frac{g_{tt}^2}{g_{\rho\rho}} \right]$$

$$= \frac{\Delta}{\rho^2} \frac{1}{\rho^2} \left[ (r^2+a^2)^2 - a^2\Delta \right] \left[ E^2 - 2EL\omega + L^2 \frac{(1-2GM/\rho)}{\frac{1}{\rho^2} [(r^2+a^2)^2 - a^2\Delta]} \right]$$

prefactor  $\frac{(r^2+a^2-2GM/r)^2}{r^4} \left[ (r^2+a^2)^2 - a^2(r^2+a^2-2GM/r) \right]$

$$\frac{1}{r^2(r^2+a^2) + 2GMra^2}$$

$$V_{\text{eff}} = \frac{1}{g_{rr}(g_{tt} - \omega^2 g_{\rho\rho})} \left[ E^2 - 2EL\omega + L^2 \frac{g_{tt}^2}{g_{\rho\rho}} \right]$$

Since  $\left(\frac{dr}{d\lambda}\right)^2 \geq 0$  solutions only exist for  $(E - V_+)(E - V_-) > 0$  that is both  $V_{\pm} > E$  or both  $V_{\pm} < E$

So study  $V_{\pm}$ . As  $r \rightarrow \infty$   $V_{\pm} \sim \pm \frac{L}{r}$  let's take  $L > 0$  so that  $V_+ > V_-$  (but we should also investigate  $L < 0$ , since presumably the relative sign of  $L$  and  $a = \frac{J}{M}$  matter, and we are assuming  $a > 0$ ).  
Clearly  $V_+ = V_-$  at  $\Delta = 0 \Rightarrow$  the event horizon  $r = R_+$ .

Then

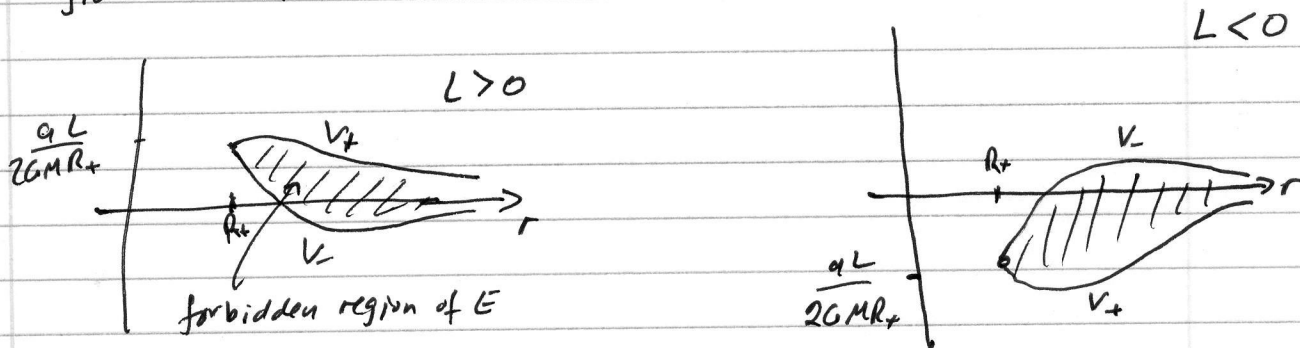
$$V_+ = V_- = \frac{2GM R_+ a L}{(R_+^2 + a^2)^2} = \frac{aL}{2GM R_+}$$

Note that  $V_+$  has no zeroes, while  $V_-$  has a zero at

$$2GM r_0 a = r_0^2 \sqrt{\Delta(r_0)}$$

$$\Rightarrow (2GM a)^2 r_0^3 = r_0^4 (r_0^2 + a^2 - 2GM r_0)$$

In principle four zeroes, but note that at  $a = 0$  three zeroes are at  $r_0 = 0$  while one is at  $r_0 = 2GM$ . So we suspect only one zero is in the region  $r > R_+$ .



Now, writing  $-g_{tt} = 1 - \frac{2GMr}{r^2} = \frac{\Delta - a^2 \sin^2 \theta}{r^2}$

we have  $\theta = \frac{\pi}{2}$

$$\frac{g_{tt}}{g_{\phi\phi}} = - \frac{\Delta - a^2}{(r^2 + a^2)^2 - a^2 \Delta} \quad \omega = \frac{2GMra}{(r^2 + a^2)^2 - a^2 \Delta}$$

and  $\omega^2 - \frac{g_{tt}}{g_{\phi\phi}} = \frac{(2GMra)^2 + (\Delta - a^2) [(r^2 + a^2)^2 - a^2 \Delta]}{[(r^2 + a^2)^2 - a^2 \Delta]^2}$

numerator =  $(2GMra)^2 + (r^2 - 2GMr) [(r^2 + a^2)^2 - a^2(r^2 + a^2) + 2GMra^2]$

$$= r^2 [(r^2 + a^2)r^2 + 2GMra^2] - 2GMr [(r^2 + a^2)] r^2$$

$$= (r^2 + a^2)r^4 - 2GMr^5$$

$$= r^4 (r^2 + a^2 - 2GMr) = r^4 \Delta$$

so

$$V_{\pm} = L \left[ \frac{2GMra \pm r^2 \sqrt{\Delta}}{(r^2 + a^2)^2 - a^2 \Delta} \right]$$

and we have

$$\left( \frac{dr}{d\lambda} \right)^2 = - \frac{(E - V_+)(E - V_-)}{g_{rr} (g_{tt} - \omega^2 g_{\phi\phi})}$$

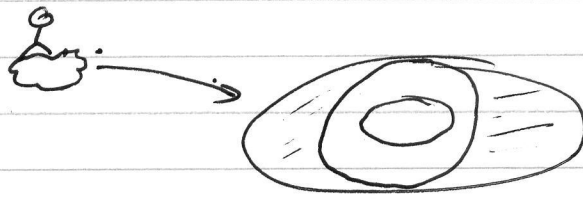
$$\text{den} = -g_{rr} g_{\phi\phi} \left( \omega^2 - \frac{g_{tt}}{g_{\phi\phi}} \right)$$

$$= -\frac{r^2}{\Delta} \frac{1}{r^2} [(r^2 + a^2)^2 - a^2 \Delta] \frac{r^4 \Delta}{[(r^2 + a^2)^2 - a^2 \Delta]^2} = - \frac{r^4}{[(r^2 + a^2)^2 - a^2 \Delta]}$$

