

Physics 218c

Lecture 5b → Geometry ↔ Dynamics II

- Review - Magnetic Shearing
- Resistive Interchange
- Toroidicity
- Ballooning Representation

Recall shear  $\left\{ \begin{array}{l} \text{velocity} \\ \text{magnetic} \end{array} \right.$

Magnetic shear:

$$- \tau_{\parallel} = \frac{\partial}{\partial z} + \frac{1}{Rz(r)} \frac{\partial}{\partial \theta}$$

$$1/Rz = \frac{r}{Rz(r)} + \kappa$$

↳ pitch of field lines varies with radius.

↳ shear

$$1/Ls = -\hat{s}/Rz$$

→ Plan:

M → Geometry 2 ✓

W → Shearing and Zonal Modes  
⇒ Saturation

M → L → H and ITB

W → Rotation and Momentum Transport

M → Holiday

W → Density Limit and Greenwald scaling,  
JOL

M (Finishes Week) → Tynan on PWT.

→ After Week 8, theoretical treatment  
less intensive

→ Fall 2/8 b ↔ Tokamak MHD emphasis  
(available online)  
(Different previous)

so shearing coordinates, with

$$t \rightarrow z$$

N.B.:  $z$  no longer periodic! Corresponds to distance along line

$$v_y' \rightarrow v_y / L_s$$

$$k_x \rightarrow k_x - k_y z / L_s, \quad k_y \rightarrow k_y, \quad k_z \rightarrow k_z$$

or

$$\frac{dk_x}{dz} = -\frac{k_y}{L_s} \iff \frac{dk_x}{dt} = -k_y v_y'$$

and

$$1/l_{no} \sim \left( k_y^2 D / 3 L_s^2 \right)^{1/3} \rightarrow \text{decorrelation length}$$

↑ ↑

$$D \sim D_M$$

and  $k_z \rightarrow k_z' - \frac{x}{L_s} k_y'$

$$\frac{\partial}{\partial z'} - \frac{x'}{L_s} \frac{\partial}{\partial y'} = 0 \implies \frac{dy'}{dz} = \frac{x'}{L_s}$$

mode/cell must twist

$\leadsto$  analogue of eddy <sup>on  $z$</sup>  tilt.

N.B. : Zonal structures :

$$\text{Velocity : } \frac{dk_x}{dt} = - \frac{\partial}{\partial x} (k_y \langle V_y \rangle) + k_y \tilde{V}_y$$

mean      ↓      irregular, time varying

$$\frac{d \langle \sigma k_x^2 \rangle}{dt} = D_k$$

$$D_k = \sum_{l_x} \sum_{l_y}^2 k_y^2 | \tilde{V}_{y \pm} |^2 \tau_{c_{l_x, l_y}}$$

random shearing

zonal field (static)

Magnetic field :

$$\frac{dk_x}{dt} = - \frac{k_y}{L_z} - \frac{\partial}{\partial x} (k_y \frac{\tilde{B}_y}{B_0})$$

zonal field perturbation

→

$$\frac{d \langle \sigma k_x^2 \rangle}{dt} = D'_k$$

$$D'_k = \sum_{l_x} \sum_{l_y}^2 k_y^2 | \frac{\tilde{B}_y}{B_0} |^2 \tau_{c_{l_x, l_y}}$$

induce diffusive increase  $k_x$ .

Which brings us to:

→ Resistive Interchanges ↓

Recall:

- interchange ~~is~~  $k_{||} = 0$   
(Flute)

- stabilized by shear

$$k_{||} = k_y x / L_s \quad (\vec{E}_{||} = 0)$$

- then introduce resistivity →  
decouple field and fluid;  
x scale and  $k_{||}$  linked.

$$\nabla_{\perp}^2 \hat{\phi} + \frac{v_A^2}{\delta M} \nabla_{||}^2 \hat{\phi} + \frac{g}{|k_{\perp}| \delta^2} k_y^2 \hat{\phi} = 0$$

$$\nabla_{||} = i k_{||} (x)$$

solve old QM h.o.

$$\delta \sim \mathcal{O}(M^{1/3}) \rightarrow \mathcal{O}(1/S^{1/3})$$

$$1/S = a M / a^2 v_A$$

Spatial width  $\sim \mathcal{O}(a/s^{1/3})$ .

$w \sim a/s^{1/3}$

$s \gg 1$ .

why?  $\Rightarrow$  shear / Mode pinned to resonant surface (periodicity)

Now, consider twisting coordinates, clarity

assume infinite length. (no b.c.)

$$\begin{matrix} x \\ s \\ y_i \end{matrix} = \begin{matrix} x \\ y \\ z \end{matrix}$$

$$\begin{matrix} \varphi \\ z_i \end{matrix} = z$$

$$\begin{matrix} z \\ s \\ x_i \end{matrix} = x$$

$z_i, \varphi$  assume to extend  $\pm \infty$ , along line. Does not satisfy boundary condition. Quasi-mode packet

ballooning formalism reconciles twisted slice and periodicity!

and

$$\frac{\partial}{\partial y} \Rightarrow \frac{\partial}{\partial x}$$

$$\frac{\partial}{\partial x} \Rightarrow \frac{\partial}{\partial z} - \frac{\varphi}{L} \frac{\partial}{\partial x}$$

$$\frac{\partial}{\partial z} \Rightarrow \frac{\partial}{\partial \varphi}$$

key

$$\left[ \left( \frac{\partial \phi}{\partial \xi} - \frac{\phi}{L_0} \right)^2 + \frac{\partial^2}{\partial \chi^2} \right] \hat{\phi} + \frac{v_A^2}{\gamma \mu} \frac{\partial^3 \hat{\phi}}{\partial \phi^2} - \frac{\gamma}{L_0} \frac{\partial^2}{\partial \chi^2} \hat{\phi} = 0$$

