

# Relaxation, Instabilities and Quasilinear (Mean Field) Theory

## i.) General ideas on relaxation

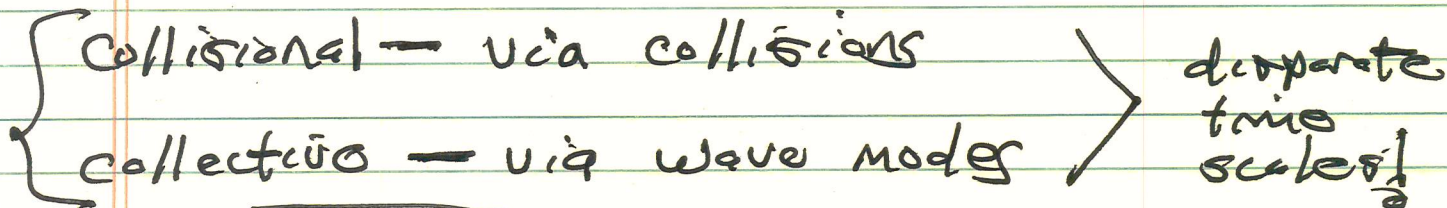
- focus on relaxation in much of plasma physics

- relaxation - evolution of distribution function

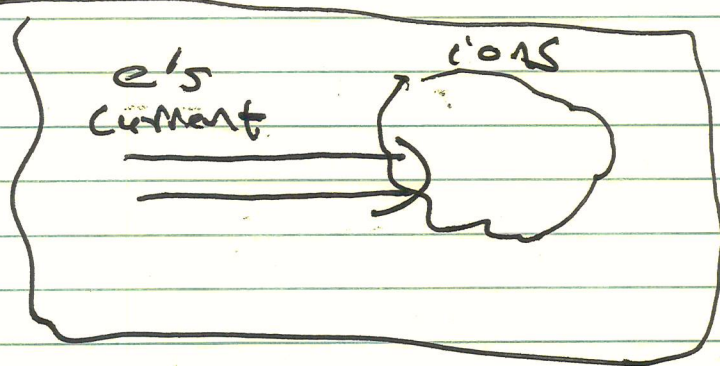
↓  
reduction in free energy

- usually toward homogeneous Maxwellian  
- disposition of free energy

- types of relaxation:



- e.e.



Free energy:  $\underline{J} = -n_0 k_B \underline{V} \Rightarrow \underline{V}_e$   
 $\Rightarrow \Sigma \sim \frac{1}{2} m_e n V_e^2$

Relaxation  $\Rightarrow$  electrons slow down.

How:

collisions  $\rightarrow \underline{J} = \sigma \underline{E}$

collisional resistance

i.e.  $\rightarrow$  electron momentum transferred to ions

$\leftrightarrow$  constraint: total momentum conserved.

n.b. calculate via Boltzmann/Chapman-Enskog

collision operator

$$\frac{\partial f}{\partial t} + v \frac{df}{dx} + \frac{eE}{m} \frac{df}{dV} = C(f)$$

$\omega$

$v/L$

$1/T_{coll}$

$v \cdot e_i$

(momentum transfer to ions.)

for DC:

$0 = C(f)$  p.o.

$f = f_0 \rightarrow$  Maxwellian } shifted inhomogeneous

here, for weak  $E_j$

$$f_0 = \frac{1}{\sqrt{2\pi} v_{th}} \exp\left[-\frac{v^2}{2v_{th}^2}\right]$$

Krook (Crock) model

then:

$$\frac{eE}{m} \frac{df_0}{dV} = C(f_1) = -\nu df_1$$

1st O.