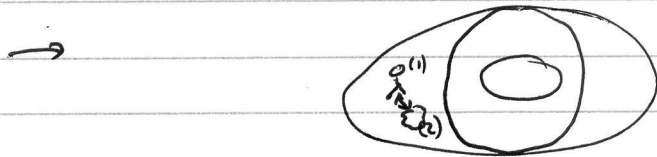
and

$$\left( \frac{dr}{d\lambda} \right)^2 = \frac{(r^2 + a^2)^2 - a^2 \Delta}{r^4} (E - V_+)(E - V_-)$$

## Penrose process



jump into  
ergosphere



push rock away inside  
ergosphere

$$p^{(a)\mu} = p^{(1)\mu} + p^{(2)\mu}$$

local  
conservation of  $p^\mu$

or, contracting with  $(d_t)^\mu$

$$E^{(a)} = E^{(1)} + E^{(2)}$$

Clearly  $E^{(a)} > 0$ , but if you push  $E^{(2)}$  hard enough you can  
arrange  $E^{(2)} < 0$  so  
 $E^{(1)} > E^{(a)}$

$\Rightarrow$  come out of ergosphere with more than the original total energy.

Energy comes from black hole  $\Rightarrow$  reduce b.h.'s angular momentum  
(rock must be thrown against rotation of b.h.).

To see this lets figure out the condition that the rock (?) crosses  
the event horizon  $R_+$ . We must be slightly careful since  $r=R_+$   
is a null surface.



Killing Horizons: if a Killing vector  $\chi^\mu$  is null on a null hypersurface  $\Sigma$ , then we say  $\Sigma$  is a Killing horizon.

For Kerr,  $\vec{\partial}_t$  is not null on the event horizon: it is null on the SLS (stationary limit surface) by def'n.

The event horizon is null, and

$$\vec{\chi}^\mu = \vec{\partial}_t + \Omega_H \vec{\partial}_\phi$$

is null for some constant  $\Omega_H$ . Exercise: show  $\Omega_H = \frac{a}{a^2 + R_+^2}$

$$\begin{aligned} \text{[ Calculate: } \chi^2 = 0 &= \partial_t^2 + 2\Omega_H \partial_t \partial_\phi + \Omega_H^2 \partial_\phi^2 \\ &= g_{tt} + 2\Omega_H g_{t\phi} + \Omega_H^2 g_{\phi\phi} \end{aligned}$$

$$\text{so } \Omega_H = -\frac{g_{t\phi}}{g_{\phi\phi}} \pm \sqrt{\left(\frac{g_{t\phi}}{g_{\phi\phi}}\right)^2 - \frac{g_{tt}}{g_{\phi\phi}}}$$

Now on  $r=R_+$   $\Delta = r^2 - 2GMr + a^2 = 0$  and

$$\begin{aligned} g_{tt} &= -\left(1 - \frac{2GMr}{\rho^2}\right) = -\frac{1}{\rho^2} (\rho^2 - 2GMr) = -\frac{1}{\rho^2} (r^2 + a^2 \cos^2\theta - r^2 - a^2) \\ &= +\frac{1}{\rho^2} a^2 \sin^2\theta \end{aligned}$$

$$g_{\phi\phi} = \frac{\sin^2\theta}{\rho^2} [(r^2 + a^2)^2 - a^2 \Delta \sin^2\theta] = \frac{\sin^2\theta}{\rho^2} (r^2 + a^2)^2$$

$$g_{t\phi} = -2GMra \frac{\sin^2\theta}{\rho^2} = -\frac{2GMra}{\rho^2} \sin^2\theta$$

$$\text{so } \Omega_H = \frac{a}{a^2 + R_+^2} \pm \sqrt{\frac{a^2}{(a^2 + R_+^2)^2} - \frac{a^2}{(a^2 + R_+^2)^2}} = \frac{a}{a^2 + R_+^2}$$

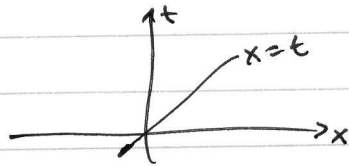
Exercise: show  $r = R_+$  is null.

Note: if  $\Sigma$  is defined through  $f(x^a) = \text{constant}$ , then  $\Sigma$  is null  $\Leftrightarrow \nabla_a f$  is null.

[Calculate:  $r = R_+$  is the same as  $f(t, r, \theta, \varphi) = r$ . Then

$\partial_a f$  has  $g^{ab} \partial_a f \partial_b f = 0$  since  $g^{rr} = 0$  at  $r = R_{\pm}$ .]

To see what condition we want to impose on  $p^{(2)}$  (that signals  $p^{(2)}$  crosses  $R_+$ ), look at a null cone in Minkowski space first



The surface is defined by  $x-t = \text{constant}$ , and  $\nabla_\mu(x-t)$  is a null vector both normal and tangent to it.

$$n_\mu = \nabla_\mu(x-t) \Rightarrow n^\mu = (1, 1)$$

Now, if we have a particle moving along  $x^\mu(\lambda)$ , then

$$n \cdot \frac{dx}{d\lambda} = -\frac{dt}{d\lambda} + \frac{dx}{d\lambda}$$

$$\text{So, if } n \cdot \frac{dx}{d\lambda} < 0 \Rightarrow \frac{dx}{d\lambda} < \frac{dt}{d\lambda} \text{ or } \frac{dx}{dt} < 1$$

$$\text{while if } n \cdot \frac{dx}{d\lambda} > 0 \text{ then } \frac{dx}{dt} > 1$$

Going back to our problem, if  $\chi^\mu$  is a null tangent to  $r=R_+$  then  $\chi \cdot p^{(2)} < 0$  at  $r=R_+$  signals motion inwards, <sup>Killing vector</sup>

but moreover since  $\chi$  is a Killing vector  $\chi \cdot p^{(2)} = \text{constant}$ .

Now  $\vec{\chi} = \vec{\partial}_t + \Omega_H \vec{\partial}_\phi$  with  $\Omega_H = \frac{a}{a^2 + r_+^2}$  is a null Killing vector on  $r=R_+$ .  $\Omega_H$  can be interpreted as the angular velocity of the black hole at the event horizon  $R_+$ , ~~as can be seen~~ since it corresponds to the minimum  $\omega$  for a massive particle at  $r=R_+$ .

Then the condition is  $\chi \cdot p^{(2)} < 0$

$$\text{But } \chi \cdot p^{(2)} = \vec{\partial}_t \cdot p^{(2)} + \Omega_H \vec{\partial}_\phi \cdot p^{(2)} = -E^{(2)} + \Omega_H L^{(2)} < 0$$

$$\Rightarrow L^{(2)} < \frac{E^{(2)}}{\Omega_H} < 0$$

So the angular momentum of the black hole decreases by  $L^{(2)}$ .

$$\delta M = E^{(2)}$$

$$\delta J = L^{(2)}$$

and 
$$\delta J < \frac{\delta M}{\Omega_H}$$

Conclusion: Energy is extracted from the black hole. As a result the black hole loses ~~energy~~ mass and spin.