Lin Eqn. in twisted slicing coordinates:  
 Time  $\downarrow$  Radius  $\downarrow$  longitudinal

$$\hat{\phi} = \hat{\phi}(\phi) e^{ik_x \xi} e^{ik_y \chi}$$

$$= e^{ik_x x} e^{ik_y (y - \frac{x}{L_0} z)} \hat{\phi}(z)$$

opens door to "ballooning"  $\rightarrow$

'eigenfunction' described length along field lines

$\rightarrow$

$$\frac{\partial^2 \hat{\phi}}{\partial \phi^2} - \frac{\gamma \mu k_y^2}{v_A^2} \left[ \left( \frac{k_x}{k_y} - \frac{\phi}{L_0} \right)^2 + 1 \right] \hat{\phi} + \frac{k_y^2 g}{L_0} \frac{\gamma \mu}{v_A^2 \gamma^2} \hat{\phi} = 0$$

$k_x \ll k_y$  (large scale)

differs from usual resistive interchange

$$\frac{\partial^2 \vec{\phi}}{\partial \ell^2} - \gamma \frac{\eta k_y^2}{v_A^2} \left[ \frac{v^2}{v_s^2} + 1 \right] \vec{\phi} + \frac{\eta k_y^2 \delta_I^2}{\gamma v_A^2} \vec{\phi} = 0$$

$$\vec{\phi}(\ell) = e^{-\alpha \ell^2 / 2}$$

⇒

$$\gamma \tau_A \sim \delta^{-1/3}$$

$$\delta \sim \eta^{1/3}$$

$$\sqrt{\alpha} \sim \frac{1}{L_{eff}} \sim \eta^{1/3}$$

$$L_{eff} \sim \eta^{-1/3}$$

effective length along field line

What is new?

$$\Rightarrow \underbrace{k_x a \sim 1}$$

i.e. { no constraint on spatial scale,  
 $k_x \ll k_y$   
 ⇒ like slice





Comments

-  $\vec{\phi} = f(x) \vec{\phi}(z) e^{i k_y (y - \frac{x}{L} z)}$

envelope in  $x$ , slow  $\left\{ \begin{array}{l} \text{eigenfunction} \\ h_{11} \sim \eta^{-1/3} \end{array} \right.$  twisting poloidal variation

- here "modes" are finite length rolls, locally aligned with shear

$\phi$  const along  $y - \frac{z \cdot x}{L} = \text{const.}$

- "modes" here are really wave-packets

of localized resistive interchange

eye modes

"zuckermodes"

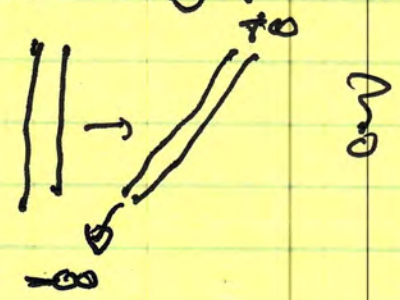
"modes", "zuckermodes"  $\rightarrow$  no b.c. in  $z, \ell$

modes  $\rightarrow$  satisfy b.c. (periodicity) in  $\phi$ .

- "quasimodes" have finite length  $\epsilon r$

$\epsilon \rightarrow$  allow twist to align with shear

$\Rightarrow$  energetics!



-  $\delta \sim \eta^{1/3}$  but  $k_x a \sim \eta$

$\Rightarrow$  large transport c.e.  $\frac{\delta}{k_x^2} \sim \eta^{1/3}$

contrast:  $\delta \sim \eta^{1/3}$ ,  $\Delta \sim \eta^{1/3}$

~~scribble~~  $- \eta \frac{d\rho}{dr} = \Gamma_\rho$

weak,  $\frac{\delta}{k_x^2} \sim \eta$ .

- wave packets disperse, but relevant,

Poloidal coupling due to toroidicity  
 $\Rightarrow$  wave packets become eigenmodes

TBC

→ Toroidicity

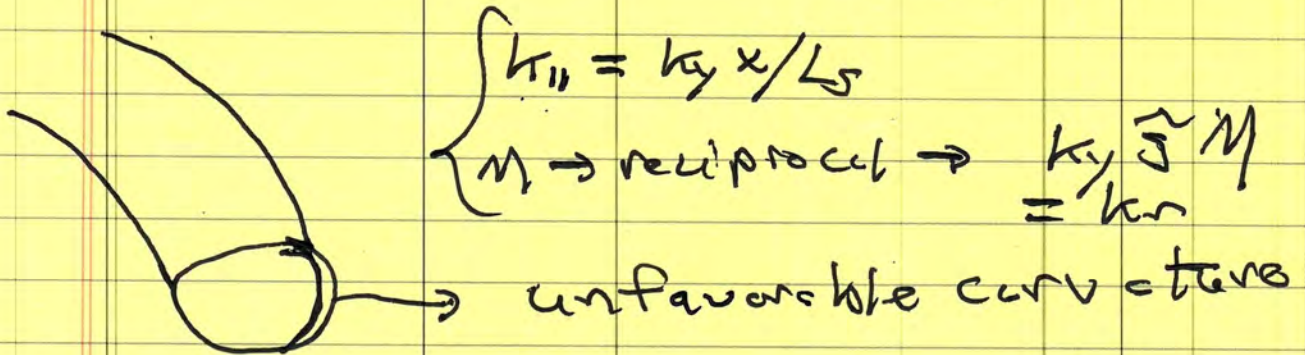
- Toroidicity ↔ Ballooning

- N.B.: Ballooning

generic term for toroidal modes

Physics - tendency to localize fluctuations in bad curvature region → outside

Fluctuations / Modes where Toroidicity Matters



but modes extend in  $M$  direction along line →  $Z_0$  of twisted slice

Key question: How reconcile toroidicity, shear, periodicity?