$$\Delta F = F - f_0$$

so

$$dF = -\frac{1}{v} \frac{e}{m} E \frac{df_0}{dv}$$

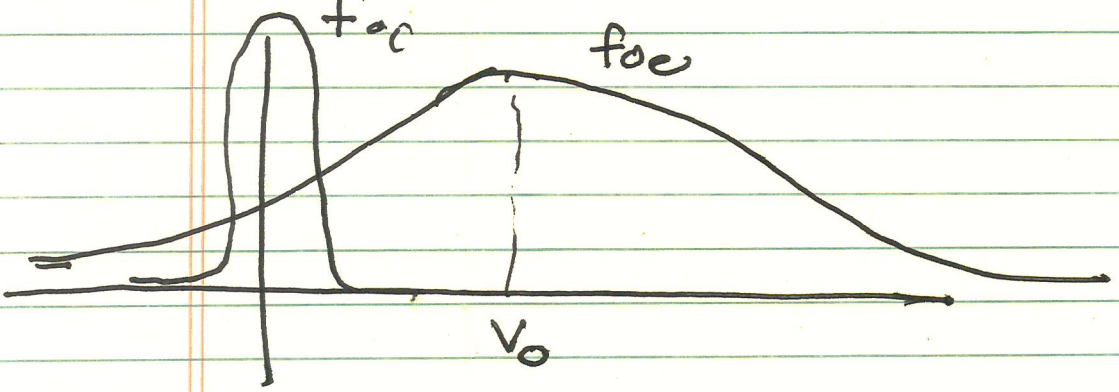
then:

$$\begin{aligned}
 J &= -n_0 e d \int dv v \left[ f_0 + \Delta F \right] \\
 &= + \frac{n_0 e d}{v} \int dv \frac{e}{m} E \frac{df_0}{dv} \\
 &\equiv \nabla \cdot \underline{E}
 \end{aligned}$$

symmetry

→ Collective Processes - Instabilities

i.e. CDIA - Current Driven Ion-Acoustic



- $\frac{\partial f_{oe}}{\partial v} > 0 \rightarrow$  growth
- $\frac{\partial f_{oc}}{\partial v} < 0 \rightarrow$  damping

- $v_0 > v_{cut} \sim C_s \rightarrow$  instability
- inverse Landau damping
- momentum transferred to ions via wave

d.e.r. - inverse Landau damping  $\rightarrow$  extracts electron momentum  
 anomalous resistivity - momentum deposited on ions via Landau damping

- rate transfer  $\rightarrow$  Quasilinear Theory

mean field evolution

upcoming

$\rightarrow$  Instability saturation is major issue  $\left\{ \begin{array}{l} \text{use up free energy} \\ \text{couple to dissipative} \end{array} \right.$

Instabilities

- collective route to relaxation

- usually faster than collisions

- Components:

$\rightarrow$  Free energy  $\rightarrow$  relaxes  
 - converted to Fluct. energy  
 - i.e.  $V_0$

$\rightarrow$  trigger mechanism  
 - details of growth,

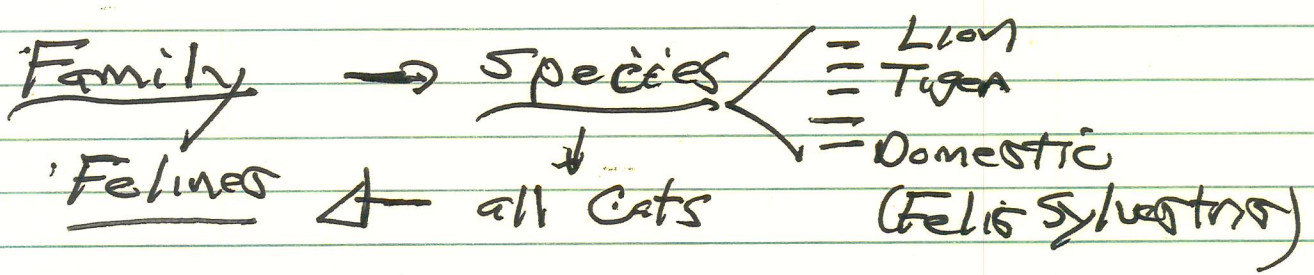
$\rightarrow$  can be quite complex and variable