However, the process cannot violate the area theorem, that the area of the event horizon is non-decreasing.

The area of the event horizon is

$$A = 4\pi (R_+^2 + a^2)$$

[ Calculate from  $ds^2 = g_{ij} dx^i dx^j = ds^2 dt + dr = 0, r = R_+$ .

$$\begin{aligned} \text{then } A &= \int |\det g|^{1/2} d\theta d\phi \\ &= \int \sqrt{\rho^2 \frac{\sin^2 \theta}{\rho^2} [(1+a^2)^2 - a^2 \Delta \sin^2 \theta]} d\theta d\phi \end{aligned}$$

at  $r = R_+$ , we have  $\Delta = 0$  so

$$A = (R_+^2 + a^2) \int \sin \theta d\theta d\phi ]$$

To show that  $A$  is non-decreasing, define the "irreducible mass" through

$$\begin{aligned} M_{\text{irr}}^2 &= \frac{A}{16\pi G^2} = \frac{1}{4G^2} (R_+^2 + a^2) & \Delta = 0 \rightarrow R_+^2 + a^2 &= 2GM R_+ \\ & & R_+ &= GM + \sqrt{(GM)^2 - a^2} \\ &= \frac{1}{4G^2} 2GM [GM + \sqrt{(GM)^2 - a^2}] \end{aligned}$$

$$\text{or } M_{\text{irr}}^2 = \frac{1}{2} [M^2 + \sqrt{M^4 - (J/G)^2}] \quad (J = Ma)$$

Now

$$\begin{aligned} 2 \cdot 2M_{\text{irr}} \delta M_{\text{irr}} &= 2M \delta M + \frac{1}{2} \frac{4M^3 \delta M - 2J \delta J / G^2}{\sqrt{M^4 - (J/G)^2}} \\ &= \frac{2(M \sqrt{M^4 - (J/G)^2} + M^3) \delta M - J \delta J / G^2}{\sqrt{M^4 - (J/G)^2}} \end{aligned}$$

we recognize

$$\begin{aligned} M^3 + M \sqrt{M^4 - (J/G)^2} &= M (M^2 + \sqrt{M^4 - (J/G)^2}) \\ &= 2M M_{\text{irr}}^2 \\ &= 2M \frac{1}{4G^2} (R_+^2 + a^2) \\ &= \frac{2M a}{4G^2} \frac{1}{\Omega_H} \\ &= \frac{J}{2G^2} \frac{1}{\Omega_H} \end{aligned}$$

so

$$\delta M_{\text{irr}} = \frac{J/G^2 [\delta M / \Omega_H - \delta J]}{4M_{\text{irr}} \sqrt{M^4 - (J/G)^2}}$$

so our bound that  $\delta J < \delta M / \Omega_H$  implies  $\delta M_{\text{irr}} > 0$

$$\text{Now } \delta A = 16\pi G^2 \delta M_{\text{irr}}^2 = \frac{8\pi J [\delta M / \Omega_H - \delta J]}{\sqrt{M^4 - J^2/G^2}}$$

or

$$\delta M = \frac{\kappa}{8\pi G} \delta A + \Omega_H \delta J$$

$$\text{where } \kappa = \frac{\sqrt{G^2 M^2 - J^2/G^2} \Omega_H}{J/M} = \frac{\sqrt{G^2 M^2 - a^2}}{R_+ + a^2}$$

$$\text{or } \kappa = \frac{\sqrt{G^2 M^2 - a^2}}{2GM(GM + \sqrt{(GM)^2 - a^2})}$$

[Note:  $\kappa$  is the surface gravity of the Kerr metric. For a Killing horizon with Killing (null) vector  $\vec{\chi}$ , the surface gravity is

$$\kappa^2 = -\frac{1}{2} (\nabla_\mu \chi_\nu) (\nabla^\mu \chi^\nu)$$

study this later]

Now

$$\delta M = \frac{\kappa}{8\pi G} \delta A + \Omega_H \delta J$$

is just like

$$dE = T dS - p dV$$

for a thermodynamic system, with the association

$$E \leftrightarrow M$$

$$\frac{A}{8\pi G} \leftrightarrow S$$

$$T \leftrightarrow \frac{\kappa}{2\pi}$$

The ambiguity in the association of  $A$  &  $T$  (where do we put the  $8\pi G$ ) is settled by Hawking's black hole evaporation.

Thermodynamics

Dick Holes

0th Law:  $T$  is constant in thermal equilibrium

Stationary black holes have constant  $\kappa$ .

1st Law:

Energy conservation

2nd Law:  $\delta S > 0$

$\delta A > 0$

Generalized 2nd Law  $\delta(S + \frac{A}{8\pi G}) > 0$ .

Note: To make sense of units,  $S$  is dimensionless ( $k_B = 1$ ) but  $\frac{A}{4\pi G}$  has units of mass  $\times$  length, same as  $\hbar$ . So it really should be  $S \leftrightarrow \frac{A}{8\pi G \hbar}$  and  $T \leftrightarrow \frac{\kappa \hbar}{2\pi}$  (or  $\hbar \rightarrow 1$ ).

## Stationary axisymmetric space: general observations,

- (i) General case: require  $g_{\mu\nu} = g_{\mu\nu}(r, \theta)$  (not of  $t, \phi$ ),  
 and symmetry  $t \rightarrow -t, \phi \rightarrow -\phi$  (so  $g_{tr} = g_{t\theta} = 0 = g_{\phi r} = g_{\phi\theta}$ ).  
 $\Rightarrow ds^2 = -\tilde{A} dt^2 + B d\phi^2 - 2B\omega dt d\phi + C dr^2 + D d\theta^2$   
 $= -A dt^2 + B(d\phi - \omega dt)^2 + C dr^2 + D d\theta^2 \quad \tilde{A} = A - B\omega^2$

Note that

$$g^{rr} = \frac{1}{C}, g^{\theta\theta} = \frac{1}{D} \quad \text{if } G = \begin{pmatrix} g_{tt} & g_{t\phi} \\ g_{t\phi} & g_{\phi\phi} \end{pmatrix} \Rightarrow G^{-1} = \frac{1}{\det G} \begin{pmatrix} g_{\phi\phi} & -g_{t\phi} \\ -g_{t\phi} & g_{tt} \end{pmatrix}, \det G = g_{tt}g_{\phi\phi} - g_{t\phi}^2$$

$$= -(A - B\omega^2)B - (B\omega)^2 = -AB$$

$$\Rightarrow g^{tt} = -\frac{1}{A} \quad g^{\phi\phi} = \frac{A - B\omega^2}{AB} \quad g^{t\phi} = -\frac{\omega}{A}$$

For Kerr, plug into  $R_{\mu\nu} = 0$ . 'huge mess!'