- what happens with toroidicity?

→ Magnetic drifts enter

→ propagator:  $\omega - k_{\parallel} V_{ti} + \dots \Rightarrow$   
(particle)

$$\omega - \omega_{Di} = -k_{\parallel} V_{ti}$$

↳ curvature

$$\omega_{Di} = \hat{\omega}_D \cos \Theta + \tilde{\omega}_D \sin \Theta \Delta \frac{d}{dr}$$

Curvature drift  $\Leftrightarrow$  couples poloidal harmonics!

ie if consider drift-acoustic modes:

→ no sound:

$$k_{\perp}^2 \rho_s^2 + 1 - \frac{\omega_k}{\omega} = 0$$

sound:

$$k_{\perp}^2 \rho_s^2 + 1 - \frac{\omega_k}{\omega} - \frac{k_{\parallel}^2 c_s^2}{\omega^2} = 0$$

drift-acoustic

shear:  $\rightarrow$  Eigenmode Egn.

$$-\rho_s^2 \frac{\partial^2}{\partial x^2} \hat{\phi} + k_0^2 \rho_s^2 \hat{\phi} + \left(1 - \frac{\omega_p}{\omega}\right) \hat{\phi}$$

$$- \frac{k_0^3 x^2 c_s^2}{k_s \omega^2} \hat{\phi} = 0$$

$$\hat{\phi}_m = \hat{\phi}$$

$\Rightarrow$  outgoing waves

$\Rightarrow$  absorbed at  $x_i$

TBD

Mode structure for drift waves (i.e. no instability  $\rightarrow$  need non-adiabatic electrons!) in sheared system.

Toroidicity

$$-\rho_s^2 \frac{\partial^2}{\partial x^2} \hat{\phi}_m + k_0^2 \rho_s^2 \hat{\phi}_m + \left(1 - \frac{\omega_p}{\omega}\right) \hat{\phi}_m$$

$$- \frac{k_0^3 x^2 c_s^2}{k_s \omega^2} \hat{\phi}_m + T(\hat{\phi}_{m+1} + \hat{\phi}_{m-1}) + \frac{1}{T}(\hat{\phi}_{m+1} - \hat{\phi}_{m-1}) = 0$$

$O\left(\frac{\omega_p}{\omega}\right) \Delta$

$T, T'$  order  $E_r$ .

So note that in toroidal system can write eigenmode equation as:

$$L_m \frac{\partial \phi_m}{\partial z} + T(\phi_{m+1}) + T'(\phi_m) = 0$$

i.e.

-  $n$  remains good quantum #.

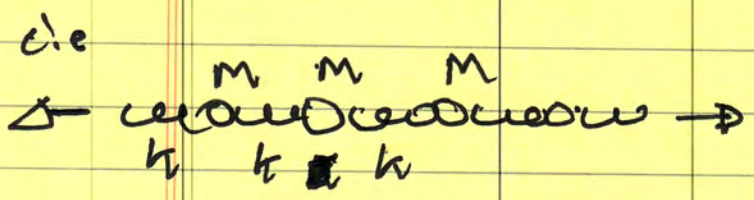
∴ Drifts don't break toroidal symmetry

- toroidal drifts tend to couple poloidal harmonics → Linearly.

- Eigenmode Egn. is tri-diagonal matrix eqn.

$$\begin{bmatrix} T_{m-2} & L_{m-1} & T_{m-2} & & & \\ & T_{m-1} & L_m & T_{m-1} & & \\ & & T_{m-2} & L_{m-1} & T_{m-2} & \\ & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & & & T_{m+2} \end{bmatrix} = 0$$

- Problem reduces to Bloch Eigenmode problem,  $\omega/\omega_0$   
Solid state Physics



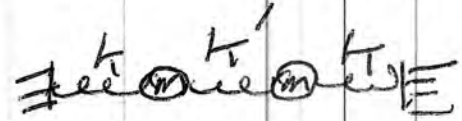
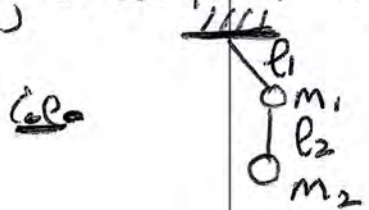
$\Rightarrow$  Periodicity renders problem equivalent to linear chain, from elementary classical Mechanics ↓

Linear Chains, etc.