- multiple mechanisms, operate in different regimes



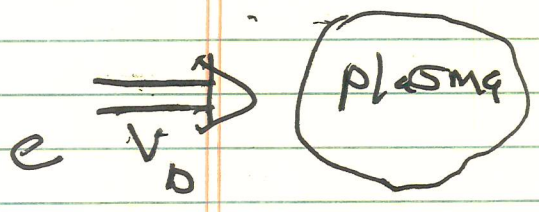
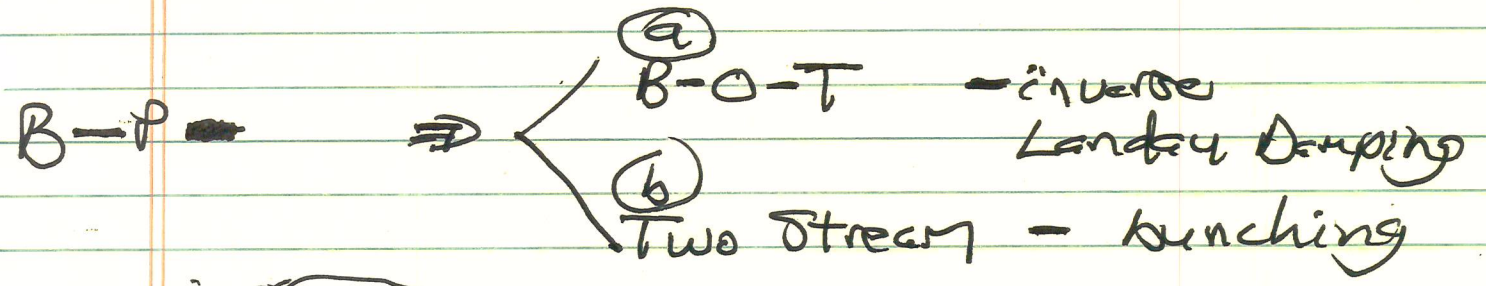
→ Best to approach instabilities  
w/c' Zoology

d.e



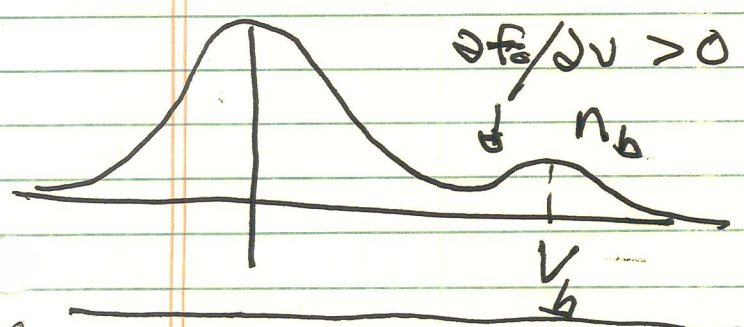
~ Families usually grouped  
by free energy source.

→ c.e. Beam +  $N_e S M \eta$  → beam K.E.



- Bump-on-Tail

(write as normalized sum of two Maxwellians)



- bump  $v_b > v_{th}$

- wave → bulk

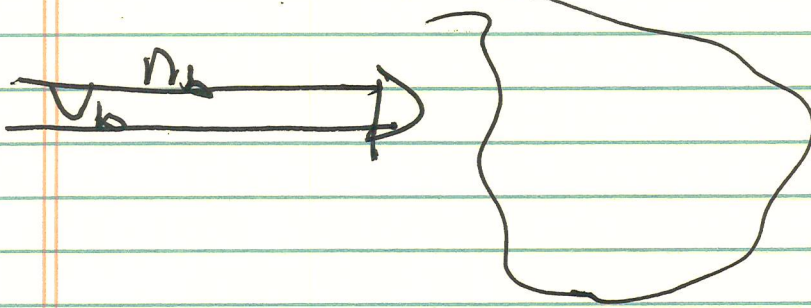
bump → resonant particles

- e.u./n. → slow down bump

mechanism: inverse Landau Damping

- $V_b$  sub-critical to 2 stream
- techn: bumps as contrib to  $E_{\text{tot}}$ , only.

### ② Two Stream



$$\epsilon = 1 - \frac{\omega_p^2}{\omega^2} - \frac{\omega_{pb}^2}{(\omega - kv_b)^2}$$

can be unstable by  $\ominus$  energy wave in beam coupled to  $\oplus$  energy wave in bulk.

need  $V_b > V_{b \text{ crit}}$ .