(ii) Killing vectors  $\vec{\partial}_t, \vec{\partial}_\phi \Rightarrow$  conserved quantities

$$L = p_\phi = m g_{\phi\mu} \frac{dx^\mu}{d\tau} \quad \text{and} \quad E = p_t = m g_{t\mu} \frac{dx^\mu}{d\tau}$$

or, replace  $\tau/m \rightarrow \lambda$ , for massless.

More explicitly

$$L = g_{\phi\phi} \frac{d\phi}{d\lambda} + g_{\phi t} \frac{dt}{d\lambda}$$

$$E = g_{t\phi} \frac{d\phi}{d\lambda} + g_{tt} \frac{dt}{d\lambda}$$

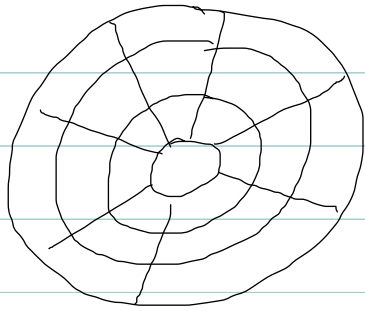
$$\underline{L=0} : \quad \frac{d\phi}{dt} = - \frac{g_{t\phi}}{g_{\phi\phi}} = \omega(r, \theta) \quad \text{ANGULAR VELOCITY WITHOUT ANGULAR MOMENTUM!}$$

Suppose metric is asymptotically flat (as in Kerr). Then "drop" body from  $\infty$  towards center (from  $r=\infty$  towards  $r=0$ ) with  $\frac{d\phi}{dt} = 0$  originally (since  $\omega(r, \theta) \xrightarrow{r \rightarrow \infty} 0$ ).

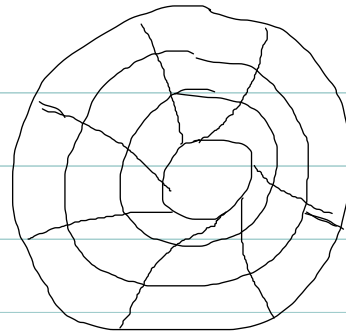
Then  $\frac{d\phi}{dt}$  will change as body drops.

"Dragging of inertial frames": our test body is free falling, so locally it is moving in straight line  $\rightarrow$  interpret  $\frac{d\phi}{dt} \neq 0$  as moving/rotating inertial frames.





no dragging



dragging

### iii) Stationary limit surface

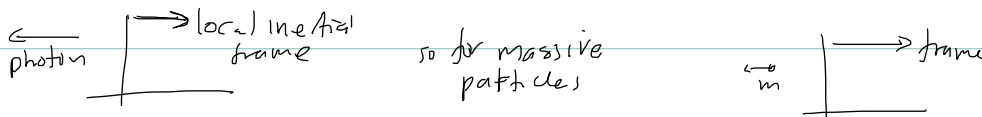
Consider photon emitted in  $\phi$ -direction (from  $(r, \theta, \phi)$ ).

At emission  $d\theta = 0 = dr \Rightarrow ds^2 = 0 = g_{tt} dt^2 + 2g_{t\phi} dt d\phi + g_{\phi\phi} d\phi^2$

$$\Rightarrow \frac{d\phi}{dt} = -\frac{g_{t\phi}}{g_{\phi\phi}} \pm \sqrt{\left(\frac{g_{t\phi}}{g_{\phi\phi}}\right)^2 - \frac{g_{tt}}{g_{\phi\phi}}} = \omega \pm \sqrt{\frac{A}{B}}$$

• While  $g_{tt}/g_{\phi\phi} < 0$  get  $\left\{ \begin{array}{l} d\phi/dt > 0 \rightarrow \gamma \text{ emitted in } +d\phi \\ d\phi/dt < 0 \rightarrow \gamma \text{ emitted in } -d\phi \end{array} \right.$

• On a  $g_{tt} = 0$  surface  $\left(\frac{A}{B} = \omega^2\right) \frac{d\phi}{dt} = \left\{ \begin{array}{l} 2\omega \rightarrow \text{twice as fast} \\ 0 \rightarrow \text{going nowhere!?!?} \end{array} \right.$



Massive particles all dragged in same direction on  $g_{tt} = 0$  surface.

"stationary limit surface" = any surface with  $g_{tt} = 0$

(Q: Schwarzschild? (leave for student to ponder))

Inside stationary limit surface all bodies and radiation are forced to move in same direction, cannot remain fixed.

To see this

Suppose  $U^m$  is 4-vel of body,  $U^7 = -1$ . If we take  $V^m = (U^t, 0, 0, 0)$   
 $\Rightarrow g_{tt} = -1/(U^t)^2 < 0$ , incompatible with interior of lim. surf.

But nothing wrong with  $g_{tt}(U^t)^2 + 2g_{t\phi}U^tU^\phi + g_{\phi\phi}U^\phi{}^2 = -1$  since  $g_{t\phi} = -\omega g_{\phi\phi}$   
 and the relative sign of  $U^t, U^\phi$  not fixed. Just if  $g_{t\phi} = 0$  we neglect  $g_{t\phi}(U^t)^2$   
 and get  $U^\phi(U^\phi - 2\omega U^t) = -1/g_{\phi\phi} < 0$  which is easily satisfied.

(iv) Redshift: recall for comoving observers (fixed coordinates)  
 emitting/receiving light,

$$\frac{\lambda_{\text{rec}}}{\lambda_{\text{emit}}} = \sqrt{\frac{g_{tt}(\text{rec})}{g_{tt}(\text{emit})}}$$

For an observer at stationary limit surface,  $g_{tt}(\text{emit}) \rightarrow 0 \Rightarrow \lambda_{\text{rec}} \rightarrow \infty$ .

This is just as with Schwarzschild

(v) Event Horizons. Again we look for null 3-surfaces.