→ Small Oscillations II - { Chains, Strings and the Transition Discrete → Continuous

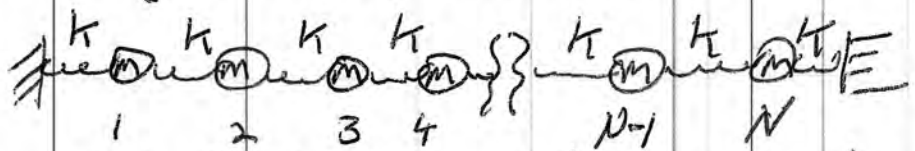
→ previously considered few-degree-of-freedom systems



now, consider systems with  $N \gg 1$  degrees of freedom, (separated by  $l$  at equilibrium)

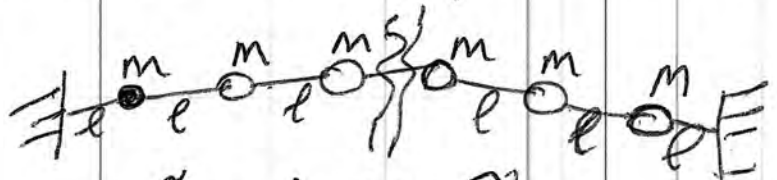
i) linear chain (1D oscillators)

Application → solid



(identical components) → monatomic

ii) - massless string (loaded)



uniform tension  $T$   
uniform mass  $m$   
separation  $l$

For (i)

$$\frac{1}{2} k (x_{i+1} - x_i)^2$$

$$L = \sum_{i=1}^N \left( \frac{1}{2} m \dot{x}_i^2 - \left( \frac{1}{2} k (x_i - x_{i-1})^2 + \frac{1}{2} k (x_{i+1} - x_i)^2 \right) \right)$$

$$\begin{cases} x_0 = 0 \\ x_{N+1} = 0 \end{cases}$$

or simply

$$L = \sum_{i=1}^N \left( \frac{1}{2} m \dot{x}_i^2 - \frac{k}{2} (x_{i+1} - x_i)^2 \right)$$

{ Compression /  
Modes

For (c),

$$L = \sum_{i=1}^N \left( \frac{1}{2} m \dot{y}_i^2 - \frac{\gamma}{2l} (y_{i+1} - y_i)^2 \right)$$

{ Transverse  
modes

⊙ identical systems.

• hereafter, focus on (a)

motivations for (a)

monatomic chain is simplest example of elastic wave in solid

step toward continuous system  
i.e. now discrete  $\rightarrow$  masses separated by  $l$

Proceeding:

$$m \ddot{x}_i - k [(x_{i+1} - x_i) + (x_{i-1} - x_i)] = 0$$

$$\ddot{x}_i + \frac{k}{m} [2x_i - (x_{i+1} + x_{i-1})] = 0$$

$$x_i = \tilde{x}_i e^{-i\omega t}$$

$$\left(\frac{2k}{m} - \omega^2\right) \hat{x}_i - \frac{k}{m} (\hat{x}_{i-1} + \hat{x}_{i+1}) = 0$$

For eigenvalues,  $\det \underline{A} = 0$

$$\underline{A} = \begin{vmatrix} \frac{2k}{m} - \omega^2 & -k/m & & & \\ -k/m & \frac{2k}{m} - \omega^2 & -k/m & & \\ & -k/m & \frac{2k}{m} - \omega^2 & -k/m & \\ & & -k/m & \frac{2k}{m} - \omega^2 & -k/m \\ & & & -k/m & \frac{2k}{m} - \omega^2 - k/m \end{vmatrix}$$

i.e. A tri-diagonal.

Now, taking masses separated by  $l$ , take

$$\hat{x}_n \sim e^{i(nl)\alpha}$$

$\downarrow$   
 wave-vector

}

$n \equiv \text{mass \#}$   
 $\alpha \equiv \text{wave \#}$   
 $l \equiv \text{spacing}$

$\overset{l}{\text{mass } l}$

$$\Rightarrow \left(\frac{2k}{m} - \omega^2\right) e^{i[n \cdot l \alpha]} - \frac{k}{m} \left( e^{i[(n+1) \cdot l \alpha]} + e^{i[(n-1) \cdot l \alpha]} \right) = 0$$

careful i's.

$$\therefore \left(\frac{2k}{m} - \omega^2\right) - \frac{2k}{m} \cos[\alpha l] = 0$$

Note: says  $\hat{x}_{n+m} = e^{i m \alpha l} \hat{x}_m$   
 phase displ  $\sim m l$

sol/

$$\omega^2 = \frac{2k}{m} (2) \left[ \frac{1 - \cos(\alpha l)}{2} \right]$$

$$= \frac{4k}{m} \sin^2\left(\frac{\alpha l}{2}\right)$$

$$\Rightarrow \boxed{\omega^2 = \frac{4k}{m} \sin^2(\alpha l/2)}$$

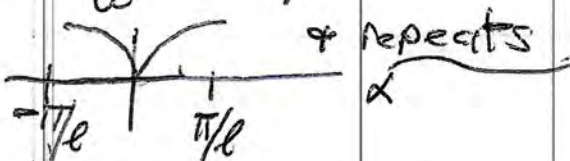
$$\omega = 2\sqrt{k/m} \left| \sin \alpha l/2 \right|$$

note:

① -  $\omega = \omega_{max} \left| \sin \alpha l/2 \right|$  ;  $\omega_{max}^2 = 4k/m$

$$\begin{cases} \omega(\alpha) = \omega(-\alpha) \\ \alpha' = \alpha + 2\pi/l \end{cases} \text{ leaves } \omega \text{ invariant}$$

i.e. need only define  $\alpha$  on  $\left[ -\pi/l, \pi/l \right]$



$\omega$  repeats

i.e.  $\left\{ \begin{array}{l} \text{First Brillouin} \\ \text{Zone, only} \\ \text{needed} \end{array} \right.$