- if  $\gamma > \gamma_{B-O-T}$   $\rightarrow$  ignore details of resonance.

bunching  $\leftrightarrow$  mechanism

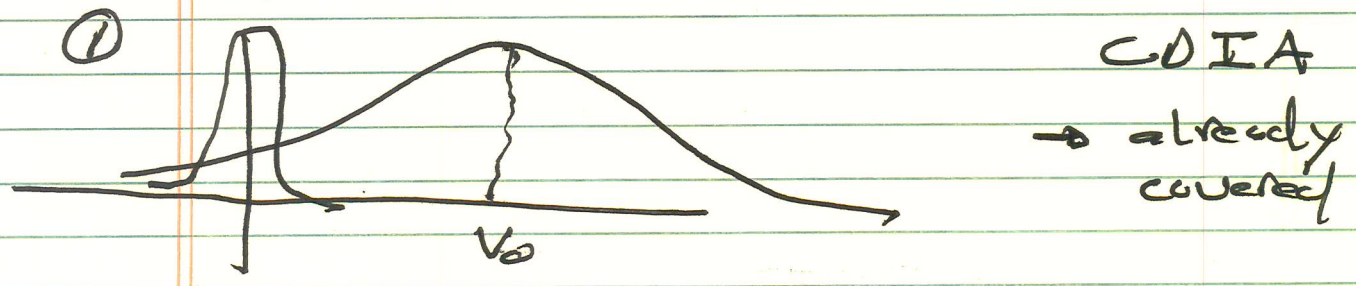
Critical: ① B-O-T and Two-stream are same fundamental instability, in different regimes, with different mechanism.



② B-O-T persists when 2-stream stable.

③ Instability saturates by beam slow-down.

Similarly: Current-Driven



- inverse ELD vs ILD

-  $V_0 > C_s$  for free energy

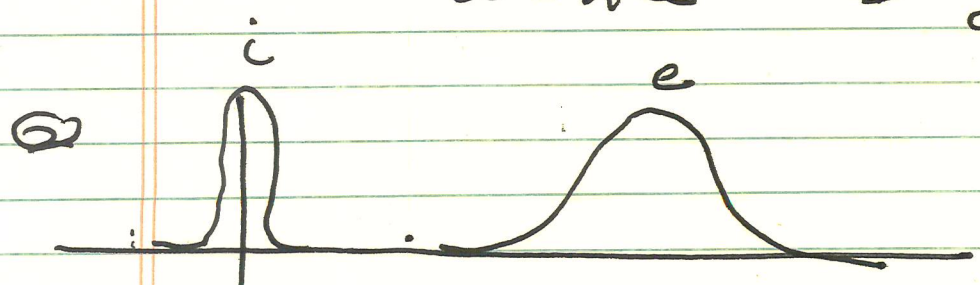
$$F_e = -\frac{1}{T} \phi \langle F \rangle + g$$

$\downarrow$  Boltzmann                       $\downarrow$  correction (non-adiabatic)

$$\frac{\partial g}{\partial t} \sim (\omega - kv_0) ( )$$

$\downarrow$  sign.

$$\omega = kv_0 \Rightarrow V_0 \text{ vs } C_s \text{ competition}$$



→ aka 2-stream  
→ "Buneman".

→ macroscopic

(ii) Weekly NL Theory - Framework developed for Hydro 8.  
Landau - Stuart Theory

- How do linear theories evolve?

- Seek characterize weekly nonlinear evolution i.e. flow shear / KH

$\vec{v}_0(\underline{r}) \rightarrow$  base state

$\Gamma Re_{crit}$  : critical Reynolds number for instability.

then:

$$Re = Re_{crit} + \delta Re$$

$$\delta Re / Re_{crit} \ll 1.$$

- Can one represent dynamics in some general form, especially near marginal stability?

- Analogy: Ginzburg-Landau Theory

- Leverage: Symmetry (time variation)

Now, if consider Navier-Stokes eqn. retaining nonlinear terms:



$$\partial_t \underline{\tilde{U}} + \underline{\tilde{U}} \cdot \nabla U_0 + \underline{U}_0 \cdot \nabla \underline{\tilde{U}}$$

driver

$\nabla U_0$  is free energy source

$$+ \underline{\tilde{U}} \cdot \nabla \underline{\tilde{U}} = -\nabla \cdot \underline{\tilde{P}} + \nu \nabla^2 \underline{\tilde{U}}$$

$\underline{\tilde{P}}$  → fast osc.