$f(x^m) = 0$  defines surface

$\partial_\mu f$  = gradient = normal to surface =  $n_\mu$

Tangent  $f(x^m(\lambda)) = 0 \Rightarrow 0 = \frac{dx^m}{d\lambda} \partial_\mu f \Rightarrow \frac{dx^m}{d\lambda} n_\mu = 0 \Rightarrow$  vectors " $\perp$ "  
 to  $n_\mu$ .

In particular, if  $n_\mu$  is null then  $n^m = g^{\mu\nu} n_\nu$  is  $\perp$  to  $n_\mu$  ( $g^{\mu\nu} n_\mu n_\nu = 0$ ).

$\Rightarrow$  Look for  $g^{\mu\nu} \partial_\mu f \partial_\nu f = 0$

Recall in spherically symmetric case we take  $f = f(r) \Rightarrow$

$$g^{\mu\nu} \partial_\mu f \partial_\nu f = 0 \Rightarrow g^{rr} (\partial_r f)^2 = 0 \Rightarrow g^{rr} = 0$$

Now, with axial symmetry,  $f = f(r, \theta)$ ,  $g^{rr} (\partial_r f)^2 + 2g^{r\theta} \partial_r f \partial_\theta f + g^{\theta\theta} (\partial_\theta f)^2 = 0$

We can still look for solutions with  $f = f(r)$  and  $g^{rr} = 0$ . Let's  
 look at this (and previous) in Kerr metric

## Back to Kerr

(i) Singularities. From  $R^{tt} \rightarrow R_{r \rightarrow r}$  one finds  $\rho=0$  is a singularity.

$$\text{Now } \rho^2 = r^2 + a^2 \cos^2 \theta = 0 \Rightarrow r=0 \quad \theta = \frac{\pi}{2}$$

Recall Boyer-Lindquist coordinates in Cartesian:

$$x = \sqrt{r^2 + a^2} \sin \theta \cos \phi$$

$$y = \sqrt{r^2 + a^2} \sin \theta \sin \phi$$

$$z = r \cos \theta$$

so  $r=0$  is  $z=0$ ,  $x^2 + y^2 \leq a^2$  disk:

$$x = (a \cos \theta) \cos \phi$$

$$y = (a \sin \theta) \sin \phi$$

$\Rightarrow \theta = \frac{\pi}{2}$  is  $x^2 + y^2 = a^2$  circle ("equator?").

The singularity is not a point but  $S^1$ !

(ii) Event Horizons: look for  $g^{rr} = 0$ . Now  $g^{rr} = \frac{\Delta}{\rho^2}$ , so need  $\Delta = 0$ .

$$\Rightarrow r^2 - 2GM r + a^2 = 0 \Rightarrow r_{\pm} = GM \pm \sqrt{(GM)^2 - a^2}$$

Note, if  $|a| < GM \Rightarrow r_- < a < r_+$  and the singularity is behind the horizon (singularity at  $r=0$ , less than  $r_-$ ).

For  $|a| > GM$ , no horizon, naked singularity.

$|a| = GM = r_+$  is "extreme Kerr B.H." (It is believed, through calculations, that realistic BH's are near extremal Kerr BH's, since accretion increases  $a = J/M$ . Limited only by accreting matter radiating away some angular momentum. Calculations give  $a \lesssim 0.998 GM$  - see text by Hobson, Efstathiou & Lasenby, p. 324).

Geometry: take  $r = \text{const}$  ( $= r_{\pm}$ )  $t = \text{const}$  2-dim surface. Line element is

$$ds^2 = \rho_{\pm}^2 d\theta^2 + \left( \frac{2GM r_{\pm}}{r_{\pm}} \right)^2 \sin^2 \theta d\phi^2$$

Note the geometry of  $S^2$  embedded in  $R^3$ . Rather a pancake, or more technically, an axisymmetric ellipsoid (embedded in  $R^3$ ).

(iii) Stationary Limit Surface:  $g_{tt} = 0$

$$1 - \frac{2GM}{\rho^2} = 0 \Rightarrow r^2 + a^2 \cos^2 \theta - 2GM r = 0$$

$$r_{\pm}(\theta) = GM \pm \sqrt{(GM)^2 - a^2 \cos^2 \theta}$$

(Not the same  $r_{\pm}$  as before, excuse the notation)

Geometry (as previous)

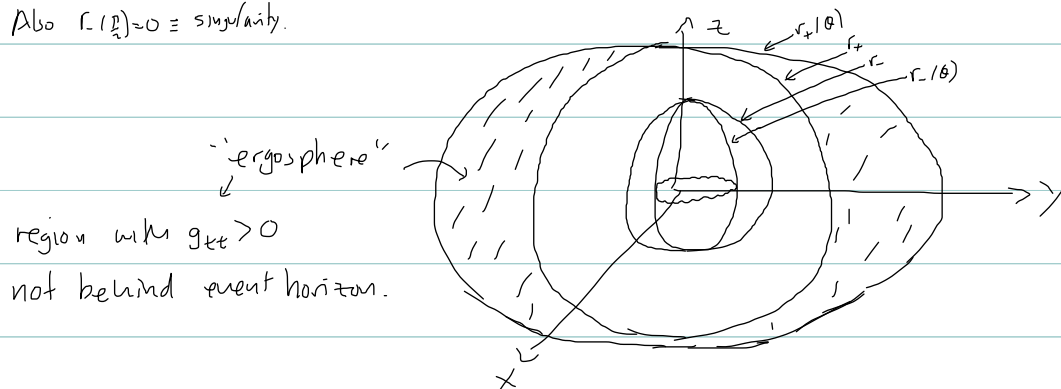
$$ds^2 = \rho_{\pm}^2 d\theta^2 + \frac{2GM r_{\pm} (2GM r_{\pm} + 2a^2 \sin^2 \theta)}{\rho_{\pm}} \sin^2 \theta d\phi^2$$

with  $r_{\pm} = r_{\pm}(\theta)$   
and  $\rho_{\pm} = \rho_{\pm}(\theta)$   
+  $\rho_{\pm}^2 = 2GM r_{\pm}$

Which is bigger?  $r_{\pm} = GM \pm \sqrt{(GM)^2 - a^2}$  or  $r_{\pm}(\theta) = GM \pm \sqrt{(GM)^2 - a^2 \cos^2 \theta}$

$\Rightarrow r_+(\theta) > r_+ > r_- > r_-(\theta)$ , with  $r_+(0, \pi) = r_+$  and  $r_-(0, \pi) = r_-$  (equal at poles)

Also  $r(\theta) = 0 \equiv$  singularity.