② - for  $\alpha l/2 \ll 1$

i.e. wavelength  $\alpha^{-1} \gg$  bead spacing  $l$

$\rightarrow$  continuum limit

then  $\omega = \sqrt{k/m} l \alpha$   
 $= \alpha [l \sqrt{k/m}]$   
 akin to acoustic wave

$\omega = k c_s$

{

$k \leftrightarrow \alpha$   
 $c_s \leftrightarrow l \sqrt{k/m}$

$\frac{\chi \rho}{l} \leftrightarrow \frac{l^2 k}{m}$

stored elastic energy (springiness)

inertia

③ - observe maximum frequency propagated is :

$\omega^2 = \omega_{max}^2 = 4k/m$  i.e.  $\left\{ \begin{array}{l} \omega^2 > \omega_{max}^2 \text{ not propagated} \\ \omega^2 < \omega_{max}^2 \text{ propagated} \end{array} \right.$

{ Chain acts as low-pass filter  
 { Higher frequencies evanescent!

④ - for propagation structure;

$\omega = 2 \sqrt{k/m} [\sin(\alpha l/2)]$

$v_{gr} = d\omega/d\alpha = l \sqrt{k/m} \cos(\alpha l/2)$

i.e.  $v_{gr} = l \sqrt{k/m} \sim c_{eff}$  for  $\alpha l \ll 1$   
 (aka' sound)

but  $\lim_{\alpha \rightarrow \pi/l} v_{gr} = l\sqrt{1/m} \cos(\pi/2) \rightarrow 0$

↳ modes at edge of Brillouin zone non-propagating

modes in middle of zone propagate at acoustic speed

Can also observe that:

$$x_{i+1} + x_{i-1} - 2x_i = e^{i[\alpha x_i]} (e^{i\alpha l} + e^{-i\alpha l} - 2)$$

$$= 2e^{i[\alpha x_i]} (\cos \alpha l - 1)$$

so  $\cos \alpha l / 1 \sim$  ratio of  $(x_{i+1} + x_{i-1}) / 2x_i$   
 $\sim$  mean phase ratio

so  $\alpha l \ll 1 \Rightarrow$  neighbors on chain vibrate  
 (in zone)  $\frac{c_A}{\cos} = 1$  phase  $\rightarrow$  propagation

$\alpha l \sim \pi \Rightarrow$  neighbors on chain vibrate  
 (zone boundary, out of phase)  $\rightarrow$  no propagation  
 $\cos = -1$

What is  $\{ \}$ :  
 → Boundary Conditions

Can distinguish 2 cases  $\left\{ \begin{array}{l} \text{periodic B.C.'s} \\ \text{fixed end B.C.'s} \end{array} \right.$

1) Periodic B.C.'s

Now,  $x_i = A e^{i [i] l \alpha}$

notational clarity  $\Rightarrow x_n = A e^{i [n] l \alpha}$

$$1 < n < N.$$

For periodic B.C.'s,

$$x_n = x_{n+N} \Rightarrow e^{i N l \alpha} = 1$$

$\rightarrow$  mode index.

$$\therefore N l \alpha = 2\pi \rho$$

$$\Rightarrow \boxed{\alpha = \frac{2\pi \rho}{N l}}$$

$$\rho = \begin{cases} 0, \pm 1, \dots, \pm \frac{1}{2}(N-1) \\ N \text{ odd} \\ 0, \pm 1, \dots, \pm \frac{1}{2} N \\ N \text{ even} \end{cases}$$

Note: guarantees  $N$  normal modes.

2, Fixed end B.C.'s:  $\left. \begin{array}{l} X_0 = 0 \\ X_{N+1} = 0 \end{array} \right\}$  guarantees ends fixed

$$\Rightarrow X_0 = X_{N+1} = 0$$

$$\begin{aligned} X_n &= Ae^{in\alpha l} + Be^{-in\alpha l} \\ &= A \sin(n\alpha l) + B \cos(n\alpha l) \end{aligned}$$

$$B = 0 \rightarrow n = 0 \quad \checkmark$$

$$(N+1)\alpha l = p\pi \quad ; \quad p = 1, \dots, N$$

mode index

$$\Rightarrow \boxed{\alpha_p = p\pi / l(N+1)}$$

} puts to quantise  $\alpha$

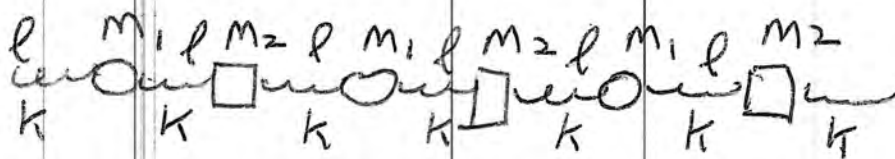
$$\therefore X_n(t) = A_n \sin\left(\frac{n\alpha p\pi}{l(N+1)}\right) e^{-i\omega_p t}$$

where  $\omega_p^2 = \frac{4k}{m} \sin^2\left(\frac{p\pi l}{2l(N+1)}\right)$



## - Diatomic Chain

→ consider slightly richer toy model, namely the diatomic chain



{ un-equal masses! }

then, no loss of generality to associate

$$\begin{array}{l} m_1 \rightarrow x_{2n} \\ m_2 \rightarrow x_{2n+1} \end{array} \begin{array}{l} \text{(evens)} \\ \text{(odds)} \end{array} \left. \vphantom{\begin{array}{l} m_1 \\ m_2 \end{array}} \right\} \text{positions}$$