$$\underline{\tilde{U}} = F_1(\underline{r}) e^{-i\omega t} e^{\gamma t} \rightarrow \text{slow growth}$$

$$= F_1'(\underline{r}) e^{i\mathbf{k} \cdot \underline{r}} e^{-i\omega t} e^{\gamma t}$$

envelope

carrier

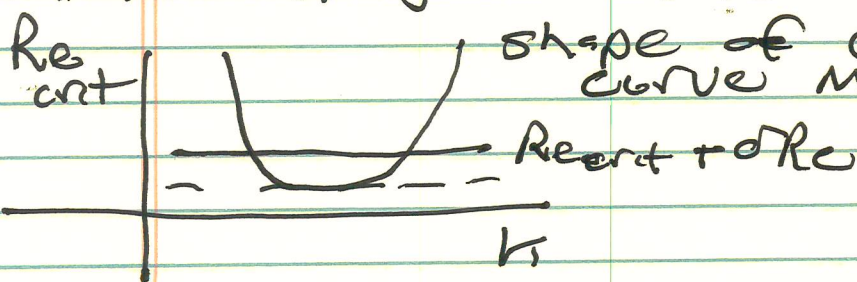
$$\gamma < \omega$$

$$\gamma \sim dRe$$

$$\underline{\tilde{U}} = A(t) F_1(\underline{r})$$

amplitude

for  $dRe \ll Re_{crit}$ , expect few modes relevant just above marginality



then,

$$\frac{d}{dt} |A|^2 = 2\gamma |A|^2 + \mathcal{O}(A^3) + \mathcal{O}(A^4)$$

- Exploit time scale separation

$$\overline{A^2} = \int_0^{\frac{2\pi/\omega = T}{T}} dt A^2 \quad \rightarrow \text{period avg.}$$

$\infty$ :

$$\underline{V} \cdot \underline{V} \cdot \underline{D} \underline{V} \rightarrow 0 \quad \text{for single mode} \\ \text{(no way eliminate fast oscillator)}$$

so  $\mathcal{O}(A^3) \rightarrow 0$

For  $\mathcal{O}(A^4)$ :

$$\overline{\tilde{V} \cdot \tilde{V}^{(2)} \cdot D \tilde{V}} \neq 0 \\ \sim \tilde{V} \tilde{V} \sim -\alpha |A|^4 \\ \text{must be computed.}$$

$$\frac{d}{dt} |A|^2 = 2\gamma |A|^2 - \alpha |A|^4$$

Landau Egn.



→ London Egn. has obvious structural similarity to Ginzburg-Landau Theory

→ Physics is made feedback on profile → modifies so as to turn off growth.

v.e

$$\begin{aligned} \partial_t |A|^2 &= 2\gamma |A|^2 - \alpha |A|^4 \\ &= (2\gamma - \alpha |A|^2) |A|^2 \\ &= 2\gamma_{\text{eff}}(|A|^2) |A|^2 \end{aligned}$$