In ergosphere everything is forced to move. As before  $U^2 = -1$  with  $U^{\mu} = (U^t, 0, 0, U^{\phi})$

$$\Rightarrow (U^t)^2 (g_{tt} + 2g_{t\phi} \Omega + g_{\phi\phi} \Omega^2) = -1 \quad \text{where } \Omega = \frac{U^{\phi}}{U^t} = \frac{d\phi}{dt}$$

$$U^t \text{ real} \Rightarrow g_{tt} + 2g_{t\phi} \Omega + g_{\phi\phi} \Omega^2 < 0 \Rightarrow \Omega \in (\Omega_-, \Omega_+) \quad \text{with}$$

$$\Omega_{\pm} = -\frac{g_{t\phi}}{g_{\phi\phi}} \pm \sqrt{\left(\frac{g_{t\phi}}{g_{\phi\phi}}\right)^2 - \frac{g_{tt}}{g_{\phi\phi}}} = \omega \pm \sqrt{\frac{A}{B}}$$

Special cases

(i)  $A=0$  ( $g_{t\phi}=0$ )  $\Rightarrow \Omega_- = 0, \Omega_+ = 2\omega$ . This occurs at  $r = r_+(\theta)$  (stat. lim. surf) (already discussed)

(ii)  $\omega^2 = g_{tt}/g_{\phi\phi} \Rightarrow \Omega_- = \Omega_+ = \omega$ . This occurs at  $r = r_+$ , so call this  $\Omega_H$ .

We have  $\Omega_H = \omega(r_+, \theta) = \frac{ca}{2GMr_+}$  (from Kerr metric).

At the horizon the angular velocity is limited to the one value  $\Omega_H$ .

## Hawking radiation: baby version

In QFT, vacuum fluctuations:  $\text{vac} \rightarrow \gamma + \gamma \rightarrow \text{vac}$   
are virtual processes  $E^{(1)} + E^{(2)} = 0$  so  $E^{(1)} > 0 \Leftrightarrow E^{(2)} < 0$   
and photons cannot propagate freely. But in ~~curved background~~  
vicinity of horizon, imagine 2nd  $\gamma$  crosses horizon. Then  
even if  $E^{(2)} < 0$ , it must fall into singularity. The 1st photon  
escapes, taking energy away to asymptotically flat region.

Take a freely falling observer with 4-velocity  $\vec{U}$ . In his locally  
~~flat system~~  $\{M\}$  is inertial, so he sees normal laws of quantum  
electrodynamics. He sees vacuum fluctuations. A fluctuation of  
energy  $E$  lasts no longer than

$$\Delta t \sim \frac{\hbar}{E}$$

{This is a bit backwards. To measure energy  $E$  need at least time  
 $\Delta t$   $\Delta t \cdot E \geq \hbar$ . If he is close to horizon, then he has  
limited time to measure and this sets the scale for energy  
of photons he sees are pair created (virtual photons).

Say he starts free falling from  $r = R + \epsilon$  ( $R = 2GM$ ).  
Then,

$$-1 = -\left(1 - \frac{2GM}{r}\right) \left(\frac{dt}{d\tau}\right)^2 + \left(1 - \frac{2GM}{r}\right)^{-1} \left(\frac{dr}{d\tau}\right)^2$$

$$\text{and } \hat{E} = -\vec{\partial}_t \cdot \vec{U} = \left(1 - \frac{2GM}{r}\right) \frac{dt}{d\tau} \text{ so}$$

$$\left(\frac{dr}{d\tau}\right)^2 = -\sqrt{\hat{E}^2 - \left(1 - \frac{2GM}{r}\right)}$$

Since  $\frac{dr}{d\tau} = 0$  at  $r = R + \epsilon$ , we have

$$\hat{E}^2 = 1 - \frac{2GM}{R + \epsilon} = 1 - \frac{2GM}{2GM + \epsilon} = \frac{\epsilon}{2GM + \epsilon} \approx \frac{\epsilon}{2GM}$$

How much time does he have to observe photon creation before crossing  $r=R$ ?

$$\Delta \tau = \int_0^{\Delta \tau} d\tau = \int_{R+\epsilon}^R dr \frac{1}{\frac{dr}{d\tau}} = + \int_{R+\epsilon}^R \frac{dr}{\sqrt{E^2 - (1 - \frac{2GM}{r})}}$$

$$= + \int_{2GM+\epsilon}^{2GM} \frac{dr}{\sqrt{2GM} \sqrt{\frac{1}{r} - \frac{1}{R+\epsilon}}}$$

McKenzie can do full integral. We are happy with approximation: with  $\epsilon \ll 2GM$ , change variables to  $\xi = r - 2GM$

$$\frac{1}{2GM+\xi} - \frac{1}{2GM+\epsilon} = \frac{\epsilon - \xi}{(2GM+\xi)(2GM+\epsilon)} \approx \frac{\epsilon - \xi}{(2GM)^2}$$

$$\Delta \tau \approx \sqrt{2GM} \int_{\epsilon}^0 \frac{d\xi}{\sqrt{\epsilon - \xi}} = 2\sqrt{2GM\epsilon}$$

So the energy of the photon created which escapes to  $\infty$  is

$$E = \frac{h}{\Delta \tau} = \frac{h}{2\sqrt{2GM\epsilon}} \quad \text{as observed in his frame.}$$

Now

$$E = -\vec{p} \cdot \vec{U}$$

where  $\vec{U}$  is for our falling observer. The energy  $E$  of the photon as observed at  $\infty$  is

$$E = -\vec{p} \cdot \vec{\partial}_t$$

Or  $\frac{E}{E} = \frac{\vec{p} \cdot \vec{U}}{\vec{p} \cdot \vec{\partial}_t} = \frac{g_{tt} p^t U^t}{g_{tt} p^t} = \frac{\hat{E}}{\frac{1-2GM}{R+\epsilon}} = \frac{1}{\hat{E}} = \sqrt{\frac{2GM}{\epsilon}}$   
 at  $r=R+\epsilon \Rightarrow E = \sqrt{\frac{2GM}{\epsilon}}$



Computing the ratio at  $r = R + \epsilon$

$$\frac{E}{\hat{E}} = \frac{\vec{p} \cdot \vec{\partial}_t}{\vec{p} \cdot \vec{U}} = \frac{g_{tt} p^t}{g_{tt} p^t + U^t} = \frac{1}{U^t} = 1 - \frac{2GM}{R + \epsilon} = \hat{E} = \sqrt{\frac{\epsilon}{2GM}}$$

$$\Rightarrow E = \sqrt{\frac{\epsilon}{2GM}} \cdot \frac{\hbar}{2\sqrt{2GM\epsilon}} = \frac{\hbar}{4GM}$$

An observer at  $\infty$  sees ~~an~~ ~~energy~~ photon with energy

$$E \approx \frac{\hbar}{4GM}$$

This is independent of  $\epsilon$  in the argument above! So we don't know exactly where the photon was emitted, but it does not matter.