∴ can immediately write dynamical equations

$$m_1 \ddot{x}_{2n} = -k(2x_{2n} - x_{2n-1} - x_{2n+1})$$

$$m_2 \ddot{x}_{2n+1} = -k(2x_{2n+1} - x_{2n} - x_{2n+2})$$

solution of form:

$$x_{2n} = A e^{i2n\ell x} e^{-i\omega t} \quad \text{(evens)}$$

$$x_{2n+1} = B e^{i(2n+1)\ell x} e^{-i\omega t} \quad \text{(odds)}$$

(consider one mass  $\rightarrow d, \omega$ )

$$-m_1 \omega^2 A = -k (2A - (e^{i l \alpha} + e^{-i l \alpha}) B)$$

$$-m_2 \omega^2 B = -k (2B - (e^{i l \alpha} + e^{-i l \alpha}) A)$$

⇒

$$(-m_1 \omega^2 + 2k) A - k(2 \cos l \alpha) B = 0$$

$$(-2k \cos l \alpha) A + (-m_2 \omega^2 + 2k) B = 0$$

$$\therefore \left\{ (\omega^2 - 2k/m_1) (\omega^2 - 2k/m_2) - \frac{4k^2 \cos^2 l \alpha}{m_1 m_2} = 0 \right\}$$

⇒ dispersion relation:

$$\omega^2 = k \left( \frac{1}{m_1} + \frac{1}{m_2} \right) \pm k \left\{ \left( \frac{1}{m_1} + \frac{1}{m_2} \right)^2 - \frac{4 \sin^2 (kl)}{m_1 m_2} \right\}^{1/2}$$

$$1/\mu \equiv 1/m_1 + 1/m_2 \quad \rightarrow \text{reduced mass, as usual.}$$

$$\omega^2 = k/\mu \pm k/\mu \left\{ 1 - \frac{4\mu^2 \sin^2 (kl)}{m_1 m_2} \right\}^{1/2}$$

∴ dispersion relation:

$$\left\{ \omega^2 = \frac{k}{\mu} \left\{ 1 \pm 1 \left\{ 1 - \frac{4\mu^2 \sin^2 (kl)}{m_1 m_2} \right\}^{1/2} \right\} \right\}$$

can immediately observe:

→ system supports 2 modes

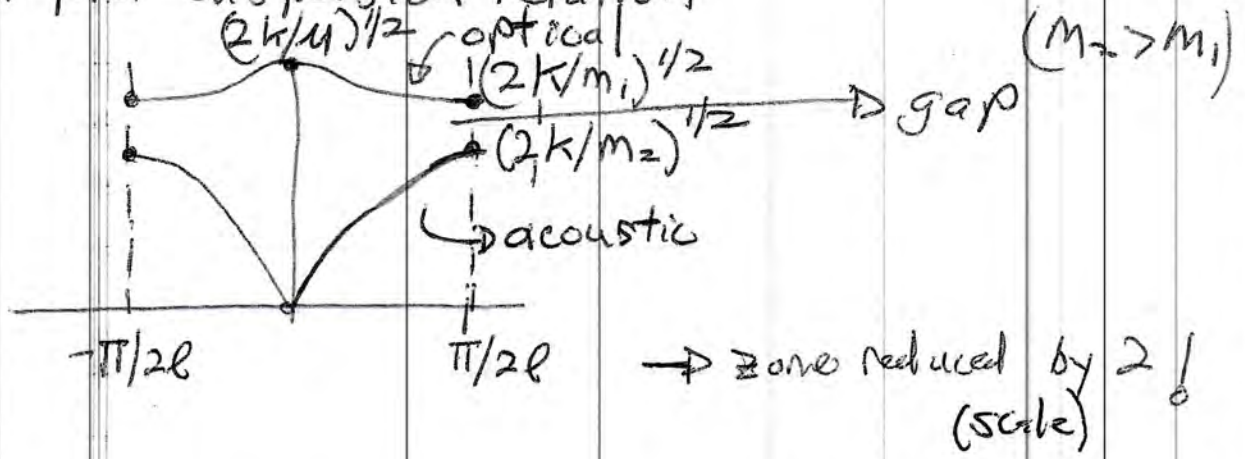
- low frequency → "acoustic" mode  
(aka' sound)

→ analogous to mode of monatomic chain

- high frequency → "optical" mode  
(aka' plasma) (vibration)

→ new

Can plot dispersion relation



Note: - acoustic mode  $\omega \sim \alpha \left( \frac{k l^2}{m_2 + m_1} \right)^{1/2}$

as  $k l \rightarrow 0 \Rightarrow$  mass neighbors vibrate in phase

$$x_n = x_{n+1}$$

solid → shown (i.e. =  $k c_s$ )

optical mode  $\omega \sim (2k/M)^{1/2}$

as  $k \rightarrow 0$  ;  $m_1 x_n + m_2 x_{n+1} = 0$   
 i.e. neighboring masses vibrate  
out of phase, weighted by  
 masses

Solid  $\rightarrow$  analogous collective mode is EM wave  
 $\omega^2 = \omega_p^2 + c^2 k^2$  or plasmon  
 $\omega^2 = \omega_p^2 + k^2 v_e^2$

i.e.  $k \rightarrow 0$ , frequency constant!