$$2\gamma_{\text{eff}} = 2\gamma - \alpha |A|^2$$

finite amplitude modification

→ v.e

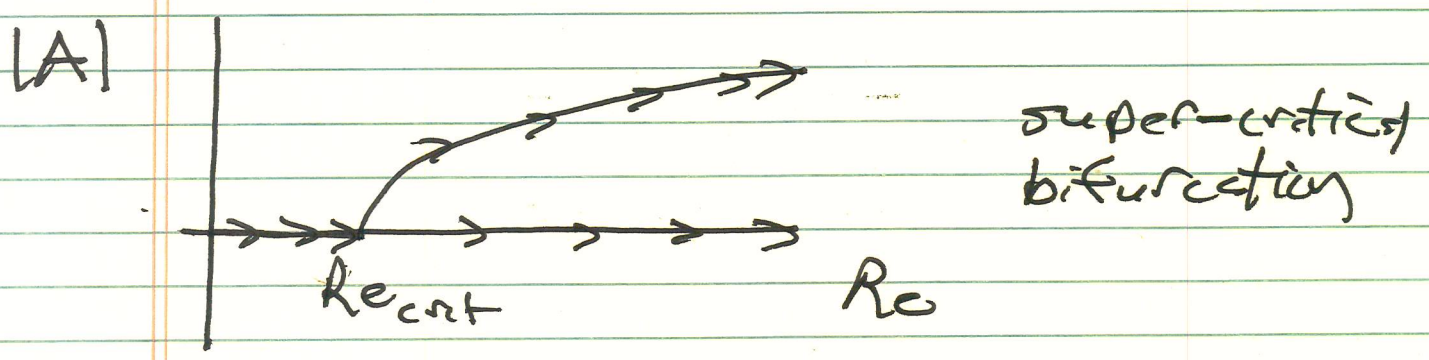
$$\begin{aligned} &\frac{\nabla \cdot \nabla \cdot \nabla (\underline{V}_0 + \tilde{V})}{=} \sim \tilde{V} \tilde{V} \\ &= \frac{\tilde{V} \cdot \nabla \cdot \nabla \cdot \underline{V}_0 + \nabla \cdot \nabla \cdot \nabla \tilde{V} \tilde{V}}{=} \\ &= \frac{\nabla \cdot \nabla \cdot \nabla (\underline{V}_0 + \nabla \tilde{V} \tilde{V})}{=} \\ &= 2\gamma |A|^2 - \alpha |A|^4 \end{aligned}$$

nonlinearity auto deplete free energy.

→ Predicts saturation at:

$$|A|^2 \approx 2\gamma/\alpha \approx (Re - Re_{crit})$$

$$|A| \sim (Re - Re_{crit})^{1/2} \rightarrow \text{stationary state}$$



- super-critical bifurcation is useful for linear instability

-  $\alpha$  need be calculated by perturbation theory.

-  $\alpha$  need not be positive  $\Rightarrow$

○ (A<sup>4</sup>) destabilizing

$\Rightarrow$  sub-critical processes

(NL instability)



Then:

to saturate

$$\partial_t |A|^2 = 2\gamma |A|^2 - \alpha |A|^4 - \beta |A|^6$$

→

$$= \underbrace{-2|\gamma|}_{\text{damping linearly}} |A|^2 + \underbrace{|\alpha|}_{\text{NL growth}} |A|^4 - \underbrace{\beta}_{\text{stn.}} |A|^6$$

- subcritical instability if:

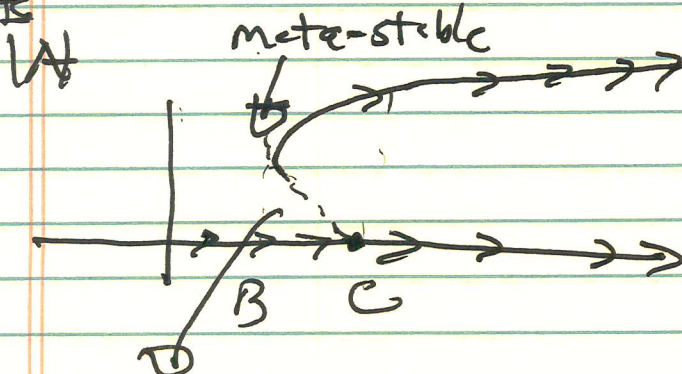
$$|A|^2 > \frac{2|\gamma|}{|\alpha|}$$

- stationary state:

$$|A|^2 = 0$$

$$|A|^2 = \frac{|\alpha|}{2\beta} \pm \left( \frac{|\alpha|^2}{4\beta^2} - 4 \frac{(2|\gamma|)}{\beta} \right)^{1/2}$$

3 roots



unstable ( $|A|$  insuff to saturate)

Now:

- Super-critical:

$$\partial_t |A|^2 = (2\gamma - \alpha |A|^2) |A|^2$$

- QL:  $\partial_t |E|^2 = 2\gamma \langle P \rangle |E|^2$

$$\partial_t \langle P \rangle = \partial_\nu D(|E|^2) \partial_\nu \langle P \rangle$$

i.e.  $\langle P \rangle = P_0 + \Delta \langle P \rangle$

$$\gamma \langle P \rangle \rightarrow \gamma_0 + \frac{d\gamma}{d\Delta \langle P \rangle} \Delta \langle P \rangle$$

}  $O(E)^2$

QL ~~to~~ Landau Eqn. address same point

$\Rightarrow$  instability feedback on mean to switch off growth.