A complete calculation shows the spectrum of photons is thermal with temperature  $T = E/k_B$  with  $E$  as above.

Recall we had before that for black hole thermodynamics

$$T = \frac{\hbar \kappa}{2\pi} \text{ where } \kappa \text{ for Schwarzschild is } \kappa = \frac{1}{4GM}$$

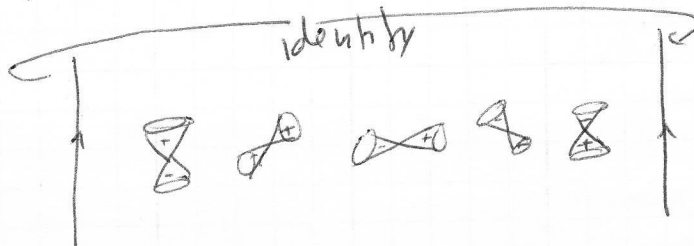
In units of  $\hbar = 1$  we see that this agrees with the previous!

# Causal Structure

The following definitions are for any spacetime  $(M, g_{sp})$

$(M, g_{sp})$  is time orientable if as you vary continuously  $p \in M$  the future lightcone at  $p$  can be continuously deformed

Example: ~~of~~ non-time-orientable

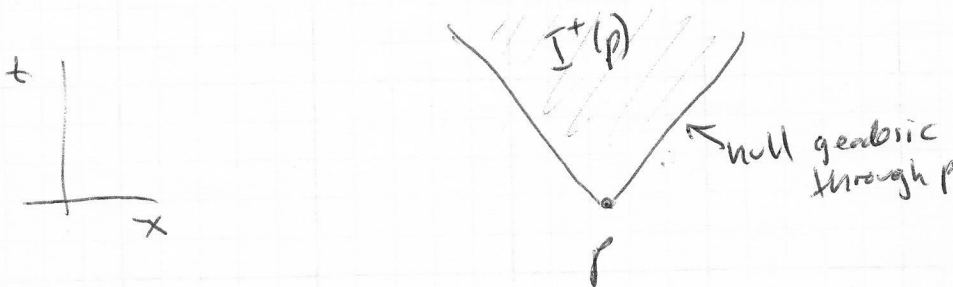


We assume  $(M, g_{sp})$  is time orientable from here on.

time orientable  $\Leftrightarrow$  there is a continuous timelike vector field

$I^+(p)$ : Chronological future of  $p$

set of points that can be reached from  $p$  by a future directed timelike curve



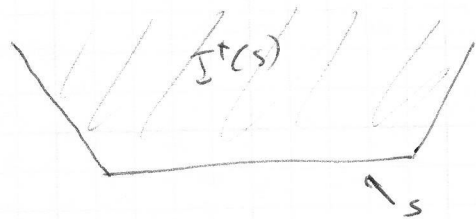
Notes

- $I^+(p)$  is open (the null geodesic cone is not in  $I^+(p)$ ).

- Generally  $p \notin I^+(p)$ , but  $p$  may be in  $I^+(p)$  if there is a closed timelike curve from  $p$  to  $p$ .

For any set  $S$  define  $I^+(S) = \bigcup_{p \in S} I^+(p)$

Particularly  $I^+(S)$  is also open. ~~and  $I^+(S)$~~  we'll be interested in surfaces  $S$



Define also  $\bar{I}^+(p) \equiv I^+(S)$  (replace "future" by "past")

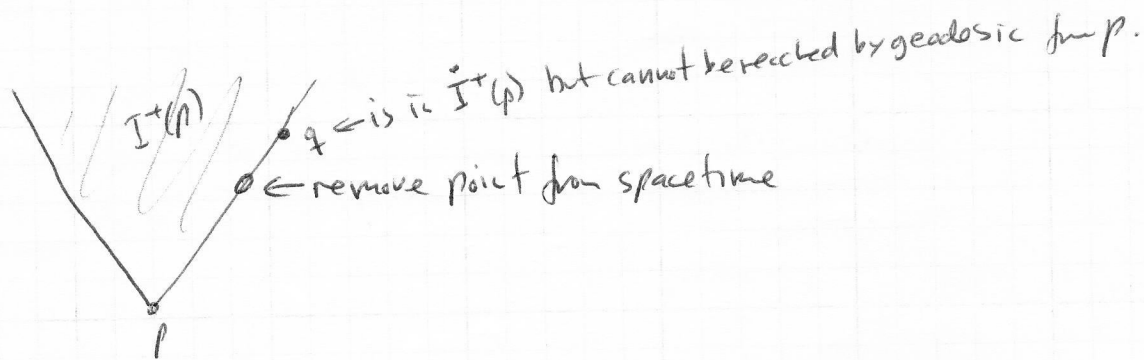
and  $J^\pm(p) \equiv J^\pm(S)$  (replace "timelike curve" by "causal curve" i.e. non-spacelike)

$\dot{I}^+(p) \equiv$  boundary of  $I^+(p)$

In Minkowski spacetime  $I^+(p)$  is the set of points that can be reached by future directed timelike geodesics starting at  $p$ .

and  $\dot{I}^+(p)$  is ~~the~~ future light cone (generated by future null geodesics).

This is locally true in any spacetime, but not necessarily globally. An artificial example



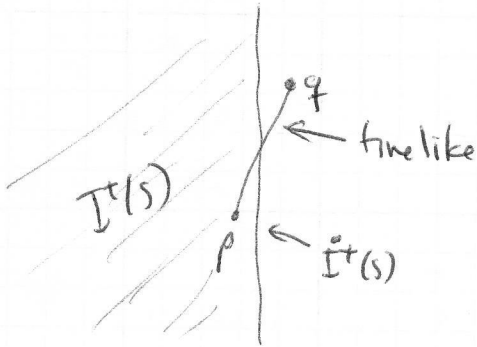
Still for UCM small enough,  $p \in U$



still holds there.