$\rightarrow$  Note gap  $\rightarrow$  no propagation for

$$(2k/m_2)^{1/2} < \omega < (2k/m_1)^{1/2}$$

$\rightarrow$  consequence of fact  
 phonon  $\rightarrow$  inertia of heavy mass  
 optical  $\rightarrow$  inertia of light mass  
 (in  $\omega_p^2$ )

## Transition to Continuum

To recover continuum  $\left\{ \begin{array}{l} \text{elastic medium} \\ \text{massive string} \end{array} \right.$

take  $N \rightarrow \infty$  with constant  $L = (N+1)l$   
 $l \rightarrow 0$   $\left\{ \begin{array}{l} \frac{m}{l} = \mu = \text{const.} \\ kl = K = \text{const.} \end{array} \right.$

Note: " $N \rightarrow \infty$ " means  $N > \rho$  for all modes  $\rho$ .

Then;

$$\omega_p^2 = \frac{4k}{m} \sin^2 \left( \frac{p\pi}{2(N+1)} \right)$$

$$\approx \frac{4k}{m} \left( \frac{p\pi}{2(N+1)} \right)^2$$

$$= \frac{(p\pi)^2}{(N+1)^2} \frac{kl^2}{m}$$

$$= \left( \frac{p\pi}{L} \right)^2 \left( \frac{K}{\mu} \right) = \left( \frac{p\pi}{L} \right)^2 c_s^2$$

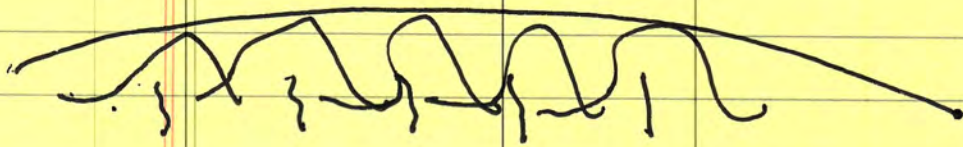
$$c_s^2 = kl^2/m = (kl)l/m = K/\mu$$

$$\rightarrow \omega^2 = k^2 c_s^2 \quad ; \quad c_s^2 = K/\mu$$

$$k = p\pi/L$$

→ How to crank?

- notes



→ Bloch eigenfunction = superposition of coupled (linearly) polarized harmonics. Like chain,

→ Like chain → there have definite phase relation between them.

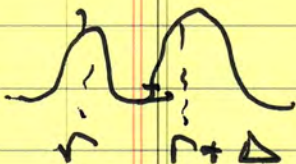
→ like chain, lowest (most unstable) mode, ⇒ harmonics in phase.

→ spacing:

$$q = m/\lambda$$

$$q(r + \Delta r) = (m + 1)/\lambda$$

$$\boxed{\Delta r = 1/nq'}$$



$$\tan \Delta r = \frac{\hbar \omega \hat{\sigma}}{R_Z \hbar \omega \hat{\sigma}} \\ = 1/R_Z$$

16.

$$\Delta r = 1/n Z'$$

$$= 1/n g \frac{g' r}{Z} = 1/\hbar \omega \hat{\sigma}$$

→ Fundamental scale induced by toroidal coupling

→ Physically → scale of spacing of poloidal harmonics at fixed  $n$ .

- Translation invariance:

$$L_n \hat{\phi}_n(x) + T_{n+1} \hat{\phi}_{n+1}(x') + T_{n-1} \hat{\phi}_{n-1}(x'') \\ + \dots = 0$$

i.e.

$$\hat{\phi}_{n+1} = F(x' + r_{n+1})$$

↕ distance from surface displaced by  $\Delta$ .

$$\hat{\phi}_{n-1} = F(x'' + r_{n-1})$$

Then assume translation invariance:

$$\hat{\phi}_{m+1}^{\uparrow} = \hat{\phi}_m^{\uparrow}(x + \Delta)$$

↳ shift by  $\Delta$

$$\hat{\phi}_{m-1}^{\uparrow} = \hat{\phi}_m^{\uparrow}(x - \Delta)$$

So eigenmode equation becomes:

$$L_m^{\uparrow} \hat{\phi}_m^{\uparrow}(x) + \overbrace{T_{m+1}^{\uparrow}}^{\text{coupling}} \hat{\phi}_m^{\uparrow}(x + \Delta) + \overbrace{T_{m-1}^{\uparrow}}^{\text{coupling}} \hat{\phi}_m^{\uparrow}(x - \Delta) + \dots = 0$$

Now: - tridiagonal matrix equation converted to differential/difference equation

- simply Fourier transform in  $x$

N.B F.T. ( $x$ )  $\rightarrow$  distance along field line  $\eta$ .



- solve eigenmode equation in  $\mathcal{M}$
- $\Rightarrow$  determines how mode varies along field line  $\rightarrow$  analogue of quasimode.
- like Bloch envelope - determine radial envelope from envelope B.C.

N.B.:

- scale comparison:  $\Delta^{-1}$  vs  $\phi'/\phi$
- $\Delta < (\phi'/\phi)^{-1} \Rightarrow$  "string" ballooning
- $\Rightarrow$  expand in  $\Delta \frac{1}{2}$

$\Rightarrow$  drive enough so mode broadens.

$\Delta \phi'/\phi > 1 \Rightarrow$  "cont' expand weak" ballooning

$\Rightarrow$  mode extends in  $\mathcal{M}$ .

→ fancy approach: Ballooning Mode  
Representation

Connor, Hastie, Taylor '78 → { Gala event  
at late 70s  
in MFE

$$\psi_n(\theta, x) = \sum_m e^{-im\theta} \int_{-\infty}^{\infty} e^{im\eta} \hat{\phi}(m, x) d\eta$$

→ sum of poloidal harmonics

→  $\eta$  is along field lines (F.T. of  $\eta$ , and thus  $x$ )

→  $\hat{\phi}$  not periodic

$\psi$  is periodic

} periodicity  
issue resolved.

→ can take  $\hat{\phi} \sim \hat{\phi} e^{-im\eta}$

} eikonal for fast  
variation, in  $M$ .

→ Comes to same thing as  
Bloch approach.

(Why the noise?) [Rohrbaugh & Taylor '65 → Physics]

→ What happens for case of drift + wave?

Shear:

$$-\rho_0^3 \frac{d^3}{dx^2} \hat{\phi} + k_0^2 \rho_0^2 \hat{\phi} + \left(1 - \frac{\omega}{\omega_p}\right) \hat{\phi} = 0$$

$$= \frac{k_0^2 x^2 \rho_0^2}{L_0^2 \omega^2} \hat{\phi} = 0$$

note:

$$\frac{d^3}{dx^2} \hat{\phi} + \frac{k_0^2 x^2 \rho_0^2}{L_0^2 \omega^2} \hat{\phi} + ( ) = 0$$

anti-well

⇒ outgoing wave.

$$\hat{\phi} \approx e^{-i\omega x^2/2}$$

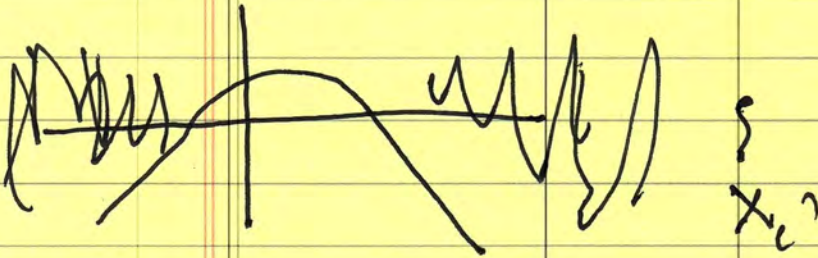
⇒ energy propagated to absorption at

$$x_c'$$

$$\omega = k_{\parallel} v_{thi}$$

$$x_c' = \frac{1}{3} \omega / k_{\parallel} v_{thi}$$

⇒ here appears as resistive damping



⇒ magnetic shear damping,

$$\frac{d\omega}{\omega} \sim -i \frac{\omega_p^2}{\omega} M$$

→ Physics: can Landau damping at  $X_{r1}$

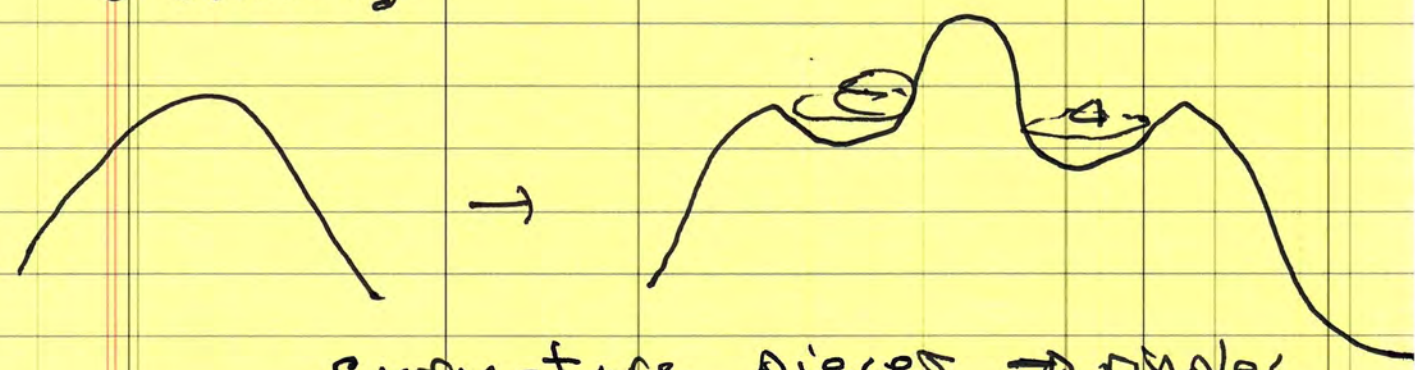
With Toroidicity

Mode Potential. shear

$$\frac{d^2 \hat{\phi}}{dy^2} + (1) \eta^2 \hat{\phi} + \frac{\omega_p}{\omega} (\cos \eta + \sqrt{5} M \sin \eta) \hat{\phi}$$

FT = 0  
Gaussian  $\uparrow$   
Gaussian  $\downarrow$

curvature + (2)  $\hat{\phi} = 0$



curvature pieces → ripples,  
can localize modes in  
ripples

⇒ bests shear damping ↓

⇒ Toroidicity Induced Mode<sup>11</sup>  
chen, cheng 180

Allows ⊙ marginal drift

wave ⇒ easily avoids magnetic shear damping

→ What of  $\vec{S}_2$ ? →  $\text{Flat } q$  → JET  
(JETR, DIII-D, EAST, KSTAR)

- fewer surfaces, i.e.  $\Delta \uparrow$

- ballooning structure ↓

$$- \vec{S}_2 \propto \sin m \rightarrow 0$$

⇒ ballooning structure collapses

⇒ curvature (drive) weakened effects

⇒ confinement improvement.

⇒ Er shear ↓