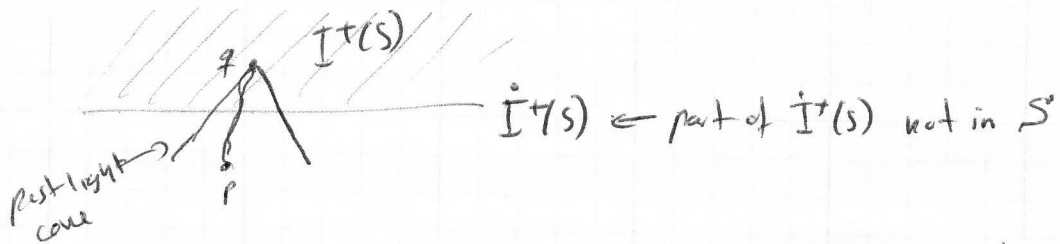
# Some fun "theorems"

\*  $I^+(S)$  cannot be timelike:



so  $q \in I^+(S)$   
but  $q \notin I^+(p)$ , a contradiction

\*  $I^+(S)$  cannot be spacelike, except for the set  $S$  itself



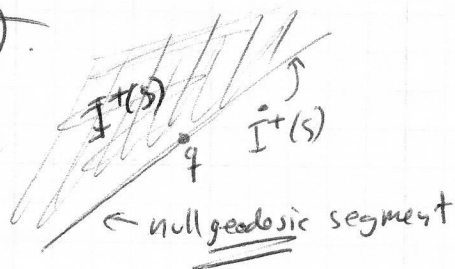
no point  $p$  in  $q$ 's past light cone is in  $S$   
 $\Rightarrow$  a contradiction.

\* Therefore  $I^+(S)$  is null, apart from  $S$  itself.

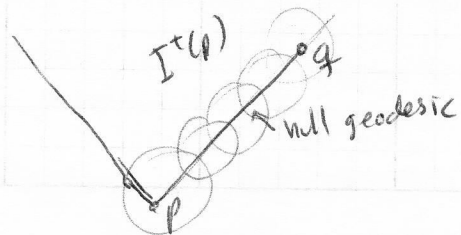
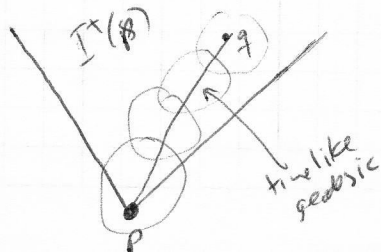
Moreover, to be precise

~~$\exists q \notin I^+(S)$  but  $q \in \bar{S} \Rightarrow \exists$  past directed null geodesic through  $q$  lying in  $I^+(S)$ .~~

picture



Extra information:  $I^+(S)$  is generated by null geodesic segments (we did not get this above, we just got that  $I^+(S)$  is null (up to  $S$ )). The proof uses the fact that it is locally true (see previous page) and that one can show one can find a finite cover with  $p \in q$

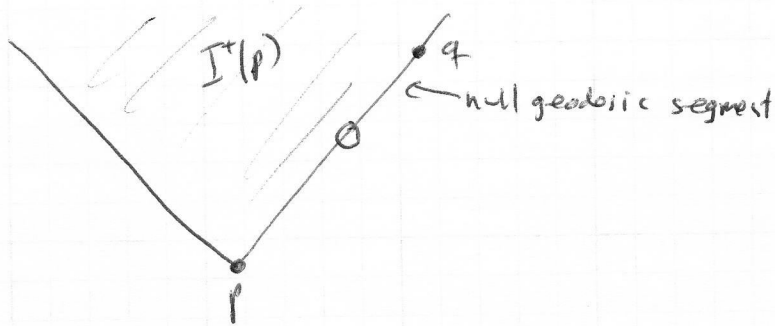


This does not conflict with taking points out of  $\mathcal{S}$  if one phrases it carefully, for example:

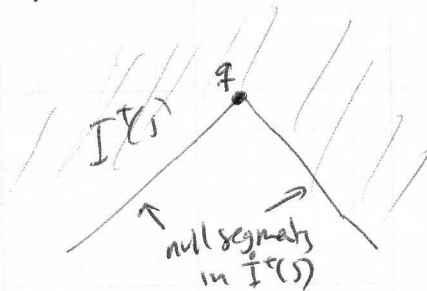
• If  $q \in J^+(p) - I^+(p) \Rightarrow$  any causal curve from  $p$  to  $q$  is a null geodesic or

• If  $q \in \dot{I}^+(s)$  but  $q \notin S \Rightarrow$  there is a past directed null geodesic segment through  $q$  lying on  $\dot{I}^+(s)$ .

So in our example



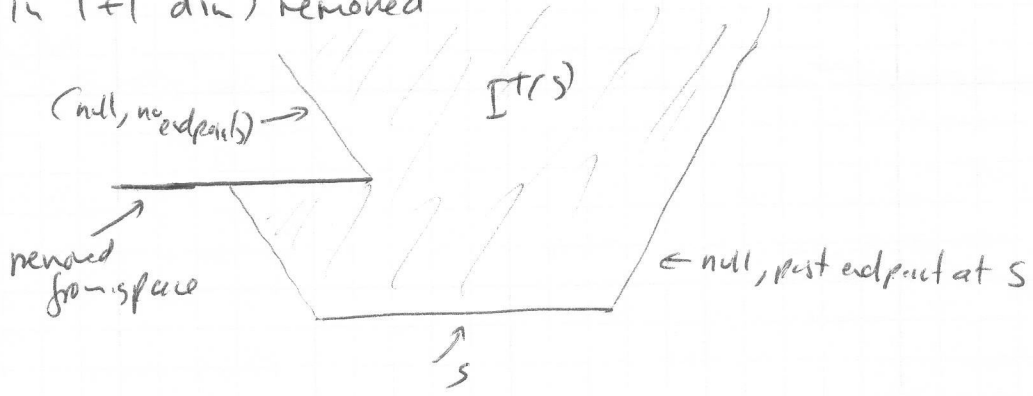
If there is more than one past directed null geodesic segment through  $q$  (lying on  $\dot{I}^+(s)$ )  $\Rightarrow q$  is the endpoint of the segments



So the structure of  $\dot{I}^+(s)$  is as follows:

- it is generated by null geodesic segments that
  - have past endpoints only on  $S$
  - have future endpoints in the boundary (and would then pass into the interior of  $I^+(s)$ ) if they intersect another generator.
  - may have no endpoints

Example: Minkowski space with a horizontal line segment  
 (in  $1+1$  dim) removed



19,789 500 SHEETS FILLER 5 SQUARE  
 42,381 50 SHEETS EYE-EASE 5 SQUARE  
 42,382 100 SHEETS EYE-EASE 5 SQUARE  
 42,389 200 SHEETS EYE-EASE 5 SQUARE  
 42,390 200 SHEETS EYE-EASE 5 SQUARE  
 42,388 200 RECYCLED WHITE 5 SQUARE  
 Made in U.S.A.

